Fully Quantum Arbitrarily Varying Channels: Random Coding & Dichotomy

H. Boche, C. Deppe, J. Nötzel, A. Winter (ICREA & Universitat Autònoma de Barcelona) = arXiv[quant-ph]:1801.04572 =

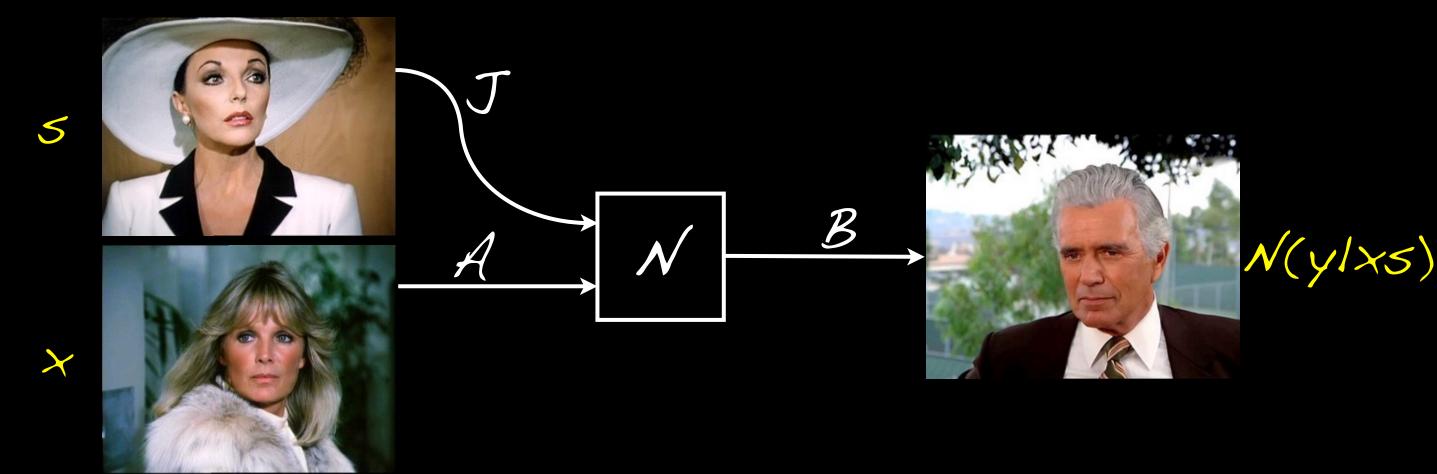
Outline

- 1. Quantum jammer channels: compound, AVC
- 2. Capacities: C and Q
- 3. Reducing arbitrarily varying to compound
- 4. Elimination of correlation: dichotomy

5. Reflections and conclusions

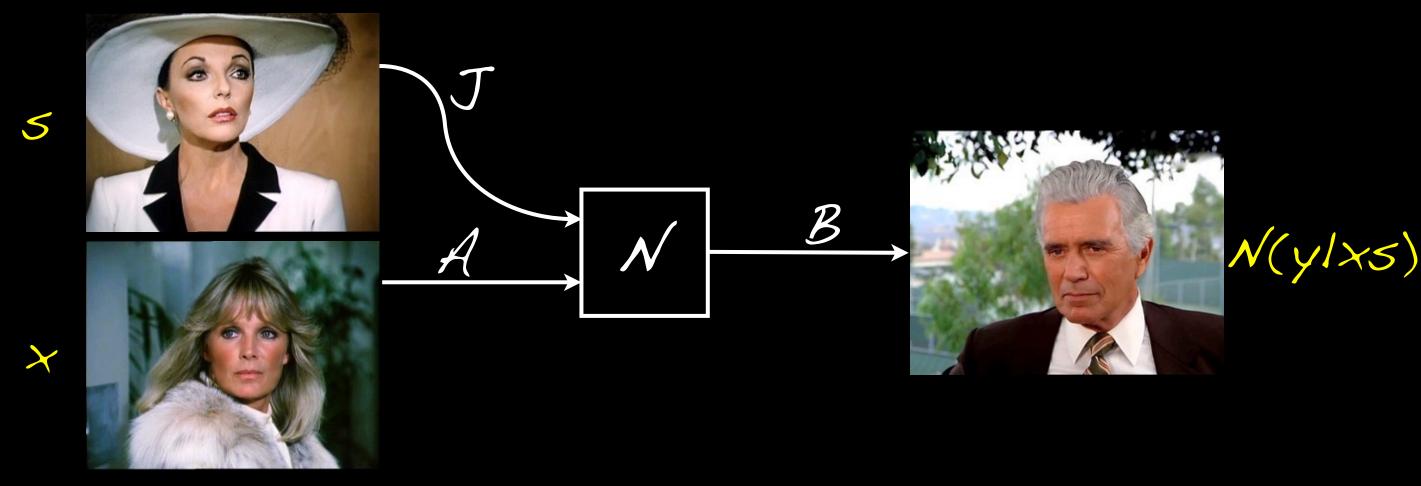
1. Jammer channels

The classical jammer is basically a multiple access channel, but with one sender (A) cooperating with the receiver (B), and the other sender (J) acting adversarially.



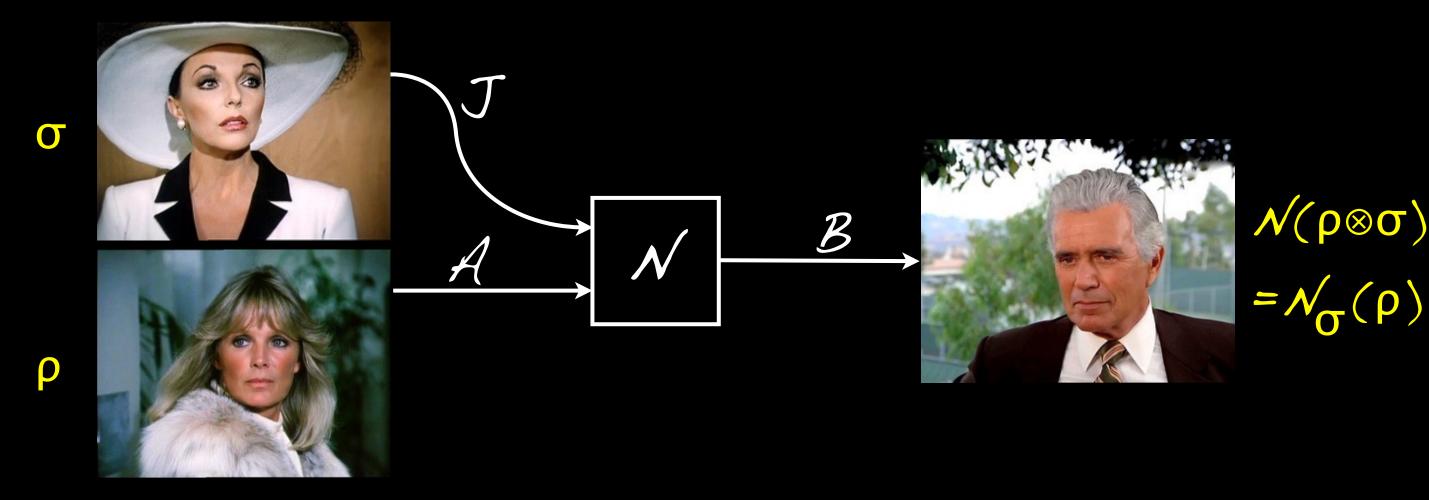
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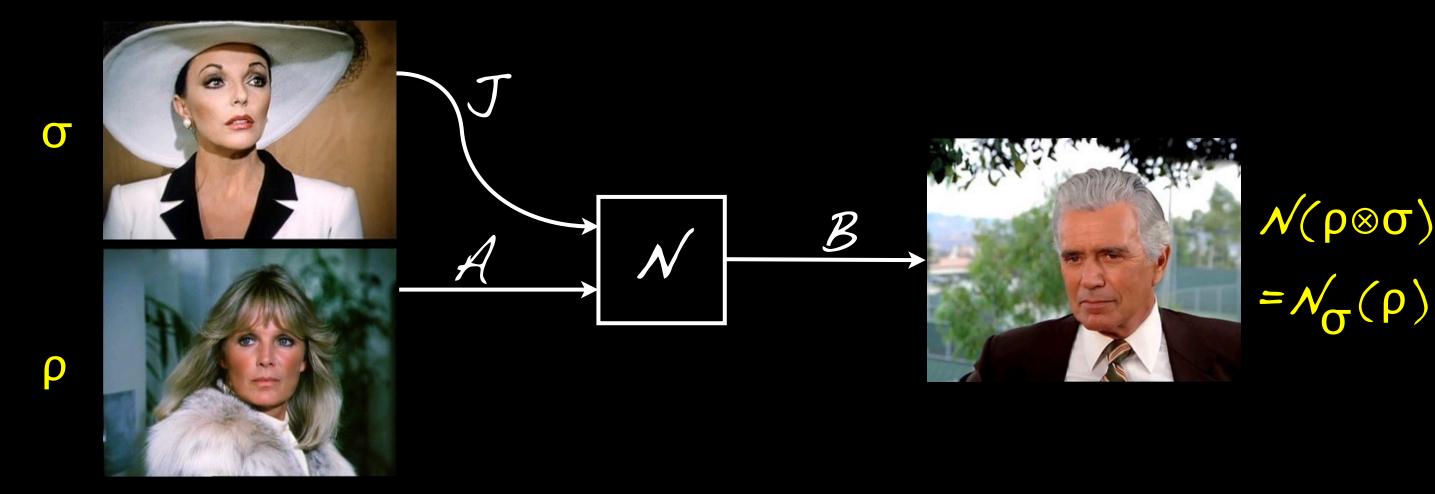


l channel uses = product; jammer: 5,52...5

Generalise it to a quantum channel N, i.e. cptp (completely positive, trace preserving) linear map; maps states on A&J to states on B:

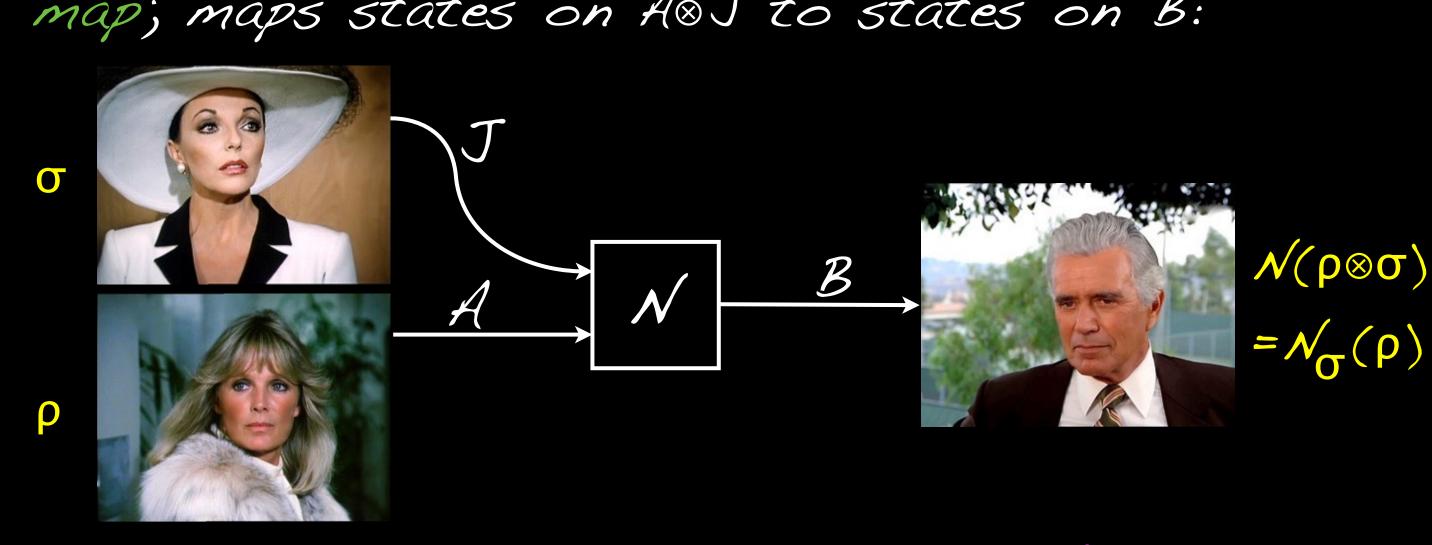


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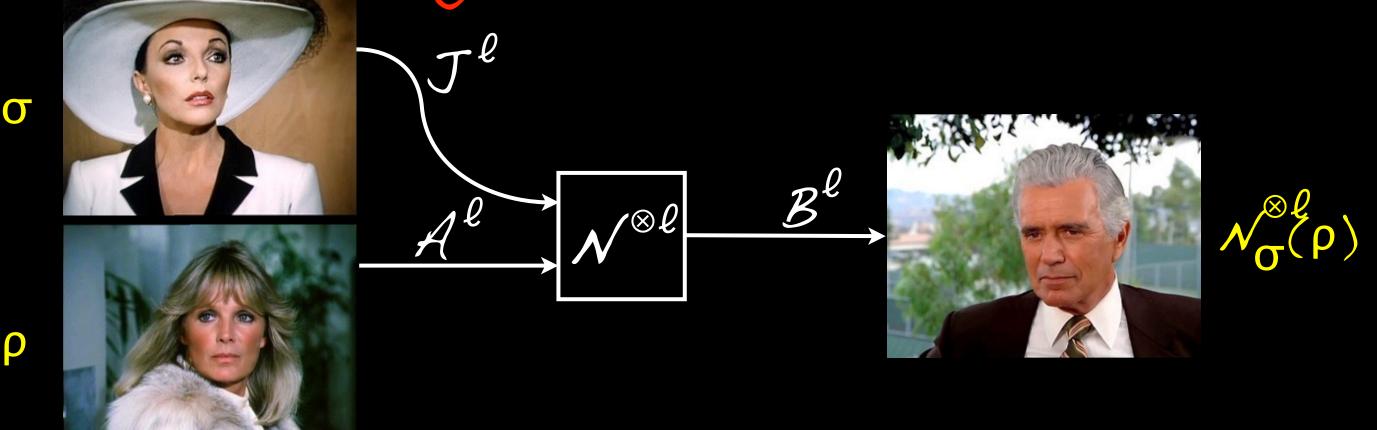


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Quantum info primer:

\*Systems described by (complex) Hilbert spaces A, B, J, ..., usually of finite dimension IAI, etc; \*States are density matrices  $p \ge 0$ , Tr p = 1(for diagonal matrices recover probability distributions); state space S(A), etc; \*von Neumann entropy  $S(\rho) = -Tr \rho \log \rho$ , i.e. the Shannon entropy of the spectrum; \* State transformations are completely positive, trace preserving linear (cptp) maps acting on density matrices - quantum channels; \* Composition of systems by tensor product.

ρ



l channel uses = tensor product N<sup>®l</sup>; however, jammer is not restricted to product states! Arbitrary jammer states o: QAVC - correlated noise Tensor power states  $\sigma^{\otimes l}$ : compound channel

- effective channels all i.i.d.

J (a set)

 $\xrightarrow{}$ 

 $N_{\leq}(\rho)$ 

Previously considered models were hybrids: link from A is modelled quantumly, but jammer has a discrete state set (s). [Ahlswede/Blinovsky, IEEE-IT 2007; Ahlswede et al., CMP 2013]



ρ



σ

[M]

 $\mathcal{M} \Rightarrow \mathcal{P}_{\mathcal{M}}$ 

 $\mathcal{J}^{\ell}$ 

 $A^{\ell} \wedge^{\otimes \ell}$ 

Classical transm. code  $C = \{(\rho_m, D_m) : m \in [M]\},\$ where  $\rho_m \in S(J^l)$  are signal states and the  $D_m \ge 0$  form a POVM:  $\sum_m D_m = I$ 

 ${\cal B}^\ell$ 

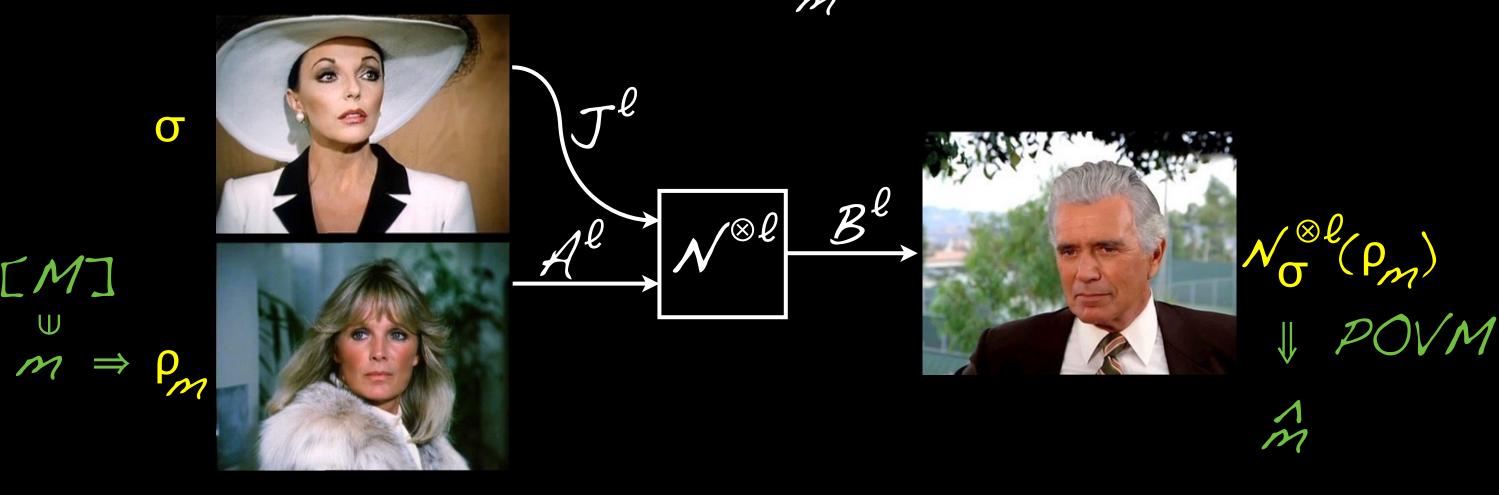
 $\mathcal{N}_{\sigma}^{\otimes \ell}(\rho_{\sigma})$ 

m

J POVM



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 $P_{err}(C,\sigma) = P_{r} \in \mathcal{M} \neq \hat{\mathcal{M}} = I - \frac{1}{M} \sum_{m} \mathcal{T}_{r} \left( \mathcal{N}^{\otimes}(\rho_{m} \otimes \sigma) \right) \mathcal{D}_{m}$ 

### 2. Capacities: C&Q

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C is an  $(l,\epsilon)$ -code (or QAVC code) if for all  $\sigma \in S(\mathcal{J}^{\otimes l}), P_{err}(C,\sigma) \leq \epsilon$  (more stringent)

2. Capacities: C & Q

We regard these codes as deterministic, but note that the encoder in a certain sense is stochastic; no analogue of the classical distinction det.-vs-stoch. encoder.

In contrast, a random code is a family of codes ( $C_{\lambda}$ ), where  $\lambda$  is a random variable, shared between sender and receiver.

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In contrast, a random code is a family of codes ( $C_{\lambda}$ ), where  $\lambda$  is a random variable, shared between sender and receiver.

We call C a random  $(l,\epsilon)$ -code if for all  $\sigma \in S(\mathcal{J}^{\otimes l}), \mathbb{E}_{\lambda} \frac{P_{err}(C_{\lambda},\sigma) \leq \epsilon}{err}$ .

2. Capacities: C & Q

Leads to three potentially different capacities (maximum rate for  $l \rightarrow \infty$ , while  $\epsilon \rightarrow O$ :  $C_{det}(N) \leq C_{rand}(N) \leq C(\xi N_{\sigma}\xi)$ compound random QAVC deterministic capacity (equal capacity GAVC capacity det./random)

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What is the status of the inequality signs?

2. Capacities: C & Q

The compound capacity  $C(\{N_{\sigma}\})$  is the easiest to characterise:

 $C(\xi N_{\sigma} \xi) = \sup_{\ell} \frac{1}{\ell} \max_{\substack{p \in \mathcal{P}_{X}, p_{X} \xi}} \min I(X:B^{\ell}),$ 

where the max is over all ensembles of input states  $\rho_{\chi} \in S(A^{\ell})$ , and the min is over all jammer states  $\sigma \in S(J)$ ;

[Bjelaković et al., CMP 2009; Mosonyi, IEEE-IT 2015]

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2009; 5]

dM.

where the max is over all ensembles of input states  $\rho_{\chi} \in S(A^{\ell})$ , and the min is over all jammer states  $\sigma \in S(\mathcal{J})$ ;

 $I(X:B^{\ell}) = S(\sum p_{X} w_{X}) - \sum p_{X} S(w_{X}) \text{ is the}$ Holevo information of the ensemble of states  $w_{X} = N^{\otimes \ell}(\rho_{X} \otimes \sigma^{\otimes \ell}) = (N_{\sigma})^{\otimes \ell}(\rho_{X}) \in S(B^{\ell})$ 

Main results (spoilers!):

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Works the same for quantum capacity Q (high-fidelity transm. of qubits):

 $Q_{det}(N) = Q_{rand}(N) = Q(\xi N_{\sigma}\xi)$ 

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Result 1: always = (OK, that was known before, but we show how from any decent compound code to build a random code)

To prove  $C_{rand}(N) = C(\{N_0\})$ , we use any  $(l,\epsilon)$ -compound code C, and as shared randomness a random permutation  $\lambda$  of [l]; used to permute the input registers and to un-permute the outputs.

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Reduces jammer strategies to permutation symmetric ones, which can be polynomially bounded by a convex combination of  $\sigma^{\otimes l}$ . [Christandl/Koenig/Renner, PRL 2009]

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Reduces jammer strategies to permutation symmetric ones, which can be polynomially bounded by a convex combination of  $\sigma^{\otimes !}$ . [Christand!/Koenig/Renner, PRL 2009] Finally, observe that  $P_{err}(C,\sigma)$  is linear in  $\sigma$ .

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Proposition I: If C has error probability  $\varepsilon$ , then the above random code  $(C_{\lambda})$  has error  $\varepsilon' \leq poly(\ell).\varepsilon$ .

Now, only need compound codes with super-polynomially fast error convergence 🗸

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Idea:  $\mathbb{E}_{\lambda} \underset{err}{P}(C_{\lambda}, \sigma)$ , for every jammer state  $\sigma$ , is average of values in [0;1], so we can exponentially approximate it using n i.i.d. samples  $\lambda_1, \lambda_2, ..., \lambda_n$  (Hoeffding bound); then union bound over  $\sigma$ .

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Better idea:  $P_{err}(C_{\lambda}, \sigma)$  is a linear function of  $\sigma$ , with values in [0;1], so it can be written  $P_{err}(C_{\lambda}, \sigma) = \mathcal{T}r \sigma \mathcal{E}_{\lambda}$ , with some operator  $0 \leq \mathcal{E}_{\lambda} \leq \mathcal{I}$ .

Better idea:  $P_{err}(C_{\lambda},\sigma)$  is a linear function of  $\sigma$ , with values in [0;1], so it can be written  $P_{err}(C_{\lambda},\sigma) = Tr \sigma E_{\lambda}$ , with some operator  $0 \leq E_{\lambda} \leq I$ .

Hence,  $\mathbb{E}_{\lambda} \frac{P_{err}(C_{\lambda}, \sigma)}{err} = \mathbb{E}_{\lambda} Tr \sigma \mathcal{E}_{\lambda} = Tr \sigma(\mathbb{E}_{\lambda} \mathcal{E}_{\lambda})$ , and it is enough to bound the largest eigenvalue of the average of  $\mathcal{E}_{\lambda}$ ...

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Now use the matrix Hoeffding bound to show that average of  $E_{\lambda_i}$  (i=1,...,n) is small! [Ahlswede/Aw, IEEE-IT 2002]

4. Elimination of correlation

### Matrix Hoeffding bound: Let $X_i$ be i.i.d random Hermitian dxd-matrices (i=1,...,n), with $0 \le X_i \le I$ . If $\mathbb{E}X_i \le \varepsilon I$ , then $Pr\xi \frac{1}{n}\sum_{i}X_i \le (\varepsilon + \delta)I \le d.exp(-c.\delta^2 n)$

[Ahlswede/AW, IEEE-IT 2002; see also Tropp, User-Friendly Matrix Tail Bounds]

To prove  $C_{det}(N) = C_{rand}(N)$ , if the former is positive, we show that negligible (to be precise, O(log l)) randomness is required to achieve the latter.

Proposition 2: If there is a random code with error  $\leq \epsilon$ , then there exists one with error  $\leq \epsilon + \delta$ , where the random variable takes only  $n \leq O(\ell/\delta^2)$  values.

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If  $C_{det}(N)>0$ , this can be generated inefficiently, not losing any rate.

5. Reflections/Conclusion

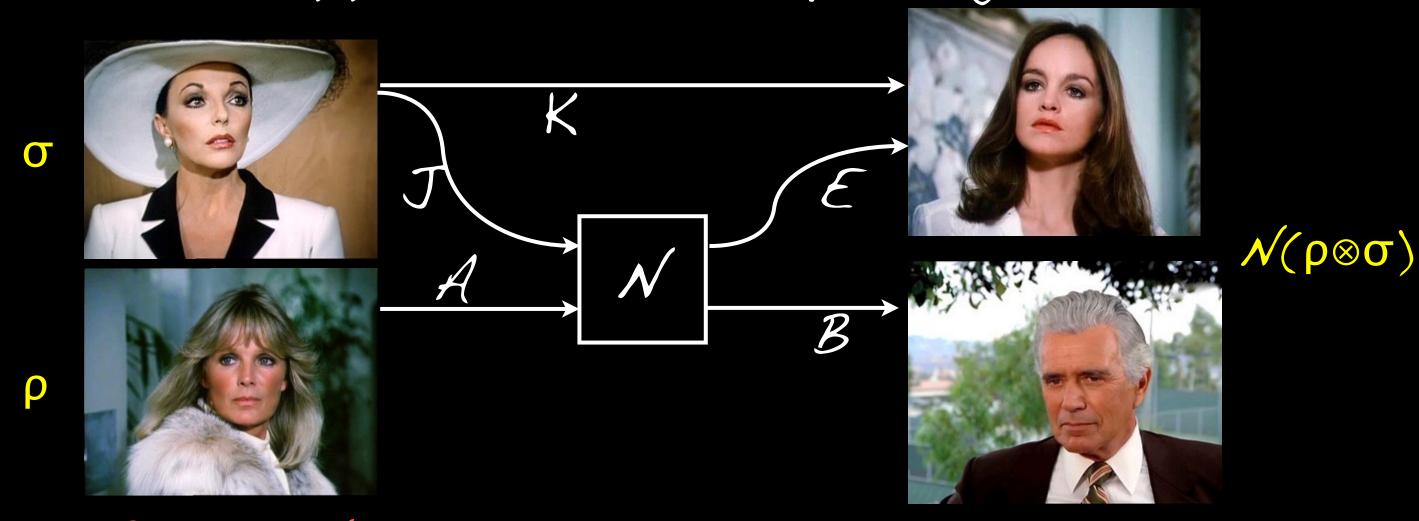
1) Random permutations provide a systematic link between compound and arbitrarily varying jammer, explaining why the capacity formulas are the same 2) Matrix tail bounds establish the fully quantum analogue of the Ahlswede dichotomy, showing that the required randomness is always logarithmic in the block length.

5. Reflections/Conclusion

3) Both results rely on the linearity of the error in  $\sigma$ , and more specifically that it is given by an observable  $0 \leq E \leq I$ , which works for both C and Q. This is non-trivial and may not be the case for other channel capacities.

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4) Don't take it for granted! Consider the private capacity P(N), introducing an eavesdropper informed by the jammer:



The problem is that the privacy criterion (trace norm) isn't linear in  $\sigma$ ...

5. Reflections/Conclusion

5) We also used finiteness of IAI, IBI and most importantly IJI. If we keep input and output finite, can we also allow infinite dimensional J? This presents a problem both for the de Finetti reduction to compound, as well as for the elimination of correlation [Cf. Ahlswede, Z. Wahrsch. Verw. Geb. 1978]

Thanks for watching!

