

Strong Secrecy and Decoding Performance Analysis for Robust Broadcasting under Channel Uncertainty

Rafael Schaefer



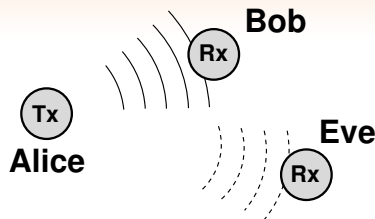
joint work with
Holger Boche (Technische Universität München)

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Motivation

- Signal is received by legitimate users but also eavesdropped by **non-legitimate users**

➡ Need of **secure communication systems**



- Security on higher layers is usually based on the **assumption of insufficient computational capabilities of non-legitimate receivers**

➡ **Use of information theoretic secrecy concepts**

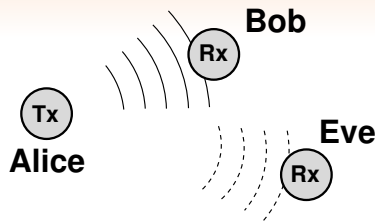
- Imperfect channel estimation, limited feedback schemes, etc.
- Eve will **not share its channel information with Alice** to make eavesdropping harder

➡ **Uncertainty in channel state information**

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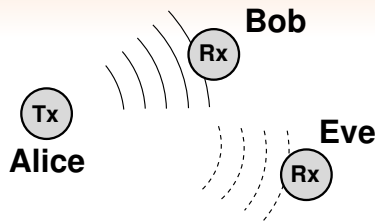
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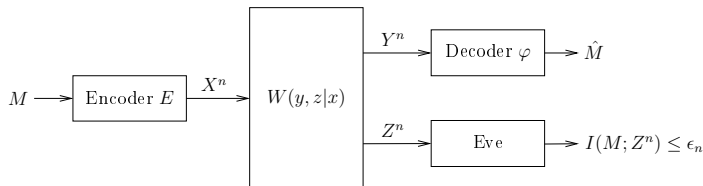
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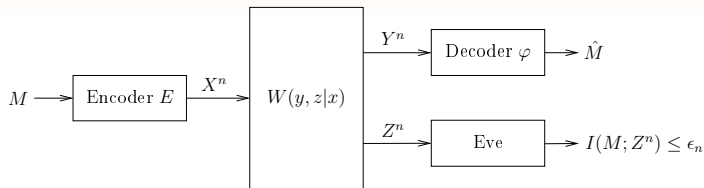
Wiretap Channel



- Consider discrete memoryless **wiretap channel** with
 - confidential message M with rate R for receiver 1 (Bob)
- Total amount of information leaked to receiver 2 (Eve) has to be small
 - ▮ **Strong secrecy** requirement on M , i.e.,

$$I(M; Z^n) \leq \epsilon_n$$

Secrecy Capacity of Wiretap Channel





Secrecy Capacity [Wyner '75, Csiszár/Körner '78]

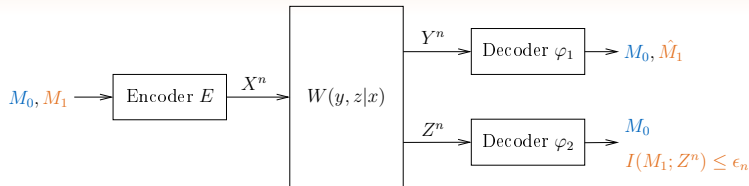
The strong secrecy capacity of the wiretap channel is

$$C = \max_{P_{VX}} (I(V; Y) - I(V; Z))$$

for random variables $V - X - (Y, Z)$.

-  A. D. Wyner, "The Wire-Tap Channel," *Bell Syst. Tech. J.*, vol. 54, pp. 1355–1387, Oct. 1975
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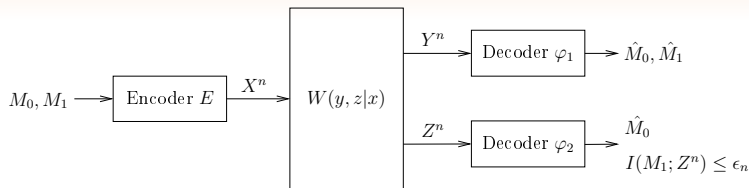
Broadcast Channel with Confidential Messages



- Consider discrete memoryless **broadcast channel with common and confidential messages (BCC)** with
 - common message M_0 with rate R_0 for both receivers
 - confidential message M_1 with rate R_1 for receiver 1
- Total amount of information leaked to receiver 2 has to be small
 - **Strong secrecy requirement on M_1 , i.e.,**

$$I(M_1; Z^n) \leq \epsilon_n$$

Secrecy Capacity Region of BCC



Secrecy Capacity Region [Csiszár/Körner '78 and '11]

The strong secrecy capacity region of the BCC is the set of all rate pairs $(R_1, R_0) \in \mathbb{R}_+^2$ that satisfy

$$R_1 \leq I(V; Y|U) - I(V; Z|U)$$

$$R_0 \leq \min\{I(U; Y), I(U; Z)\}$$

for random variables $U - V - X - (Y, Z)$.



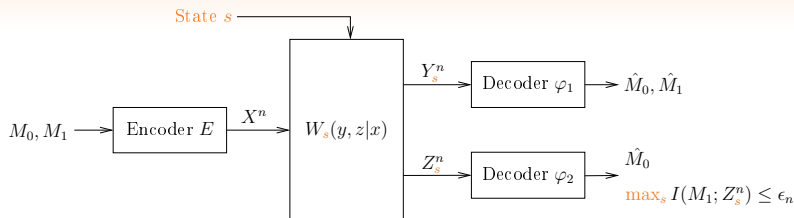
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Channel Uncertainty

- Practical systems always suffer from **uncertainty in CSI** due to
 - nature of the wireless channel
 - estimation/feedback inaccuracy
 - ...

▮ **Perfect CSI is a challenging task**

Compound BCC



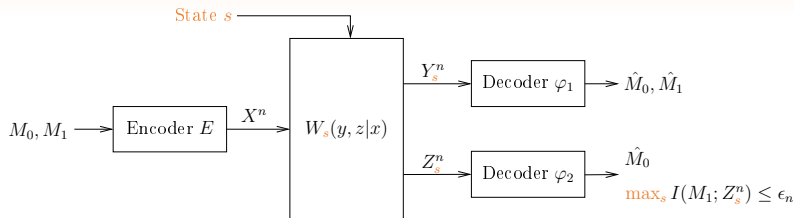
- In this work we additionally consider **channel uncertainty**
- State set $\mathcal{S} := \{1, \dots, S\}$
 - actual channel realization $s \in \mathcal{S}$ **unknown** to sender and receiver
 - remains **constant during whole transmission**

The discrete memoryless **compound BCC** \mathfrak{W} is given by the family

$$\mathfrak{W} := \{W_s(y, z|x) : s \in \mathcal{S}\}$$

➡ Need strategy that works for all $s \in \mathcal{S}$ simultaneously!

Achievable Secrecy Rate Region



Theorem 1: Achievable Secrecy Rate Region

An achievable strong secrecy rate region for the compound BBC \mathfrak{W} is given by all rate pairs $(R_1, R_0) \in \mathbb{R}_+^2$ that satisfy

$$R_1 \leq \min_{s \in \mathcal{S}} I(V; Y_s|U) - \max_{s \in \mathcal{S}} I(V; Z_s|U)$$

$$R_0 \leq \min_{s \in \mathcal{S}} \min\{I(U; Y_s), I(U; Z_s)\}$$

for random variables $U - V - X - (Y_s, Z_s)$.

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for random variables $U - V - X - (Y_s, Z_s)$.

- Node 2 is legitimate receiver for M_0 and, at the same time, non-legitimate receiver for M_1
 - Different assumptions on its channel:
 - best channel for confidential M_1
 - worst channel for common M_0

Questions

- Theorem 1 gives an achievable rate region at which rates can be communicated reliably and securely simultaneously

▮▮▮▮ Several questions arise

- Theorem 1 is proved using random coding arguments

▮▮▮▮ What can we say about **properties** of such strategies?

- Secrecy criterion is

$$\max_{s \in \mathcal{S}} I(M_1; Z_s^n) \leq \epsilon_n$$

▮▮▮▮ Common message M_0 is available at receiver 2. Should **M_0 be taken into account?**

▮▮▮▮ What is the **operational meaning** of this? What are the **implications** for the non-legitimate receiver?

Vanishing Output Variation

- Investigating proof of Theorem 1 reveals the following property

Definition: Vanishing Output Variation

A code has exponentially fast *vanishing output variation* if there exists for each $s \in \mathcal{S}$ and $m_0 \in \mathcal{M}_0$ a non-negative measure ϑ_{s,m_0} on \mathcal{Z}^n such that for all $m_1 \in \mathcal{M}_1$ it holds

$$\sum_{z^n \in \mathcal{Z}^n} |\overline{W}_{\mathcal{Z},s}^n(z^n|m_0, m_1) - \vartheta_{s,m_0}(z^n)| \leq 2^{-n\beta} \quad (1)$$

for some $\beta > 0$. Instead of (1) we also write

$$\|\overline{W}_{\mathcal{Z},s}^n(\cdot|m_0, m_1) - \vartheta_{s,m_0}\| \leq 2^{-n\beta} \text{ interchangeably.}$$

- For each channel realization $s \in \mathcal{S}$ and each common $m_0 \in \mathcal{M}_0$:
 - Channel output at receiver 2 “is the same” **for all** $m_1 \in \mathcal{M}_1$

Strong Secrecy

- Receiver is supposed to decode the common message $m_0 \in \mathcal{M}_0$
 - ➡ Secrecy criterion should reflect this fact:

$$\max_{s \in \mathcal{S}} I(M_1; Z_s^n | M_0) \leq \epsilon_n$$

Proposition: Strong Secrecy

If a code for the compound BCC has the **vanishing output variation** property, then the strong secrecy criterion satisfies

$$\max_{s \in \mathcal{S}} I(M_1; Z_s^n) \leq \epsilon_n$$

and

$$\max_{s \in \mathcal{S}} I(M_1; Z_s^n | M_0) \leq \epsilon_n$$

with $\epsilon_n \rightarrow 0$ exponentially fast as $n \rightarrow \infty$.

Decoding Performance of Non-Legitimate Receiver

What are the implications for the non-legitimate receiver?

- Assume **worst case**: Receiver 2 knows
 - channel state $s \in \mathcal{S}$
 - common message $m_0 \in \mathcal{M}_0$ (supposed to decode it anyway)
- ➡ Receiver 2 can choose arbitrary decoding sets $\mathcal{D}_{s,m_0}(m_1)$, $m_1 \in \mathcal{M}_1$, for each $s \in \mathcal{S}$, $m_0 \in \mathcal{M}_0$

Proposition: Average Decoding Error

If the code has vanishing output variation, then the average probability of decoding error satisfies

$$\min_{s \in \mathcal{S}} \bar{e}'_{2,n}(s) \geq 1 - \frac{1}{|\mathcal{M}_1|} - \lambda_n$$

with $\frac{1}{|\mathcal{M}_1|} \rightarrow 0$ and $\lambda_n \rightarrow 0$ exponentially fast as $n \rightarrow \infty$.

Implications

Theorem: Implications

If a code for the compound BCC has the vanishing output variation property, then secrecy is guaranteed in the *information theoretic sense* of

$$\max_{s \in \mathcal{S}} \max \{I(M_1; Z_s^n), I(M_1; Z_s^n | M_0)\} \leq \epsilon_n$$

but also in the *signal processing sense* of

$$\min_{s \in \mathcal{S}} \bar{e}'_{2,n}(s) \geq 1 - \frac{1}{|\mathcal{M}_1|} - \lambda_n$$

with $\frac{1}{|\mathcal{M}_1|} \rightarrow 0$, $\epsilon_n \rightarrow 0$, and $\lambda_n \rightarrow 0$ exponentially fast as $n \rightarrow \infty$.

- Holds for any decoding strategy of receiver 2 (no restrictions on the complexity or computational resources)
- ▶ Universal results which hold for any applied post-processing strategy of the non-legitimate receiver.

Conclusions

- Studied **compound BC with confidential messages**
 - Incorporates **public and confidential** communication
 - Reliable communication and, especially, secrecy must be established **under channel uncertainty**
- Established **achievable strong secrecy rate region**
- Identified desirable code property of **vanishing output variation**
 - Implies strong secrecy in the **information theoretic** sense
 - Implies strong secrecy in terms of **average decoding error**
 - Gives strong secrecy an operational meaning/interpretation

Thank you for your attention!

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