

# On the Use of Secret Keys in Broadcast Channels with Receiver Side Information

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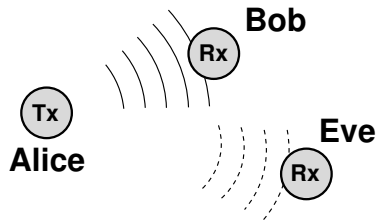


joint work with  
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SS4: Signal Processing for Cyber-Security and Privacy  
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# Motivation

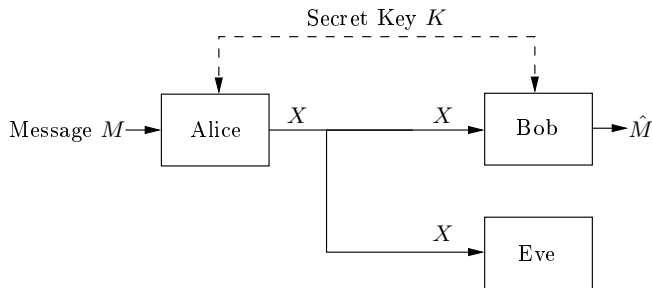
- Signal is received by legitimate users but also eavesdropped by **non-legitimate users**
  - ➡ Need of **secure communication systems**



- Security on higher layers is usually based on the **assumption of insufficient computational capabilities of non-legitimate receivers**
  - ➡ **Use of information theoretic secrecy concepts**

# Information Theoretic Secrecy

Shannon '49

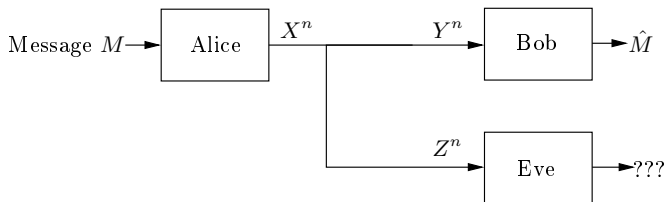


- Perfect secrecy  $P(M|X) = P(M)$
- Key size = message length

➡ **One-time pad**

# Wiretap Channel

Wyner '75



- Reliability constraint :  $\Pr(M \neq \hat{M}) \xrightarrow{n} 0$
- Secrecy Constraint :  $I(M; Z^n) \xrightarrow{n} 0$

▮ **Secrecy Capacity**

# Wiretap Channel - Extensions

## MIMO Channels (Spatial Diversity)

Negi-Goel (2008), Khisti-Wornell (2010), Oggier-Hassibi (2011), Liu-Shamai (2009), Shafiee-Liu-Ulukus (2009), Liu-Bustin-Shamai-Poor (2010), He-Khisti-Yener (2011), Loyka-Charalambous (2012), Mukherjee-Swindlehurst (2011), Shi-Ritcey (2010)

## Fading Channels (Power and Rate Control)

Liang-Poor-Shamai (2008), Lai-Gopala-ElGamal (2008), Khisti-Tchamkerten-Wornell (2008), Bloch-Barros-Rodrigues-McLaughlin (2011), Li-Petropulu (2011), Tang-Liu-Spasojevic (2009), Khalil-Youssef-Koyluoglu-ElGamal (2009)

## Multiuser Channels and Cooperative Communications

Oohama (2006), Liang-Poor (2008), Lai-ElGamal (2008), Liu-Maric-Spasojevic-Yates (2008), Koyluoglu-ElGamal-Lai (2011), Liu-Prabhakaran-Vishwanath (2008), Tang-Liu-Spasojevic (2011), Lai-ElGamal-Poor (2008), Xu-Gao-Chen (2009)

## Coding Techniques

Thangaraj-Dihidar-Calderbank-McLaughlin-Merolla (2007), Liu-Liang-Poor (2007), Klinc-Ha-McLaughlin-Barros (2011), Koyluoglu-ElGamal (2011), Mahdavifar-Vardy (2011), Hof-Shamai (2010), Oggier-Sole-Belfiore (2011), Andersson (2013)

# Problem Setup

## Broadcast Channel with Receiver Side Information and Independent Secret Keys

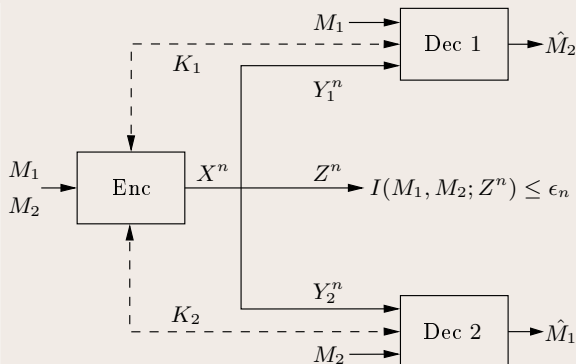
### Problem Setup:

- One transmitter
- Two users
- One eavesdropper
- BC:  $P_{Y_1 Y_2 Z|X}$
- Receiver side information

### Secret Keys:

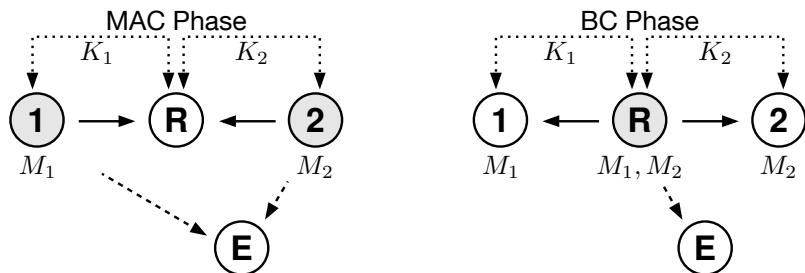
- $K_1, K_2 \in [1, 2^{nR_K}]$
- Independent keys
- $R_K \rightarrow \infty$

### Channel Model



# Decode-and-Forward Bidirectional Relaying

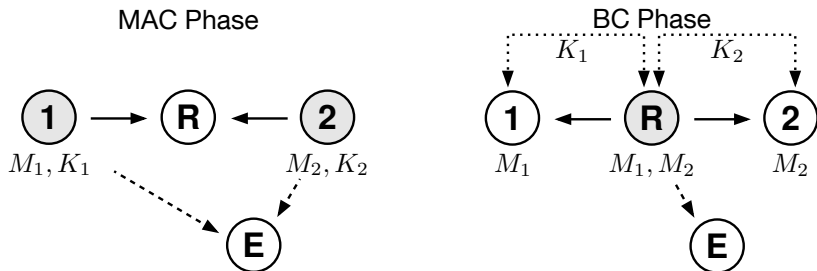
Secret Key Available Prior to Transmission



- MAC Phase: use secret keys as one-time pads – results in classical MAC with known capacity region [Ahlswede (1971), Liao (1972)]
- BC Phase: corresponds exactly to the BC with receiver side information and independent secret keys

# Decode-and-Forward Bidirectional Relaying

## Creating Secret Key in MAC Phase

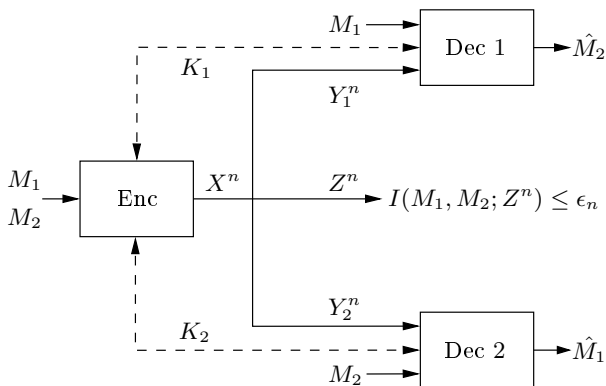


- MAC Phase: MAC wiretap channel – well understood [Liang-Poor (2008), Ekrem-Ulukus (2008), Tekin-Yener (2008), Wiese-Boche (2013), ...]
- BC Phase: corresponds exactly to the BC with receiver side information and independent secret keys



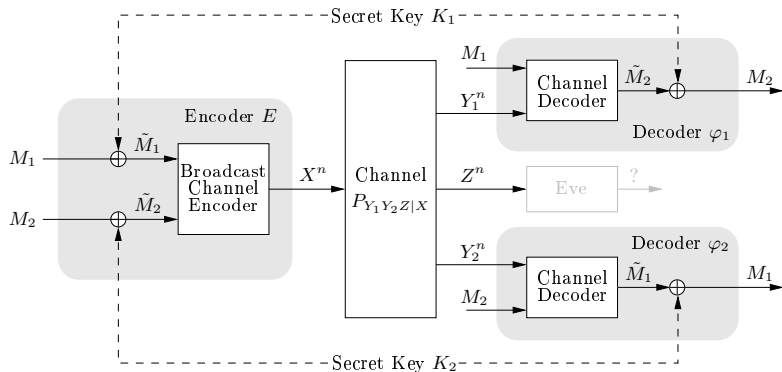
# Back to our Problem

## BC Phase of D&F Bidirectional Relaying



# Approach 1

## Secret-Keys as One Time Pad



- Two one-time pads:  $\tilde{M}_i = M_i \oplus K_i, i = 1, 2$
- Broadcast channel encoder with two independent messages
- Interference between two receivers
  - ➡ Reduce to the **classical BC with two independent messages**

# One-Time Pad Achievable Rate Region

- One-time pads immediately guarantees secrecy
  - ▮ Allows to apply classical strategies for reliability

## *Proposition: Superposition Coding*

*An achievable secrecy rate region is given by:*

$$R_1 \leq I(X; Y_1 | U)$$

$$R_2 \leq I(U; Y_2)$$

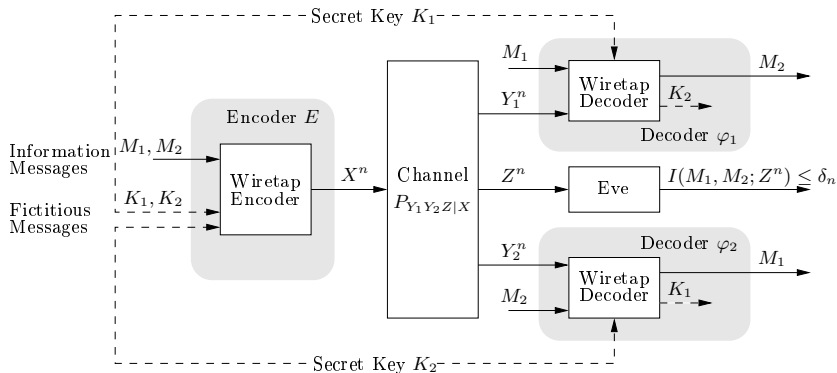
$$R_1 + R_2 \leq I(X; Y_1)$$

for random variables satisfying  $U - X - (Y_1, Y_2)$ .

- However, this approach does not exploit the noisy channel...

# Approach 2

## Secret-Keys as Fictitious Messages in Wiretap Code



- Wiretap code: **fictitious messages** ( $K_1, K_2$ ) for randomization
- Receiver 1: Decode  $(M_2, K_2)$ , has side-information  $(M_1, K_1)$
- Receiver 2: Decode  $(M_1, K_1)$ , has side-information  $(M_2, K_2)$

# Main Result

## Degraded Eavesdropper

### *Theorem:* Degraded Eavesdropper Channel

Suppose the BC  $P_{Y_1 Y_2 Z|X}$  satisfies

$$X - Y_1 - Z$$

$$X - Y_2 - Z$$

The *secrecy capacity* is given by:

$$R_1 \leq I(X; Y_1)$$

$$R_2 \leq I(X; Y_2)$$

$$R_1 + R_2 \leq I(X; Y_1) + I(X; Y_2) - I(X; Z).$$

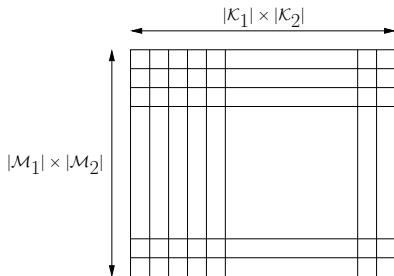
- ▶ The capacity is achieved using secret-keys as fictitious messages in a wiretap code (Approach 2).

# Achievability

## Alternative Capacity Expression

$$\bigcup_{0 \leq \alpha \leq 1} \left\{ \begin{array}{l} R_1 \leq I(X; Y_1) - \alpha I(X; Z) \\ R_2 \leq I(X; Y_2) - (1 - \alpha) I(X; Z) \end{array} \right\}$$

### Wiretap codebook



$$|\mathcal{K}_1| > 2^{n((1-\alpha)I(X;Z)+\epsilon)}$$

$$|\mathcal{K}_2| > 2^{n(\alpha I(X;Z)+\epsilon)}$$

$$|\mathcal{M}_2| < 2^{n(I(X;Y_1)-\alpha I(X;Z)-2\epsilon)}$$

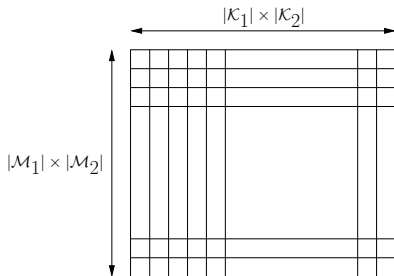
$$|\mathcal{M}_1| < 2^{n(I(X;Y_2)-(1-\alpha)I(X;Z)-2\epsilon)}$$

# Achievability

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Wiretap codebook



$$(\text{Secrecy}) : \frac{1}{n} \log(|\mathcal{K}_1| |\mathcal{K}_2|) > I(X; Z)$$

$$(\text{Rcv. 1}) : |\mathcal{M}_2| |\mathcal{K}_2| \leq 2^{n(I(X; Y_1) - \epsilon)}$$

$$(\text{Rcv. 2}) : |\mathcal{M}_1| |\mathcal{K}_1| \leq 2^{n(I(X; Y_2) - \epsilon)}$$

# Converse

$$R_1 \leq I(X; Y_1)$$

$$R_2 \leq I(X; Y_2)$$

$$R_1 + R_2 \leq I(X; Y_1) + I(X; Y_2) - I(X; Z)$$

▮▮▮▮▶ Key steps:

$$n(R_1 + R_2) \leq \underbrace{I(M_2; Y_1^n | M_1, K_1)}_{\text{Fano}} + \underbrace{I(M_1; Y_2^n | M_2, K_2)}_{\text{Fano}} - \underbrace{I(M_1, M_2; Z^n)}_{\text{Secrecy}}$$



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# Conclusions

Secure transmission to two users using independent secret keys

- Approach 1: **Secret keys as one-time pads**, independent messages
- Approach 2: **Secret keys as fictitious messages** in the wiretap code
- Degraded eavesdropper channel: Approach 2 is optimal
- Reversely degraded channel: Approach 2 does not work anymore. Approach 1 establishes secure communication

Thank you for your attention!

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