

# Super-activation as a phenomenon in information theory

Janis Nötzel

Beyond IID 4

Barcelona

21.07.2016

# Topics of this Talk

- ① **Motivation: What makes the difference?**
- ② **Historical background of “activation” in Shannon Theory**
  - until '00: Classical “activation” results
  - until '13: Quantum “super-activation”
  - recently : Classical “super-activation”
- ③ **Introducing the arbitrarily varying wiretap channel (AVWC)**
  - Key idea, some picture, different setups
  - AVWC bridges the gap between i.i.d. and non-i.i.d. world
  - A protocol that super-activates certain AVWCs
- ④ **Precise formulations**
  - Codes, capacity
  - The recent results + some central ideas in proofs

Results presented in this talk based on joint work with M. Wiese and H. Boche

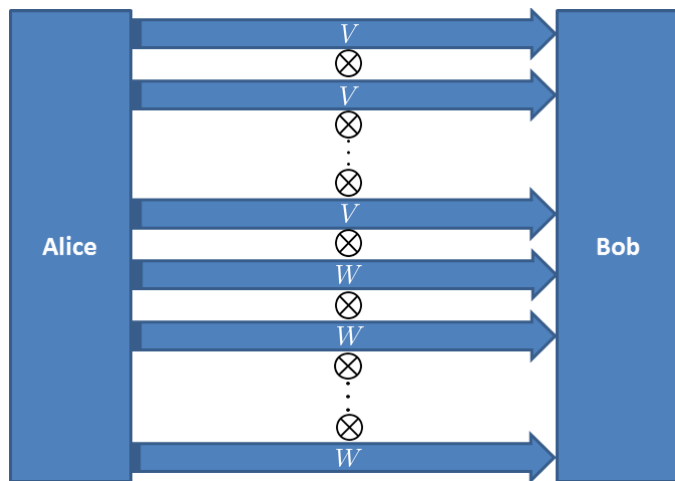
[NWB16] N., M. Wiese, H. Boche, “The Arbitrarily Varying Wiretap Channel - Secret Randomness, Stability, and Super-Activation”, IEEE Trans. Inf. Theory, Vol. 62, No. 6 (2016)

[WNB16] M. Wiese, N., H. Boche, “A channel under simultaneous jamming and eavesdropping attack - Correlated random coding capacities under strong secrecy criteria”, IEEE Trans. Inf. Theory, vol. 62, no. 7, pp. 3844 - 3862 (2016)

# ① Motivation: Which ingredients make the difference?

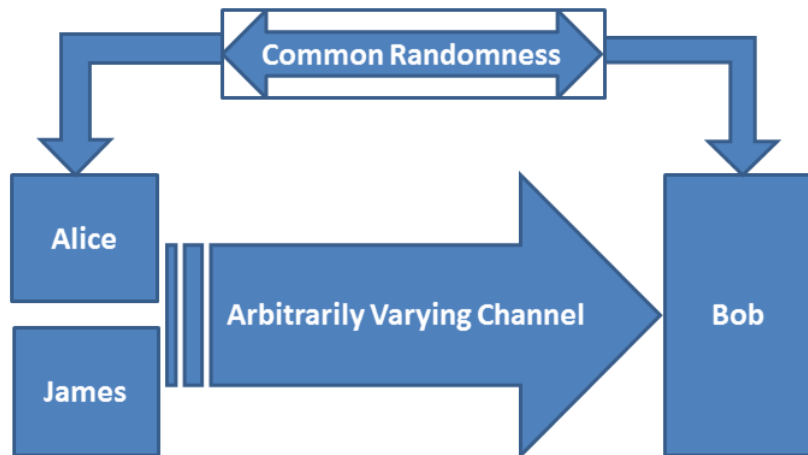
- If I am to build a communication system, with
  - Numerous nodes
  - Many users
  - Many different needs that should be addressed
  - A limited number of different devices and techniques available
  - A limited amount of time (=money) to set up the network
- what are the key effects that I should worry about?
- How do I deal with them?
- For example when devices need to work together in order to form an end-to-end link, they only give us certain degrees of freedom.
- Some errors can be compensated for easily (e.g. good coding schemes make the impact of imperfections small), some not.
- General theory of communication chains (resource theory): not yet.
- In particular: Many examples are available that show how using resources together increases efficiency. For example:
  - ① When the zero error criterion is used
  - ② When shared randomness can be used between Alice and Bob
  - ③ When public feedback is allowed between them
  - ④ When different channels can be used in parallel

## ② Zero-Error Communication



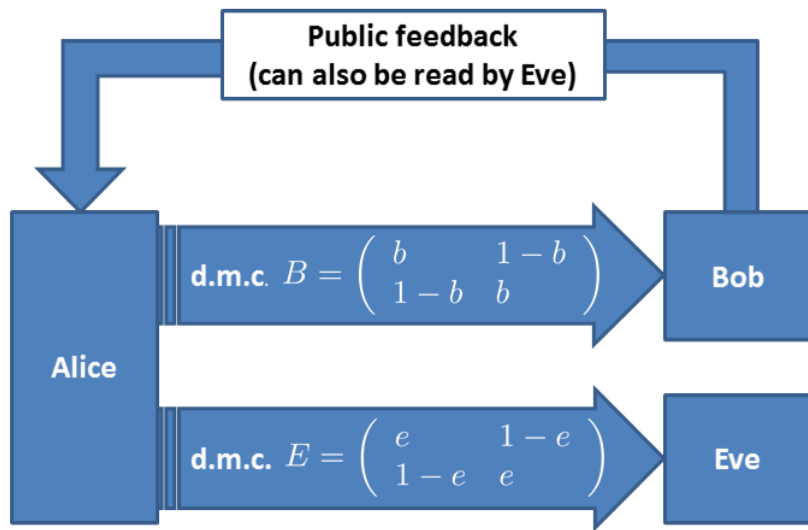
- Conjecture [Sha56]:  $C_0$  is additive.
- $\exists V, W : C_0(V \otimes W) > C_0(V) + C_0(W)$  [Hae78,Hae79,Alo98].
- $C_0$  is connected to AVC under maximal error criterion [Ahl70].

## ② Ahlswede Dichotomy



Common randomness guarantees successful message transmission over the arbitrarily varying channel (under average error criterion) even when it may be impossible without [Ahlswede78].

## ② Secret Key Agreement by Public Discussion



Public feedback enables establishment of a secret key between Alice and Bob even when  $b < e$  [Mau93].

## ② Super-activation

- So far, only activation has been mentioned.
- In 2008, Smith & Yard [SY08] discovered that even super-activation is possible.
- The effect was proven by them to occur on specific pairs of quantum channels. A pair  $(\mathcal{A}, \mathcal{B})$  of channels is said to show super-activation for the quantum capacity if

$$Q(\mathcal{A}) = Q(\mathcal{B}) = 0 \text{ and } Q(\mathcal{A} \otimes \mathcal{B}) > 0.$$

- Smith & Yard used channels where  $\mathcal{B}$  satisfies  $\mathcal{P}(\mathcal{B}) > 0$  but  $Q(\mathcal{B}) = 0$  and  $\mathcal{A}$  is a 50% erasure channel that satisfies  $Q(\mathcal{A}) = 0$ .
- Smith & Yard pointed out that the reasons for these two quantum capacities being equal to zero may be different, so that some compensation may become possible.
- According to state of knowledge at that time, super-activation seemed to be a purely quantum effect with no counterpart in classical information theory.
- The phenomenon is still actively researched [KMWY16].

## ② Super-Activation in a Classical System

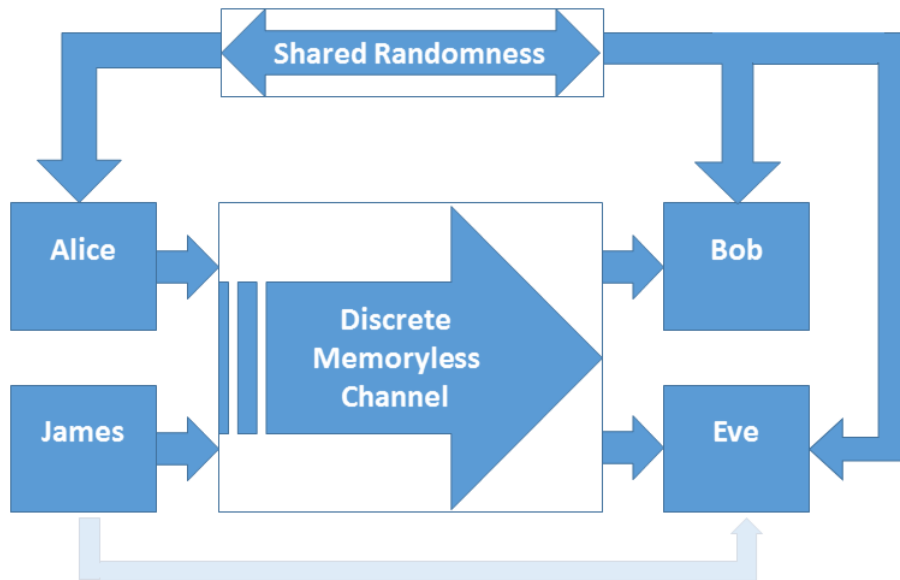
- In [BS14], Boche and Schaefer presented a protocol that demonstrated how to super-activate certain pairs of arbitrarily varying wiretap channels (AVWCs).
- In [WNB16], we provided (among others) a capacity formula for the AVWC
- In [NWB16], we investigated super-activation of the AVWC further. A complete characterization in terms of the formula from [WNB16] was achieved.

We will now:

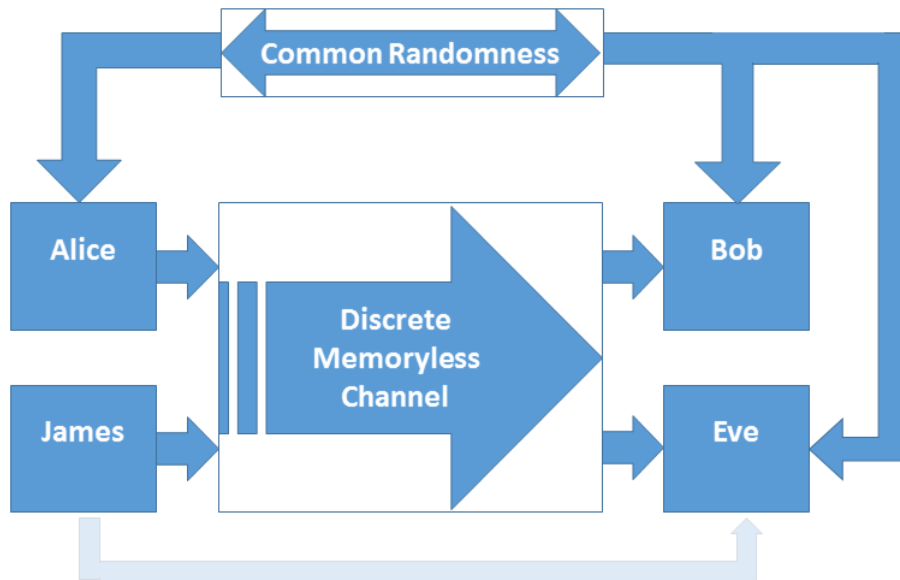
- ① introduce the AVWC,
- ② explain why it is even “strictly beyond IID”
- ③ explain how it can be super-activated
- ④ then we present the extended characterization.



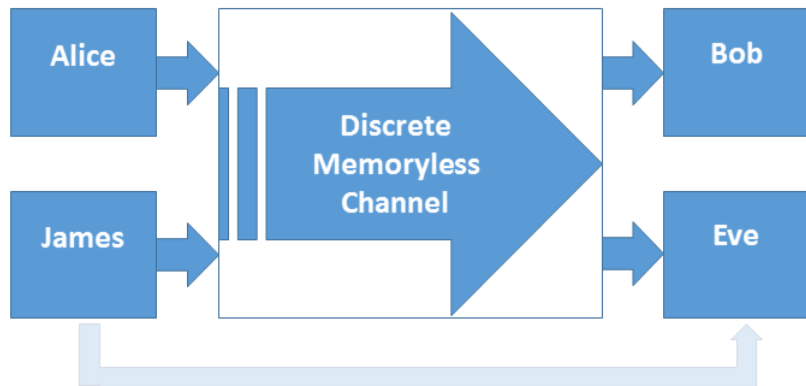
### ③ The AVWC: Shared vs. Common Randomness



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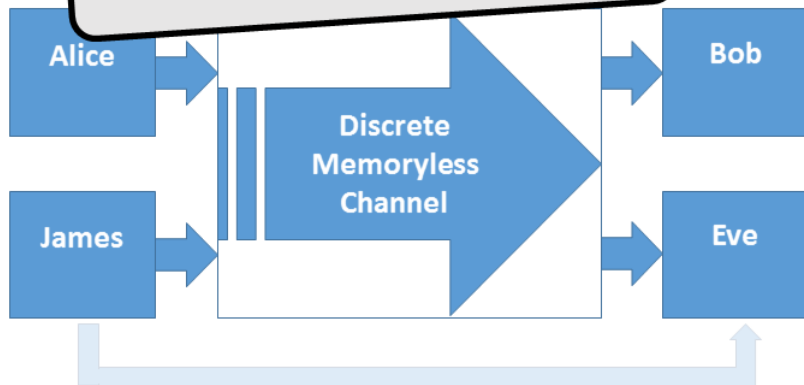
### ③ The AVWC: Without External Randomness



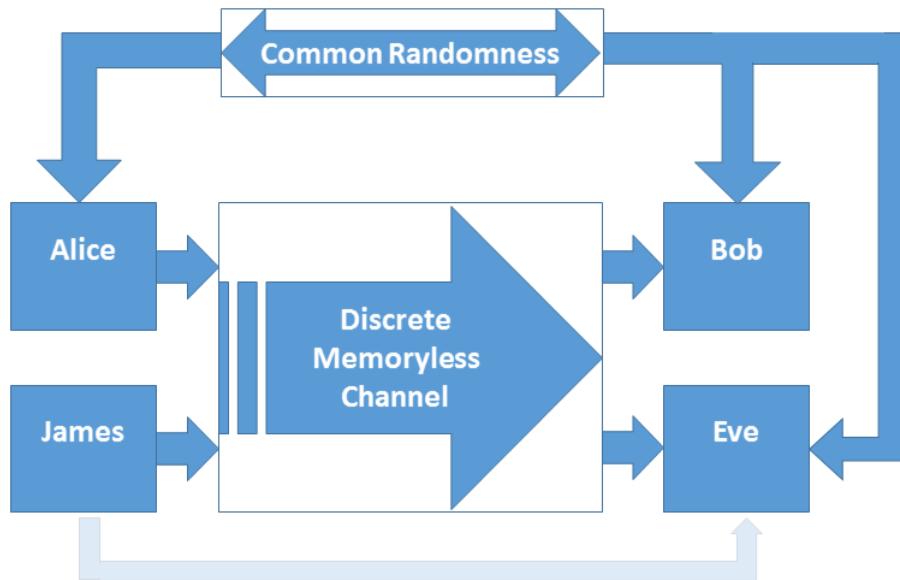
### ③ The AVWC: Without External Randomness

Capacity without any external assistance:

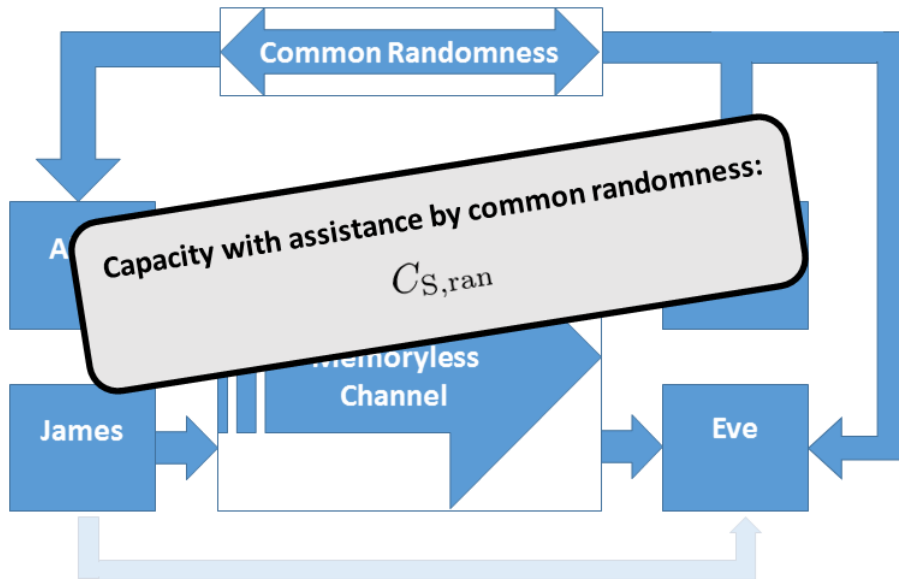
$$C_S$$



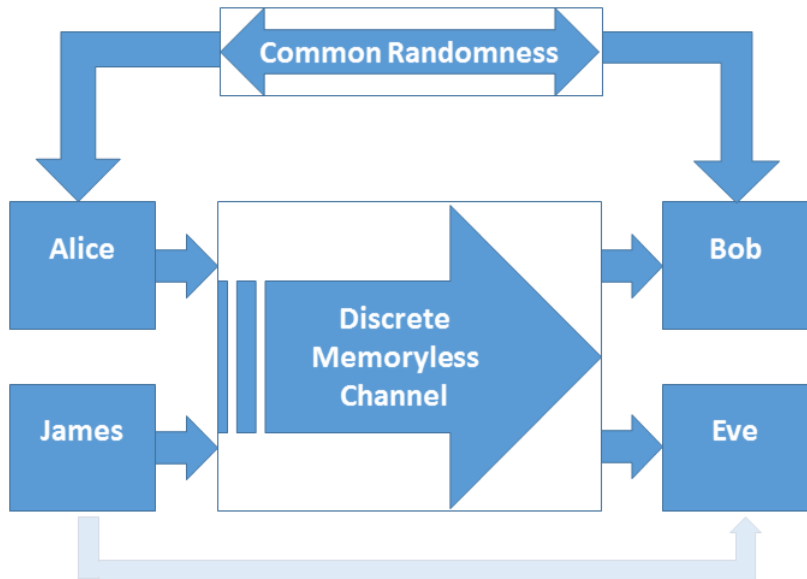
### ③ The AVWC: With External Randomness known by Eve



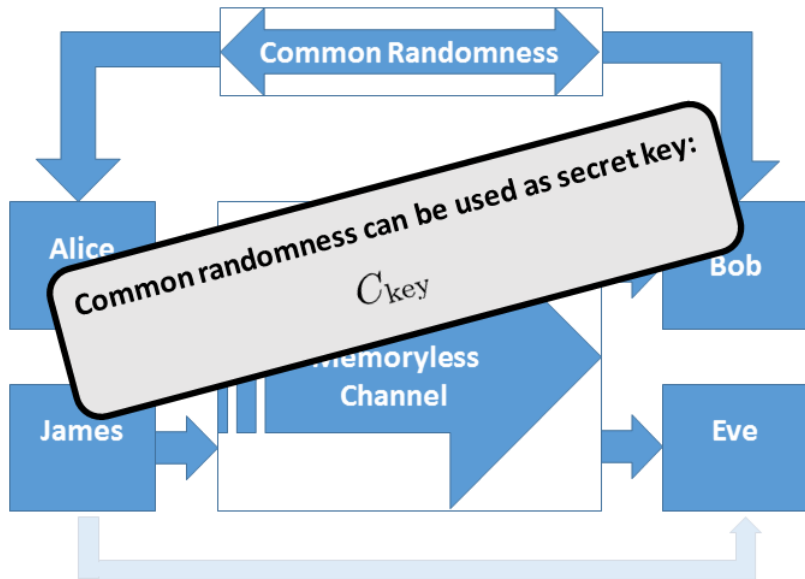
### ③ The AVWC: With External Randomness known by Eve



### ③ The AVWC: External Randomness used as Secret Key



### ③ The AVWC: External Randomness used as Secret Key





### ③ Why is this “beyond IID”?

- Forget about Eve (for the moment)
- The choices of James are not limited in any form.
- Thus, no probability distribution can be said to govern his choices, especially no i.i.d. distribution.
- Any code between Alice and Bob has to work reliably both under such extreme type of error and, simultaneously, under “usual” i.i.d. noise.
- One may think of the sources of the noise as split into two parts:
  - ① One where e.g. a car can cross the communication link (James being the driver). Over the (short) time of communication, it may not be suitable to model such rare events as random variables.
  - ② One where noise happens e.g. in a receive antenna. When the communication link is disturbed by a large obstacle (e.g. a car), the lower SNR increases the noise level in the antenna.
- Only the influence of e.g. common randomness allows to transform the model into the i.i.d. realm.
- We will give a short sketch of the respective mechanism:

### ③ Why is this “beyond IID”?

Consider an AVC  $(W_1, W_2)$ . How can Alice and Bob transform the AVWC to something more IID?

- Alice and Bob agree on any code. Let it have length 10.
- In addition, they choose (uniformly random) from the set of permutations on  $\{1, \dots, 10\}$ .
- Consider James sending  $s^{10} = (1, 1, 1, 1, 1, 2, 2, 2, 2, 2)$ . The type of this is  $10^{-1} \cdot N(1|s^{10}) = 5/10$ .
- Alice and Bob's random code effectively transforms James' choice:

$$\frac{1}{10!} \sum_{\pi} \delta_{\pi(s^{10})} = |T_N|^{-1} \cdot \mathbb{1}_{T_N} \approx \left( \frac{1}{10} N(\cdot | s^{10}) \right)^{\otimes 10} = \left( \frac{1}{2} \mathbb{1} \right)^{\otimes 10}.$$

- The communication cost of this is super-exponential, but may be brought down to roughly log of the number of channel uses.
- An external communication link is necessary for this, and James has to be kept ignorant of the exact permutation!
- This transforms the AVC to a compound channel.

### ③ How super-activation occurs for AVWCs

- The effect is based on two observations:
  - ① symmetrizability. A symmetrizable AVWC cannot transmit messages, but the defect can be repaired by using an external resource like e.g. shared randomness (Ahlsvede dichotomy)
  - ② There are AVWCs that allow for reliable but insecure transmission of data.
- Key idea:
  - ① Take one symmetrizable AVWC which is secure when assisted by common randomness (CR).
  - ② Take a second AVWC which is non-symmetrizable but not secure.
  - ③ Use the insecure AVWC to transmit messages to Bob.
  - ④ Use these messages as CR for the symmetrizable AVWC.
  - ⑤ Benefit from the positive CR-assisted secrecy capacity of the first AVWC.
- Immediate question: Is this the whole story?
- Answer: Still not known.
- One step on the way: see the next slides.

## ④ Notation

- $\mathcal{P}(\mathcal{A})$  - the probability distributions on  $\mathcal{A}$ .
- $\mathcal{A}^n := \{(a_1, \dots, a_n) : a_i \in \mathcal{A} \ \forall i \in \{1, \dots, n\}\}$ .
- The set of channels from  $\mathcal{A}$  to  $\mathcal{B}$  is  $C(\mathcal{A}, \mathcal{B})$ .  $w \in C(\mathcal{A}, \mathcal{B})$  is identified with the transition probabilities  $(w(b|a))_{a \in \mathcal{A}, b \in \mathcal{B}}$ .
- $w \in C(\mathcal{A}, \mathcal{B})$ ,  $w' \in C(\mathcal{A}', \mathcal{B}')$   $\Rightarrow w \otimes w' \in C(\mathcal{A} \times \mathcal{A}', \mathcal{B} \times \mathcal{B}')$  via  $(w \otimes w')((b, b')|(a, a')) := w(b|a)w'(b'|a')$  ( $\forall a, b, a', b'$ ).
- The mutual information of a bipartite random variable  $(X, Y)$  is denoted  $I(X; Y)$ .
- For the remainder of the talk, fix  $\mathcal{S}$ ,  $\mathcal{X}$ ,  $\mathcal{Y}$  and  $\mathcal{Z}$ . The channel to Bob is  $w \in C(\mathcal{S} \times \mathcal{X}, \mathcal{Y})$ . The channel to Eve is  $v \in C(\mathcal{S} \times \mathcal{X}, \mathcal{Z})$ .
- $w$  and  $v$  incorporate all the necessary details for this model.
- Equivalent representation:  $\mathfrak{W} := (w(\cdot|s, \cdot))_{s \in \mathcal{S}} \in C(\mathcal{X}, \mathcal{Y})^{|\mathcal{S}|}$  and  $\mathfrak{V} := (v(\cdot|s, \cdot))_{s \in \mathcal{S}} \in C(\mathcal{X}, \mathcal{Z})^{|\mathcal{S}|}$ .

Denote AVWCs by  $(\mathfrak{W}, \mathfrak{V})$  in what follows.

## ④ Definition of Codes

**DEF I.** Let  $n \in \mathbb{N}$ . A CR assisted code  $\mathcal{K}_n$  for  $n$  uses of  $(\mathfrak{W}, \mathfrak{V})$  consists of:  $K, \Gamma \in \mathbb{N}$ , a set of encoders  $\{E^\gamma\}_{\gamma=1}^\Gamma \subset \mathcal{C}(\{1, \dots, K\}, \mathcal{X}^n)$  and a collection  $(D_k^\gamma)_{k, \gamma=1}^{K, \Gamma}$  of subsets  $D_k^\gamma$  of  $\mathcal{Y}^n$  satisfying  $D_k^\gamma \cap D_{k'}^\gamma = \emptyset$  for all  $\gamma \in [\Gamma]$ , whenever  $k \neq k'$ . Every such code defines the random variables  $S_{s^n} := (\mathfrak{K}_n, \mathfrak{K}'_n, \mathfrak{d}_n, \mathfrak{X}_n, \mathfrak{Y}_{s^n}, \mathfrak{Z}_{s^n})$  ( $s^n \in \mathcal{S}^n$ ) via

$$\begin{aligned} \mathbb{P}(S_{s^n} = (k, k', \gamma, x^n, y^n, z^n)) \\ := \frac{1}{\Gamma \cdot K} e^\gamma(x^n|k) \mathbb{1}_{D_{k'}^\gamma}(y^n) w^{\otimes n}(y^n|s^n, x^n) v^{\otimes n}(z^n|s^n, x^n). \end{aligned}$$

The average error of  $\mathcal{K}_n$  is

$$\text{err}(\mathcal{K}_n) = 1 - \max_{s^n \in \mathcal{S}^n} \frac{1}{K\Gamma} \sum_{k, \gamma=1}^{K, \Gamma} \sum_{x^n} e^\gamma(x^n|k) w^{\otimes n}(D_k^\gamma|s^n, x^n).$$

## ④ Definition of Coding Schemes

**DEF II.** A CR assisted secure coding scheme for  $(\mathfrak{W}, \mathfrak{V})$  operating at rate  $R$  consists of a sequence  $(\mathcal{K}_n)_{n \in \mathbb{N}}$  of CR assisted codes such that

$$\lim_{n \rightarrow \infty} \text{err}(\mathcal{K}_n) = 0, \quad \liminf_{n \rightarrow \infty} \frac{1}{n} \log(K_n) = R,$$

and

$$\limsup_{n \rightarrow \infty} \max_{s^n \in \mathcal{S}^n} I(\mathfrak{K}_n; \mathfrak{Z}_{s^n} | \mathfrak{d}_n) = 0.$$

If  $\Gamma_n = 1$  for all  $n \in \mathbb{N}$ ,  $(\mathcal{K}_n)_{n \in \mathbb{N}}$  is called deterministic coding scheme.

**DEF III.** A secure CR assisted secure coding scheme  $\mathcal{K}$  for  $(\mathfrak{W}, \mathfrak{V})$  operating at rate  $R$  and using an amount  $G > 0$  of secret CR consists of a sequence  $(\mathcal{K}_n)_{n \in \mathbb{N}}$  of CR assisted codes satisfying

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log \Gamma_n = G, \quad \liminf_{n \rightarrow \infty} \frac{1}{n} \log(K_n) = R,$$
$$\lim_{n \rightarrow \infty} \text{err}(\mathcal{K}_n) = 0, \quad \limsup_{n \rightarrow \infty} \max_{s^n \in \mathcal{S}^n} I(\mathfrak{K}_n; \mathfrak{Z}_{s^n}) = 0.$$

## ④ Definition of Capacities

**DEF IV.** Let  $G > 0$ .  $C_{\text{key}}(\mathfrak{W}, \mathfrak{V}, G)$  is the supremum over all  $R \geq 0$  such that there is a secure coding scheme  $\mathcal{K}$  for  $(\mathfrak{W}, \mathfrak{V})$  operating at rate  $R$  and using an amount  $G$  of secret CR.

**DEF V.**  $C_S(\mathfrak{W}, \mathfrak{V})$  is the supremum over all  $R \geq 0$  such that there is a secure deterministic coding scheme  $\mathcal{K}$  at rate  $R$ .

**DEF VI.**  $C_{S,\text{ran}}(\mathfrak{W}, \mathfrak{V})$  is the supremum over all  $R \geq 0$  such that there exists a secure CR assisted coding scheme  $\mathcal{K}$  at rate  $R$ .

## ④ Results

**RESULT 0.** ([WNB16] Capacity with CR known by Eve) It holds

$$C_{S,\text{ran}}(\mathfrak{W}, \mathfrak{V}) = \lim_{n \rightarrow \infty} \frac{1}{n} \max_{p \in \mathcal{P}(\mathcal{U}_n)} \max_{U \in \mathcal{C}(\mathcal{U}_n, \mathcal{X}^n)} \left( \min_{q \in \mathcal{P}(\mathcal{S})} I(p; W_q^{\otimes n} \circ U) - \max_{s^n \in \mathcal{S}^n} I(p; V_{s^n} \circ U) \right)$$

**RESULT 1.** (Capacity with secret CR\*) It holds

$$C_{\text{key}}(\mathfrak{W}, \mathfrak{V}, G) = \min \{ C_{S,\text{ran}}(\mathfrak{W}, \mathfrak{V}) + G, C_{S,\text{ran}}(\mathfrak{W}, \mathfrak{T}) \},$$

where  $\mathfrak{T} = \{t\}$  and  $t(z|x, s) = 1/|\mathcal{Z}| \ \forall s \in \mathcal{S}, x \in \mathcal{X}, z \in \mathcal{Z}$ .

- \* Slightly sidestepping here, but it is important to see that searching for a simpler expression for the capacities of an AVWC is not a hopeless task.

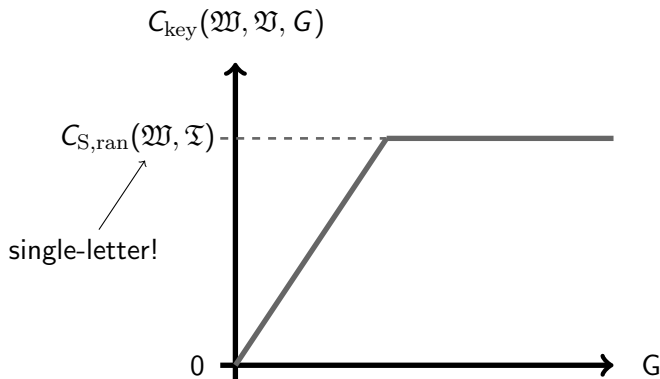


## ④ Results

**RESULT I.** (Capacity with secret CR) It holds

$$C_{\text{key}}(\mathcal{W}, \mathcal{Y}, G) = \min \{ C_{\text{S,ran}}(\mathcal{W}, \mathcal{Y}) + G, C_{\text{S,ran}}(\mathcal{W}, \mathcal{T}) \},$$

where  $\mathcal{T} = \{t\}$  and  $t(z|x, s) = 1/|\mathcal{Z}| \ \forall s \in \mathcal{S}, x \in \mathcal{X}, z \in \mathcal{Z}$ .



### RESULT II. (Symmetrizability)

- 1) If  $\mathfrak{W}$  is symmetrizable, then  $C_S(\mathfrak{W}, \mathfrak{V}) = 0$ .
- 2) If  $\mathfrak{W}$  is non-symmetrizable, then  $C_S(\mathfrak{W}, \mathfrak{V}) = C_{S,\text{ran}}(\mathfrak{W}, \mathfrak{V})$ .

**REMARK.** The proof is based on [CN88], + additional tricks that account for the randomization that is necessary at the encoder in order to keep Eve obfuscated

**REMARK.** An AVC  $\mathfrak{W}$  is symmetrizable if there is a conditional probability distribution  $(u(s|x))_{s \in \mathcal{S}, x \in \mathcal{X}}$  such that

$$\forall x, \hat{x} \in \mathcal{X} : \quad \sum_{s \in \mathcal{S}} u(s|x) w(\cdot | s, \hat{x}) = \sum_{s \in \mathcal{S}} u(s|\hat{x}) w(\cdot | s, x).$$

## ④ Super-Activation: Preliminaries

For two AVWCs  $(\mathfrak{W}_1, \mathfrak{V}_1)$  and  $(\mathfrak{W}_2, \mathfrak{V}_2)$ , we define  $(\mathfrak{W}_1 \otimes \mathfrak{W}_2, \mathfrak{V}_1 \otimes \mathfrak{V}_2)$  to equal

$$((w_1(\cdot|\cdot, s) \otimes w_2(\cdot|\cdot, s'))_{s, s' \in \mathcal{S}}, (v_1(\cdot|\cdot, s) \otimes v_2(\cdot|\cdot, s'))_{s, s' \in \mathcal{S}}),$$

Since all state alphabets are assumed to be finite, there is no loss of generality in this definition. Then,

$$C_S(\mathfrak{W}_1 \otimes \mathfrak{W}_2, \mathfrak{V}_1 \otimes \mathfrak{V}_2) \geq C_S(\mathfrak{W}_1, \mathfrak{V}_1) + C_S(\mathfrak{W}_2, \mathfrak{V}_2)$$

follows trivially from the definition of  $C_d$ . In contrast, if

$$C_S(\mathfrak{W}_1 \otimes \mathfrak{W}_2, \mathfrak{V}_1 \otimes \mathfrak{V}_2) > C_S(\mathfrak{W}_1, \mathfrak{V}_1) + C_S(\mathfrak{W}_2, \mathfrak{V}_2)$$

holds, we speak of *super-additivity* and if even

$$C_S(\mathfrak{W}_1, \mathfrak{V}_1) = C_S(\mathfrak{W}_2, \mathfrak{V}_2) = 0,$$

$$C_S(\mathfrak{W}_1 \otimes \mathfrak{W}_2, \mathfrak{V}_1 \otimes \mathfrak{V}_2) > 0$$

we speak of *super-activation*.

## ④ Super-activation: results

**RESULT III.** (Super-activation) Let  $(\mathfrak{W}_i, \mathfrak{V}_i)_{i=1,2}$  be AVWCs.

1) Assume that  $C_S(\mathfrak{W}_1, \mathfrak{V}_1) = C_S(\mathfrak{W}_2, \mathfrak{V}_2) = 0$ . Then

$$C_S(\mathfrak{W}_1 \otimes \mathfrak{W}_2, \mathfrak{V}_1 \otimes \mathfrak{V}_2) > 0$$

if and only if  $\mathfrak{W}_1 \otimes \mathfrak{W}_2$  is not symmetrizable and

$$C_{S,\text{ran}}(\mathfrak{W}_1 \otimes \mathfrak{W}_2, \mathfrak{V}_1 \otimes \mathfrak{V}_2) > 0.$$

If  $(\mathfrak{W}_i, \mathfrak{V}_i)_{i=1,2}$  can be super-activated it holds

$$C_S(\mathfrak{W}_1 \otimes \mathfrak{W}_2, \mathfrak{V}_1 \otimes \mathfrak{V}_2) = C_{S,\text{ran}}(\mathfrak{W}_1 \otimes \mathfrak{W}_2, \mathfrak{V}_1 \otimes \mathfrak{V}_2).$$

2) If  $C_{S,\text{ran}}$  shows super-activation for  $(\mathfrak{W}_1, \mathfrak{V}_1)$  and  $(\mathfrak{W}_2, \mathfrak{V}_2)$ , then  $C_S$  shows super-activation for  $(\mathfrak{W}_1, \mathfrak{V}_1)$  and  $(\mathfrak{W}_2, \mathfrak{V}_2)$  if and only if at least one of  $\mathfrak{W}_1$  or  $\mathfrak{W}_2$  is non-symmetrizable.

3) If  $C_{S,\text{ran}}$  shows no super-activation for  $(\mathfrak{W}_1, \mathfrak{V}_1)$  and  $(\mathfrak{W}_2, \mathfrak{V}_2)$  then super-activation of  $C_S$  happens if and only if  $\mathfrak{W}_1$  is non-symmetrizable and  $\mathfrak{W}_2$  is symmetrizable and  $C_{S,\text{ran}}(\mathfrak{W}_1, \mathfrak{V}_1) = 0$  and  $C_{S,\text{ran}}(\mathfrak{W}_2, \mathfrak{V}_2) > 0$ .

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There exist pairs of AVWCs satisfying all inequalities in 1) [BS13].

## ④ Key ideas

- In [CN88] the following was proven: If  $R < C(\mathfrak{W})$ , and  $\mathfrak{W}$  is non-symmetrizable, there exists  $N \in \mathbb{N}$  such that for all  $n \geq N$  we have  $\mathbb{P}(\exists \text{ reliable code at rate } R) \geq 1 - \exp(2^{-n \cdot c'})$ .
- Use [CN88] and add secrecy results on top. Exponential number of choices for James is OK because of double-exponentials in reliability and secrecy results.
- Requires heavy use of Chernoff-Hoeffding bound.
- That way, you get a on-shot coding result (Res1) without pre-coding  $U$ .
- Idea: keep using Res1, but for many copies of the channel (this makes use of a second characterization of  $C_{S,\text{ran}}$  from [WNB16]).
- Problem: pre-coding may turn a non-symmetrizable channel symmetrizable. Thus, the optimal rates may not be achievable using Res1!
- We now demonstrate that it may indeed happen that pre-coding makes a channel symmetrizable.
- Then, we explain the way out.

## ④ Example: Calculations

For  $x \in [0, 1]$  set  $x' := 1 - x$ . Define  $\mathfrak{W} \subset C(\{x_1, x_2\}, \{1, 2, 3\})$  by

$$\begin{aligned}w(\cdot | s_1, x_2) &:= 0.4\delta_1 + 0.5\delta_2 + 0.1\delta_3, \\w(\cdot | s_1, x_1) &:= \delta_1, \quad w(\cdot | s_2, x_1) := \delta_2, \quad w(\cdot | s_2, x_2) := \delta_3\end{aligned}$$

Then  $W$  is non-symmetrizable: If  $\lambda, \mu \in [0, 1]$ , the equation

$$\lambda \cdot w(\cdot | s_1, x_1) + \lambda' \cdot w(\cdot | s_2, x_1) = \mu \cdot w(\cdot | s_1, x_2) + \mu' \cdot w(\cdot | s_2, x_2)$$

has no solution. With pre-coding by BSC  $N_p$  ( $W'_s := W_s \circ N_p$ ):

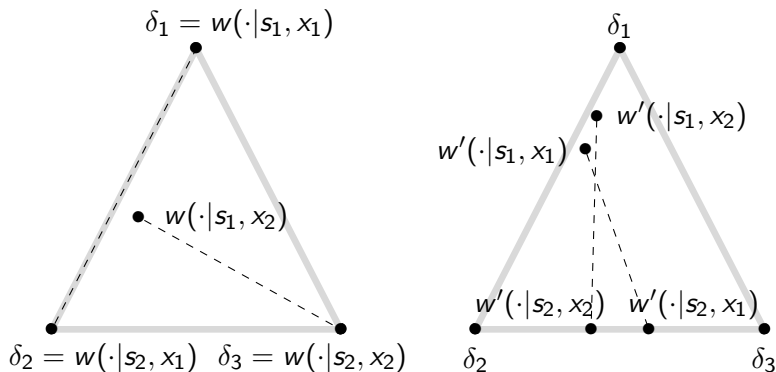
$$\begin{aligned}w'(\cdot | s_1, x_1) &= p\delta_1 + p'(0.4\delta_1 + 0.5\delta_2 + 0.1\delta_3), \\w'(\cdot | s_1, x_2) &= p(0.4\delta_1 + 0.5\delta_2 + 0.1\delta_3) + p'\delta_1, \\w'(\cdot | s_2, x_1) &= p\delta_2 + p'\delta_3, \quad w'(\cdot | s_2, x_2) = p\delta_3 + p'\delta_2.\end{aligned}$$

For  $p = 0.4$ ,  $\lambda = 38/45$ ,  $\mu = 32/45$ , the following holds:

$$\lambda \cdot w'(\cdot | s_1, x_1) + \lambda' \cdot w'(\cdot | s_2, x_1) = \mu \cdot w'(\cdot | s_1, x_2) + \mu' \cdot w'(\cdot | s_2, x_2).$$

Thus  $\mathfrak{W}' = \mathfrak{W} \circ \mathfrak{N}_{0.4}$  is symmetrizable.

## ④ Example: Pictures



Black dots denote probability distributions. Light gray lines are the edges of the probability simplex  $\mathcal{P}(\{1, 2, 3\})$ . The sets  $\text{conv}(\{w(\cdot | s_1, x_i), w(\cdot | s_2, x_i)\})$  where  $i = 1, 2$  are visualized as dashed lines. The intersection of the dashed lines in the right picture shows that  $\mathfrak{W}'$  is symmetrizable.

## ④ The way out of the symmetrizability trap

- Use sub-optimal encodings:
  - ① Take the optimal  $U_n$  for the  $n$ -th term in the capacity formula.
  - ② Set  $\tilde{U}_{n+1} := \mathbb{1} \otimes U_n$ .
  - ③ Define  $\hat{\mathfrak{W}}$  by  $(\hat{W}_{S^{n+1}} \circ \tilde{U}_{n+1})_{S^{n+1} \in S^{n+1}}$ ,  $\hat{\mathfrak{V}}$  accordingly.
  - ④ This definition ensures that  $\hat{\mathfrak{W}}$  is non-symmetrizable.
  - ⑤ Use one-shot coding results for  $(\hat{\mathfrak{W}}, \hat{\mathfrak{V}})$ .
- Prove that this incurs a negligible deviation from optimal the  $n$ -th term in the capacity formula of order  $c/n$  for some constant  $c$ .
- As the capacity formula is regularized, the term  $c/n$  becomes insignificant for increasing  $n$ .
- This strategy automatically lets one achieve capacity for any non-symmetrizable AVWC, including those made up from pairs!
- Much more general than the activation protocol [BS13].
- Only one channel use is “insecure” (as compared to  $\approx \log n$  in [BS13]). One may think of this slot as the one where the CR is transmitted.



## ④ Conclusion

- AVWC is an example of a channel where super-activation happens.
- The effect can be explained in a very practical way.
- We provided an additional and complete characterization in terms of symmetrizability and  $C_{S,\text{ran}}$ .
- Super-activation of  $C_{S,\text{ran}}$  remains an open problem. It is not clear whether the situation underlying the BS protocol is the only way to super-activate an AVWC.
- The expressions for  $C_{S,\text{ran}}$  look intractable.
- We provided some hope that  $C_{S,\text{ran}}$  could be single-letterizable when analyzing  $C_{\text{key}}$ .
- Further results include:
  - Characterization of discontinuity points
  - Stronger (“maximum”) secrecy metric analyzed as well [WNB16]

THANKS FOR YOUR ATTENTION

# Related work

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- [WNB16] M. Wiese, N., H. Boche, "A channel under simultaneous jamming and eavesdropping attack - Correlated random coding capacities under strong secrecy criteria", *IEEE Trans. Inf. Theory*, vol. 62, no. 7, pp. 3844–3862 (2016)

**DEFINITION.** Let  $M_f := \{M \subset C(\mathcal{X}, \mathcal{Y}) : |M| < \infty\}$ . Define

$$F(\mathfrak{W}) := \max_{x \neq x'} \min_u \left\| \sum_s (u(s|x)w(\cdot|s, \hat{x}) - u(s|\hat{x})w(\cdot|s, x)) \right\|_1.$$

Then ' $F(\mathfrak{W}) = 0$ ' is equivalent to 'the AVC  $\mathfrak{W}$  is symmetrizable'.

**DEFINITION.** As metric on the set of AVWCs (and AVCs) we use the Hausdorff-distance which is inherited from the one-norm (variational distance) on probability distributions. Let this distance be denoted by  $d$ .

**RESULT III.** (Discontinuity)

- 1)  $C_d$  is discontinuous in  $(\mathfrak{W}, \mathfrak{V})$  iff:  $C_r(\mathfrak{W}, \mathfrak{V}) > 0$ ,  $F(\mathfrak{W}) = 0$  but:  $\forall \epsilon > 0 \exists \mathfrak{W}_\epsilon$  such that  $d(\mathfrak{W}, \mathfrak{W}_\epsilon) < \epsilon$  and  $F(\mathfrak{W}_\epsilon) > 0$ .
- 2) If  $C_d$  is discontinuous in the point  $(\mathfrak{W}, \mathfrak{V})$  then it is discontinuous for all  $\hat{\mathfrak{V}}$  for which  $C_r(\mathfrak{W}, \hat{\mathfrak{V}}) > 0$ .

**RESULT IV.** (Stability) If  $C_d(\mathfrak{W}, \mathfrak{V}) > 0$  then there is  $\epsilon > 0$  such that  $d((\mathfrak{W}, \mathfrak{V}), (\mathfrak{W}', \mathfrak{V}')) \leq \epsilon$  implies  $C_d(\mathfrak{W}', \mathfrak{V}') > 0$ .