

## Shannon sampling series

**Shannon sampling series:**

$$(S_N f)(t) = \sum_{k=-N}^N f(k) \frac{\sin(\pi(t-k))}{\pi(t-k)}, \quad t \in \mathbb{R}.$$

**Local uniform convergence (Brown):** For all  $f \in \mathcal{PW}_\pi^1$  and  $\tau > 0$  fixed we have

$$\lim_{N \rightarrow \infty} \max_{t \in [-\tau, \tau]} |f(t) - (S_N f)(t)| = 0.$$

## Global Behavior

**Peak value of the reconstruction error:**

$$P_N f = \max_{t \in \mathbb{R}} |f(t) - (S_N f)(t)|$$

**Divergence of the peak value  $P_N f$ :**

There exists a signal  $f \in \mathcal{PW}_\pi^1$  such that

$$\limsup_{N \rightarrow \infty} \max_{t \in \mathbb{R}} |f(t) - (S_N f)(t)| = \infty,$$

or equivalently,

$$\limsup_{N \rightarrow \infty} \max_{t \in \mathbb{R}} |(S_N f)(t)| = \infty.$$

- The divergence is only in terms of the **lim sup**.
- Weak notion of divergence: **existence of a subsequence**  $\{N_n\}_{n \in \mathbb{N}}$  of the natural numbers such that  $\lim_{n \rightarrow \infty} P_{N_n} f = \infty$ .
- Leaves the possibility that there is a **different subsequence**  $\{N_n^*\}_{n \in \mathbb{N}}$  such that  $\lim_{n \rightarrow \infty} P_{N_n^*} f = 0$ .

**Idea of adaptive signal processing:** With an **adaptive choice** of the subsequence  $\{N_n^*\}_{n \in \mathbb{N}}$  (in general  $\{N_n^*\}_{n \in \mathbb{N}}$  will depend on the signal  $f$ ) we can **create convergence**.

## Weak and Strong Divergence

For a sequence  $\{a_n\}_{n \in \mathbb{N}}$  we distinguish two **modes of divergence**:

**Weak divergence** if  $\limsup_{n \rightarrow \infty} |a_n| = \infty$ .

(existence of a subsequence  $\{N_n\}_{n \in \mathbb{N}}$  such that  $\lim_{n \rightarrow \infty} |a_{N_n}| = \infty$ )  
→ adaptivity can help

**Strong divergence** if  $\lim_{n \rightarrow \infty} |a_n| = \infty$ .

( $\lim_{n \rightarrow \infty} |a_{N_n}| = \infty$  for all subsequences  $\{N_n\}_{n \in \mathbb{N}}$ )  
→ adaptivity does not help

## Notation

**Paley–Wiener Space  $\mathcal{PW}_\sigma^p$ :** Space of signals  $f$  with a representation  $f(z) = 1/(2\pi) \int_{-\sigma}^{\sigma} g(\omega) e^{iz\omega} d\omega$ ,  $z \in \mathbb{C}$ , for some  $g \in L^p[-\sigma, \sigma]$ ,  $1 \leq p \leq \infty$ . Norm:  $\|f\|_{\mathcal{PW}_\sigma^p} = (1/(2\pi) \int_{-\sigma}^{\sigma} |g(\omega)|^p d\omega)^{1/p}$ .

## Strong Divergence

**Strong divergence of the peak value:**

There exists a signal  $f \in \mathcal{PW}_\pi^1$  such that peak value of  $S_N f$  **diverges strongly**, i.e., that

$$\lim_{N \rightarrow \infty} \max_{t \in \mathbb{R}} \left| \sum_{k=-N}^N f(k) \frac{\sin(\pi(t-k))}{\pi(t-k)} \right| = \infty.$$

→ **Adaptivity cannot** be used to **control the peak value** of the Shannon sampling series.

H. Boche and B. Farrell, “Strong divergence of reconstruction procedures for the Paley-Wiener space  $\mathcal{PW}_\pi^1$  and the Hardy space  $H^1$ ,” Journal of Approximation Theory, Elsevier, 2014, 183, 98–117

## Questions

What is the **structure / size** of the **set of signals** for which we have **strong divergence**?

Does this set contain a subset with **linear structure**?

## Linear Structure / Spaceability

**Linearity** is an important property of signal spaces.

**Lineability** and **spaceability** are two mathematical concepts to study the existence of linear structures in general sets.

**Definition:**

A subset  $S$  of a Banach space  $X$  is said to be **lineable** if  $S \cup \{0\}$  contains an infinite dimensional subspace.

A subset  $S$  of a Banach space  $X$  is said to be **spaceable** if  $S \cup \{0\}$  contains a closed infinite dimensional subspace.

**Easy to see linear structure for convergence:**

- $f_1, f_2$  such that  $P_N f$  converges  $\Rightarrow$  convergence for  $f_1 + f_2$

**Difficult to show a linear structure for divergence:**

- $f_1, f_2$  such that  $P_N f$  diverges  $\Rightarrow$  not necessarily divergence for  $f_1 + f_2$

**Example:**

$f_1 = u_c + u_d$  and  $f_2 = u_c - u_d$ , where  $u_c$  is any signal with **convergent** and  $u_d$  any signal with **divergent** approximation process.

→ For  $f_1$  and  $f_2$  we have divergence.

→ For  $f_1 + f_2 = 2u_c$  we do not have divergence.

→ **The sum of two signals**, each of which leads to divergence, **does not necessarily lead to divergence**.

## Spaceability and Strong Divergence

The set of signals with **strong divergence** of the peak value of the Shannon sampling series is **spaceable**.

**Theorem:** The set of signals  $f \in \mathcal{PW}_\pi^1$  for which the peak value of  $S_N f$  **diverges strongly**, i.e., for which

$$\lim_{N \rightarrow \infty} \max_{t \in \mathbb{R}} \left| \sum_{k=-N}^N f(k) \frac{\sin(\pi(t-k))}{\pi(t-k)} \right| = \infty \quad (*)$$

is **spaceable**. That is, there exists an infinite dimensional closed subspace  $\mathcal{D}_{\text{Shannon}} \subset \mathcal{PW}_\pi^1$  such that  $(*)$  holds for all  $f \in \mathcal{D}_{\text{Shannon}}$ ,  $f \neq 0$ .

- **Strong divergence** of the Shannon sampling series is a **frequent event**.
- We have strong divergence for **infinitely many signals** that form an **infinitely dimensional vector space**.
- Any **linear combination** of signals from this vector space, that is not the zero signal, is again a signal that creates **divergence**.

## Discussion

The subspace  $\mathcal{D}_{\text{Shannon}}$  from the proof has interesting properties.

- $\mathcal{D}_{\text{Shannon}}$  has an **unconditional basis**, i.e., there exists a sequence of functions  $\{\zeta_n\}_{n \in \mathbb{N}} \subset \mathcal{D}_{\text{Shannon}}$  such that for all  $f \in \mathcal{D}_{\text{Shannon}}$  there exists a unique sequence of coefficients  $\{a_n(f)\}_{n \in \mathbb{N}}$  such that  $\lim_{N \rightarrow \infty} \|f - \sum_{n=1}^N a_n(f) \zeta_n\|_{\mathcal{PW}_\pi^1} = 0$ .

- There exist two constants  $C_1, C_2 > 0$  such that for all  $f \in \mathcal{D}_{\text{Shannon}}$

$$C_1 \left( \sum_{n=1}^{\infty} |a_n(f)|^2 \right)^{\frac{1}{2}} \leq \|f\|_{\mathcal{PW}_\pi^1} \leq C_2 \left( \sum_{n=1}^{\infty} |a_n(f)|^2 \right)^{\frac{1}{2}}.$$

- $\mathcal{D}_{\text{Shannon}}$  is **isomorphic** to the Hilbert spaces  $\ell^2$  and  $\mathcal{PW}_\pi^2$ .
- If we equip the space  $\mathcal{D}_{\text{Shannon}}$  with the norm  $\|f\|_{\mathcal{D}_{\text{Shannon}}} = \left( \sum_{n=1}^{\infty} |a_n(f)|^2 \right)^{1/2}$  then it becomes a **Hilbert space**.

## Conjecture

**Non-equidistant sampling:**

$$\sum_{k=-\infty}^{\infty} f(t_k) \phi_k(t), \quad t \in \mathbb{R} \quad (**)$$

$\{t_k\}_{k \in \mathbb{Z}}$  is the sequence of **sampling points**,  $\phi_k$  **reconstruction functions**

**Theorem:** For a large subclass of the set of **sine type functions**, if  $\{t_k\}_{k \in \mathbb{Z}}$  is the zero set of a function in this class, then there exists a signal  $f \in \mathcal{PW}_\pi^1$  such that the peak value of  $(**)$  is **weakly divergent**, i.e., such that  $\limsup_{N \rightarrow \infty} \max_{t \in \mathbb{R}} \left| \sum_{k=-N}^N f(t_k) \phi_k(t) \right| = \infty$ .

**Conjecture:** We have **strong divergence** for a set that is **spaceable**.