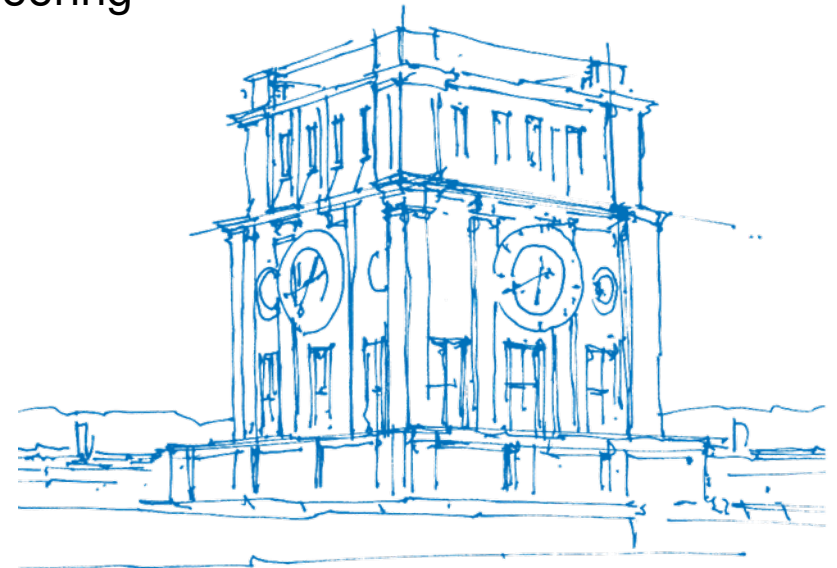


An Introduction to Identification

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29th October 2019



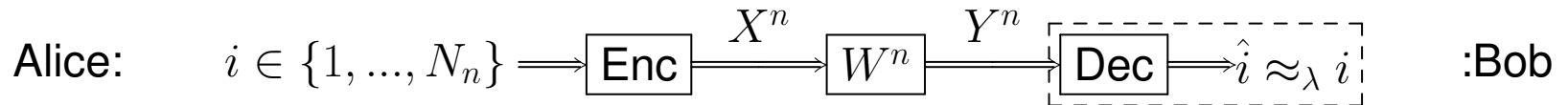
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Outline

- Transmission ([Shannon](#))
- IDentification ([Ahlswede & Dueck](#))
- Construction of ID code
- Summary

Transmission

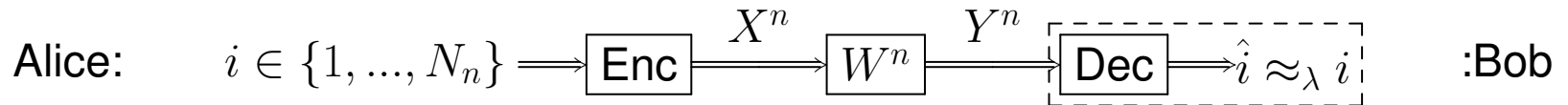
Transmission (Shannon) over W^n :



Classical (n, N_n, λ) code for W is a system $\{(u_i, D_i)\}_{i \in [N_n]}$ such that:

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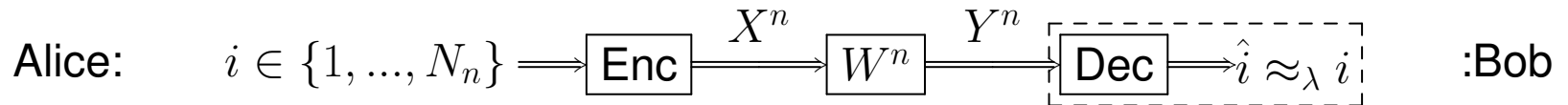


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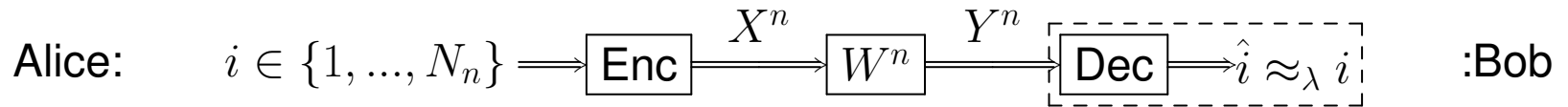


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$$W^n(D_i | u_i) \geq 1 - \lambda$$

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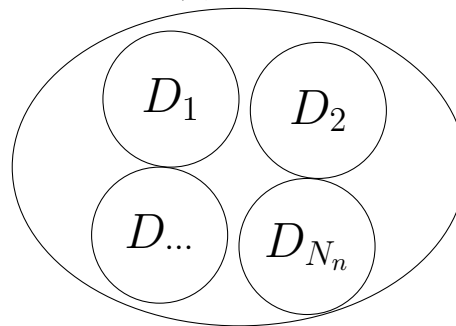


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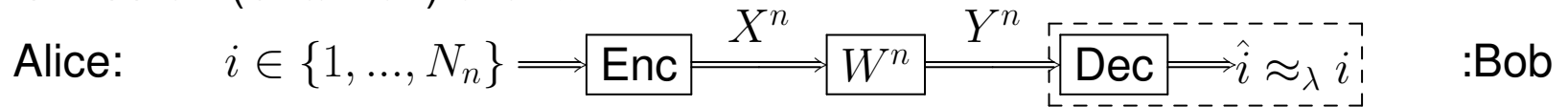
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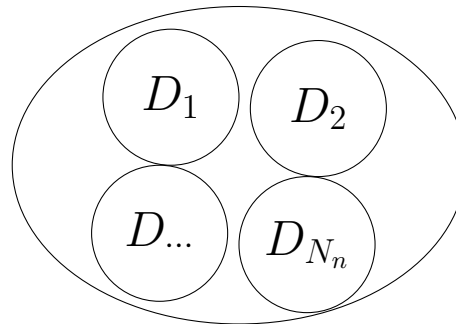


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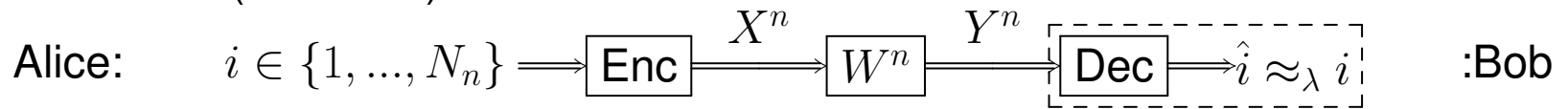


Transmission capacity (Shannon)

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log N_{max}(n, \lambda) = C_T \quad \forall \lambda \in (0, 1)$$

Transmission

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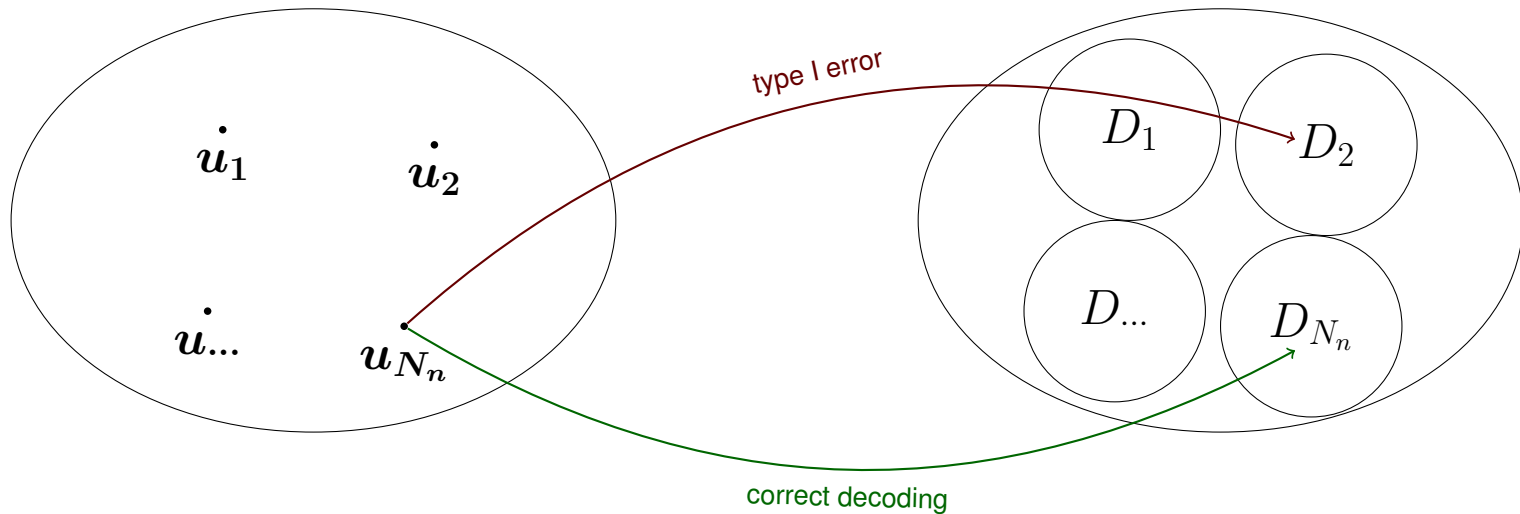
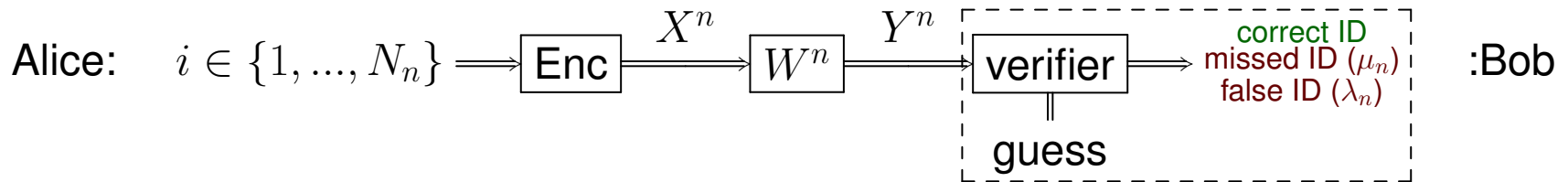


Figure: Geometric depiction of Transmission code

Identification

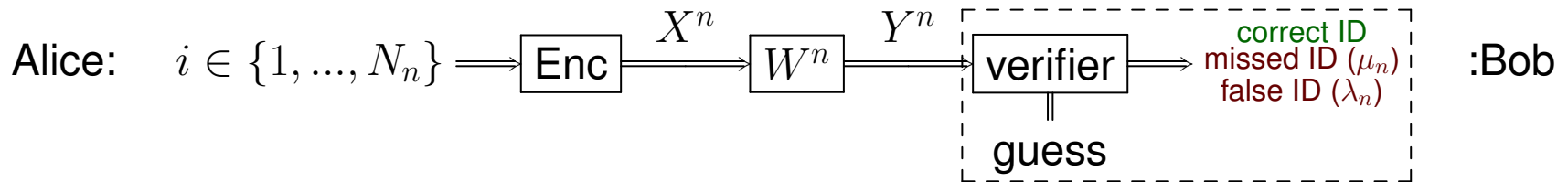
Identification (Ahlswede-Dueck) over W^n :



Randomized $(n, N_n, \mu_n, \lambda_n)$ identification code for W is a system $\{(Q_i, D_i)\}_{i \in [N_n]}$ such that:

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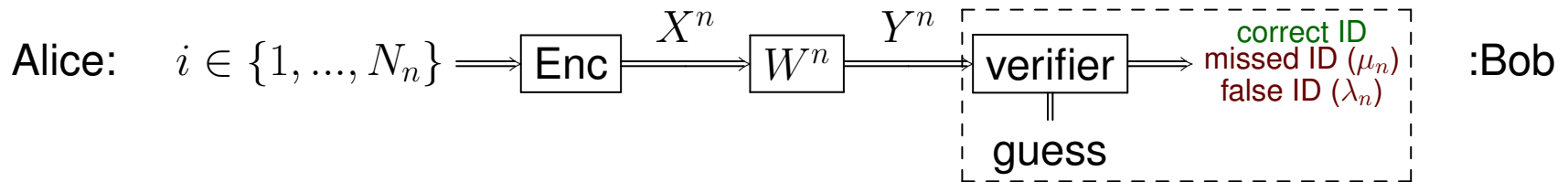


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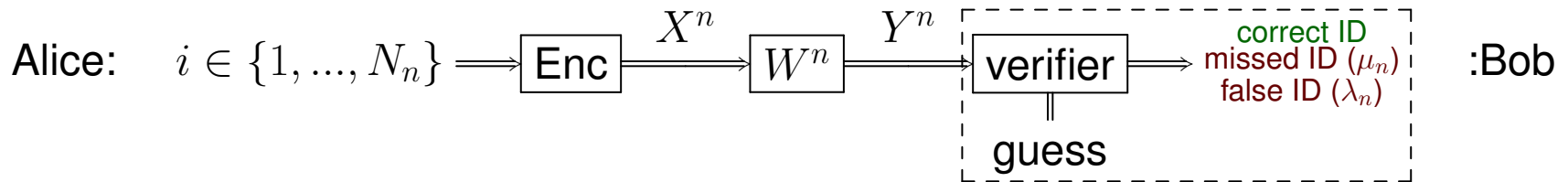


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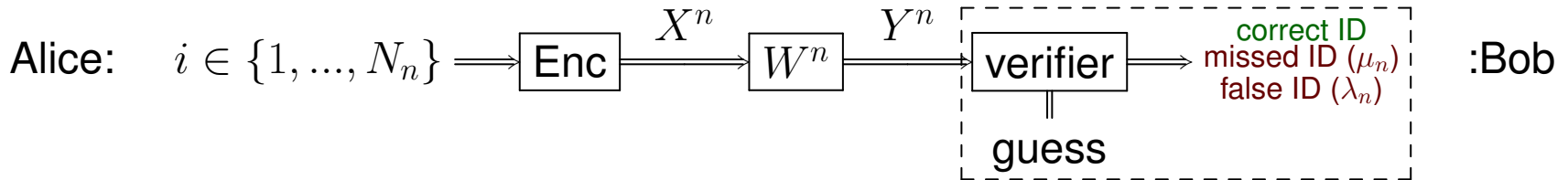
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$Y^n(i) \rightarrow$ output of W^n when input is $X^n(i)$

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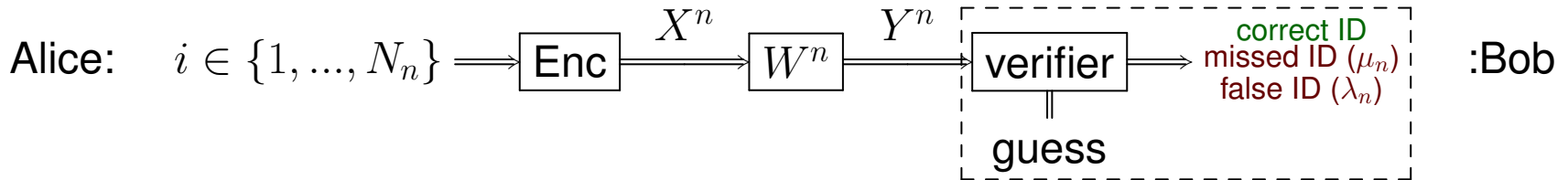
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$$\mu_n^{(i)} = Q_i W^n(D_i^c) = Pr\{Y^n(i) \in \mathcal{Y}^n \setminus D_i\} \xrightarrow{\text{type I error}} \mu_n = \max_{1 \leq i \leq N_n} \mu_n^{(i)}$$

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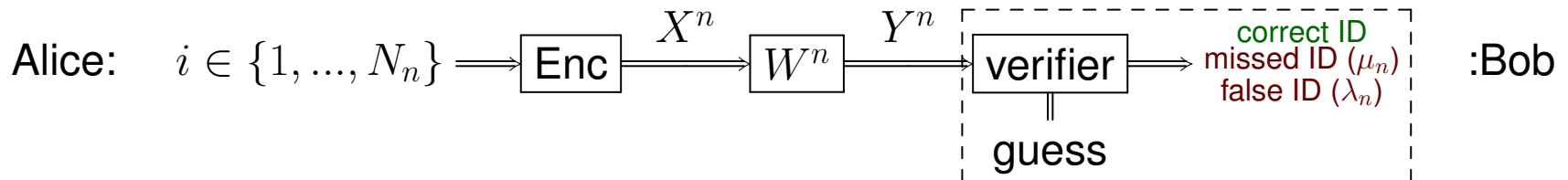
$$\mu_n = \max_{1 \leq i \leq N_n} \mu_n^{(i)}$$

$$\lambda_n^{(i,j)} = Q_j W^n(D_i) = Pr\{Y^n(j) \in D_i\} (j \neq i) \xrightarrow{\text{type II error}}$$

$$\lambda_n = \max_{1 \leq j, i \leq N_n, j \neq i} \lambda_n^{(j,i)}$$

Identification

Identification (Ahlswede-Dueck) over W^n :



missed identification

Alice sent message i , Bob who is interested in message i (guess i) can decide his message was not sent / caused by transmission errors

false identification

Alice sent message i , Bob who is interested in message $j \neq i$ (guess j) can decide message j was sent / inherent to the code

Identification

Identification (Ahlswede-Dueck) over W^n :

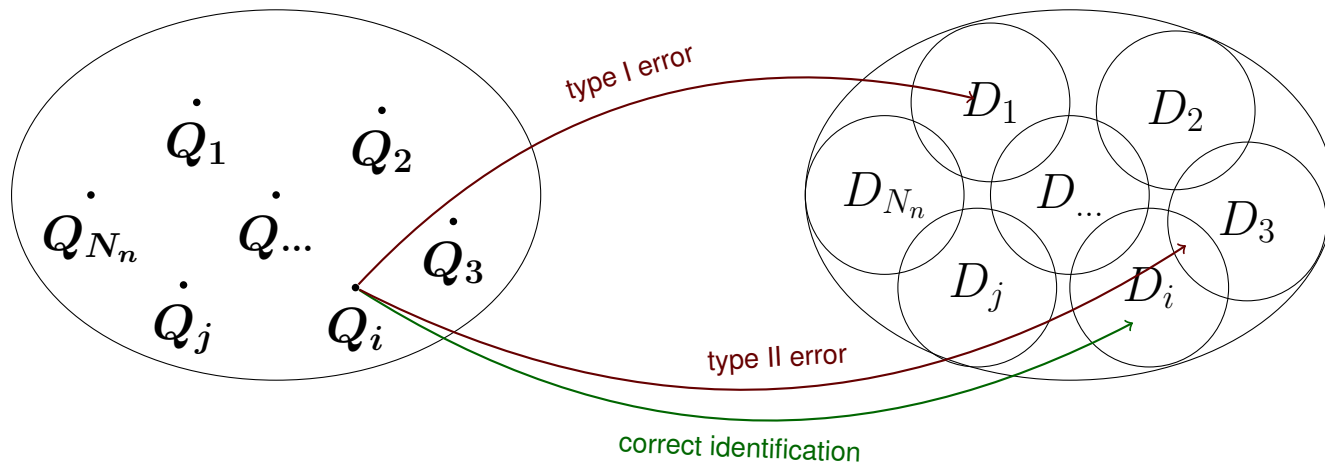
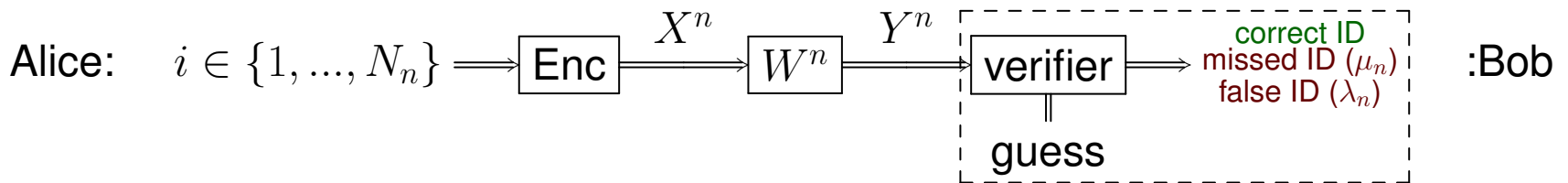
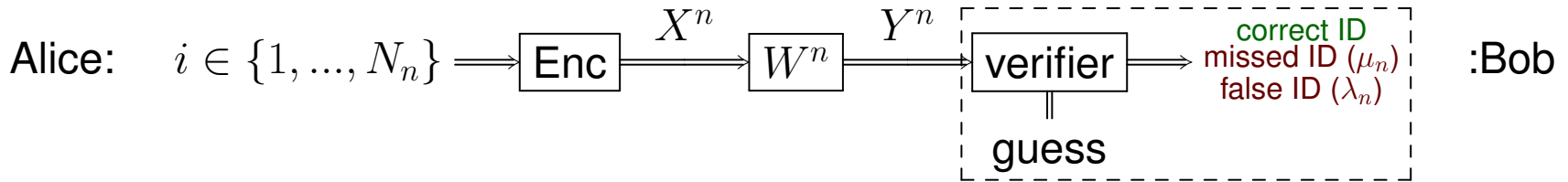


Figure: Geometric depiction of IDentification code

Identification

Identification (Ahlswede-Dueck) over W^n :



Rate

$$r_n = \frac{1}{n} \log \log N_n \quad (1)$$

Capacity

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log \log N_{max}(n, \mu_n, \lambda_n) = C_{ID} \quad (2)$$

ID Coding Theorem

$$C_{ID} = C_T \quad (3)$$

Theorem

ID capacity of any channel is greater than or equal its Shannon capacity

Technical Application of IDentification

- **Scenario** → local-area/radio networks and downlink satellite communications
- **Goal** → central station wants to deliver sequences of messages, each intended for one of the receivers

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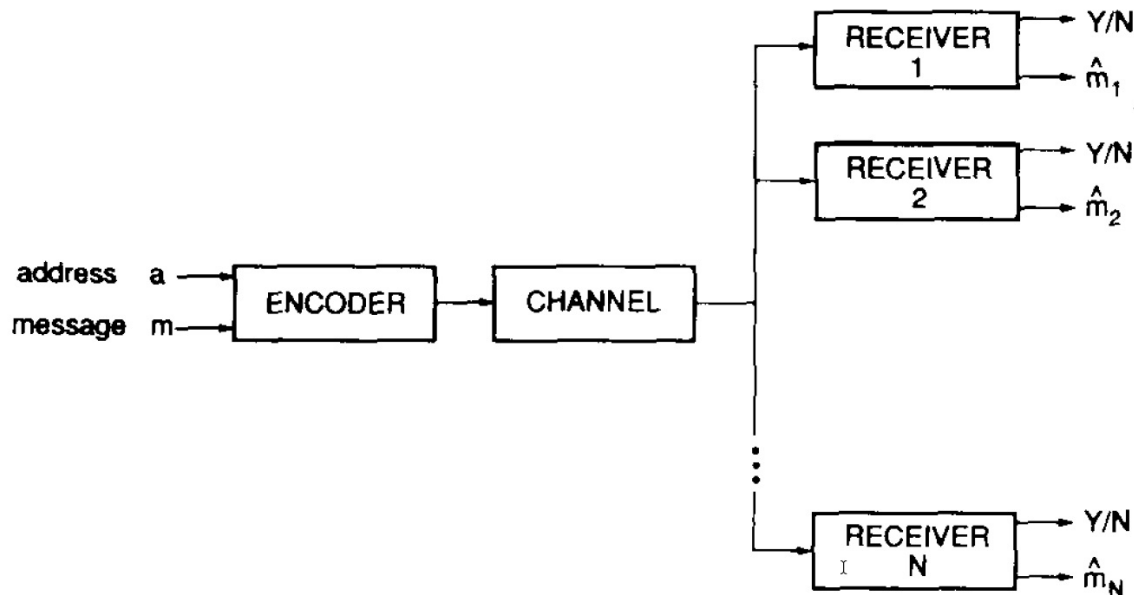


Figure: Identification plus transmission through a noisy channel²

²T. S. Han and S. Verdú, "New results in the theory and applications of identification via channels," IEEE Trans. Inform. Theory, vol. 38, pp. 14-25, Jan. 1992.

Technical Application of IDentification

- **Scenario** → local-area/radio networks and downlink satellite communications
- **Goal** → central station wants to deliver sequences of messages, each intended for one of the receivers
- (Decoupled Coding) → juxtaposing ID code and transmission code to send address and message respectively
 - (address identification rate) $\frac{1}{n} \log \log N_n \rightarrow C_T$ is achievable if $\frac{1}{n} \log M \rightarrow 0$
 - (message transmission rate) $\frac{1}{n} \log M \rightarrow C_T$ is achievable if $\frac{1}{n} \log \log N_n \rightarrow 0$
- (Coupled Coding) → **IDentification plus Transmission problem** code (IT) can achieve both rates simultaneously

Construction of ID Code (random selection)

1. Let \mathcal{L}' and \mathcal{L}'' be two transmission codes, $\xrightarrow{\text{Shannon's coding theorem}}$ guarantees existence of
 - $(n, M', 2^{-n\delta})$ code $\mathcal{L}' = \{(u'_j, D'_j) | j \in [M']\}$ with $M' = \lceil 2^{n(C-\varepsilon)} \rceil$
 - $(\lceil \sqrt{n} \rceil, M'', 2^{-\sqrt{n}\delta})$ code $\mathcal{L}'' = \{(u''_k, D''_k) | k \in [M'']\}$ with $M'' = \lceil 2^{\varepsilon\sqrt{n}} \rceil$
2. Let T be a family of maps $T = \{T_i | i \in [N]\}$ where $T_i : [M'] \rightarrow [M'']$
3. Let $\mathcal{U}_i := \{u'_j \cdot u''_{T_i(j)} | j \in [M']\}$ and $D_i = \bigcup_{j=1}^{M'} D'_j \times D''_{T_i(j)}$
4. System $\{(Q(\cdot|i), D_i) | i \in [N]\}$ is an ID code constructed from \mathcal{L}' and \mathcal{L}''
5. Let U_{ij} be independent RV s.t. $Pr\{U_{ij} = u'_j \cdot u''_k\} = \frac{1}{M''}$
 $i \in [N], j \in [M'], k \in [M'']$
6. Let $\overline{\mathcal{U}}_i = \{U_{i1}, \dots, U_{iM'}\} \quad \forall i \in [N]$
7. Let $D(\overline{\mathcal{U}}_i) = \bigcup_{j=1}^{M'} D(U_{ij})$ where $D(U_{ij}) = D'_j \times D''_k$
8. System $\{(\overline{Q}(\cdot|i), D(\overline{\mathcal{U}}_i)) | i \in [N]\}$ achieves **small maximal error probabilities**

Construction of ID Code (concatenation)

- Sequence of binary constant-weight code $\{C_i\} = (S_i, N_i, M_i, \mu_i M_i)$ with weight factor β_i , second order rate ρ_i and pairwise overlap fraction μ_i is **optimal for identification** if:
 - $\beta_i \rightarrow 1, \rho_i \rightarrow 1, \mu_i \rightarrow 0$
 - Three-layer concatenated code $C_1 \circ C_2 \circ C_3$ denoted by $[q, k, t]$ with:
 - $C_1 = [q]PPM$
 - $C_2 = [q, k]$ Reed-Solomon
 - $C_3 = [q^k, q^t]$ Reed-Solomon
 - $t \leq k \leq q = \text{prime}$
 is a $(q^{k+2}, q^{kq^t}, q^{k+1}, kq^k + q^{1+t})$ binary constant-weight code
 - Let $\{C_i\} = [q_i, k_i, t_i]$ be sequence of three-layer concatenated codes, then $\{C_i\}$ is **optimal for identification** if:
 - $t_i \rightarrow \infty, \frac{t_i}{k_i} \rightarrow 1, \frac{k_i}{q_i} \rightarrow 0, q_i^{t_i - k_i} \rightarrow 0$
- Coupling three-layer concatenated code with a transmission code (n, e^{nR}, λ) gives an IT code which subsequently ID code can be constructed from

Concluding Remarks

1. In contrast to **single exponential behavior** of conventional transmission codes, in IDentification for any channel (not necessarily discrete or memoryless) ID codes can asymptotically guarantee transmission of $e^{e^{nC}}$ messages with n uses of a noisy channel while keeping error probabilities arbitrarily zero
2. Transmission capacity and IDentification capacity coincides (DMC)
3. Despite of Shannons's formulation, ID decoder selects a list of messages
4. List size grows doubly exponential in block length
5. Decoding reliability is expressed in terms of **type I/II** errors probabilities
6. IDentification can be used where the recipient is only interested in verify if a certain message is the transmitted message or not (P2MP, remote alarm service)

Questions

Thanks For Attendance