

Munich Workshop on Shannon Coding Techniques

# Unsourced Random Access in Cell-Free User-Centric Wireless Networks

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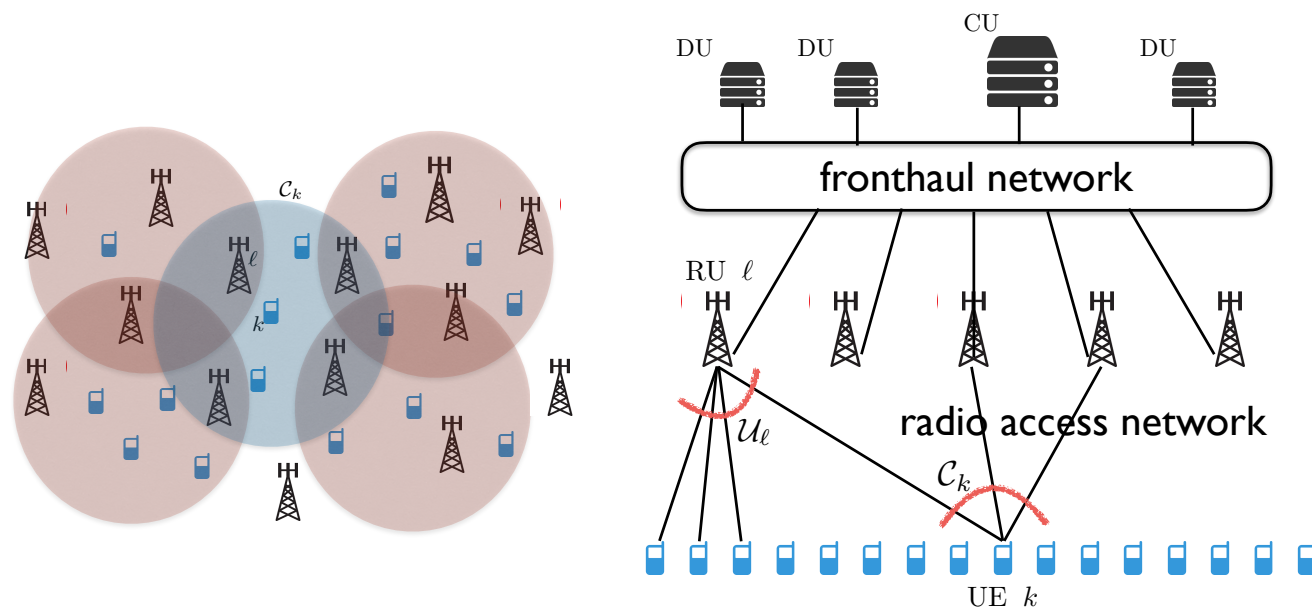


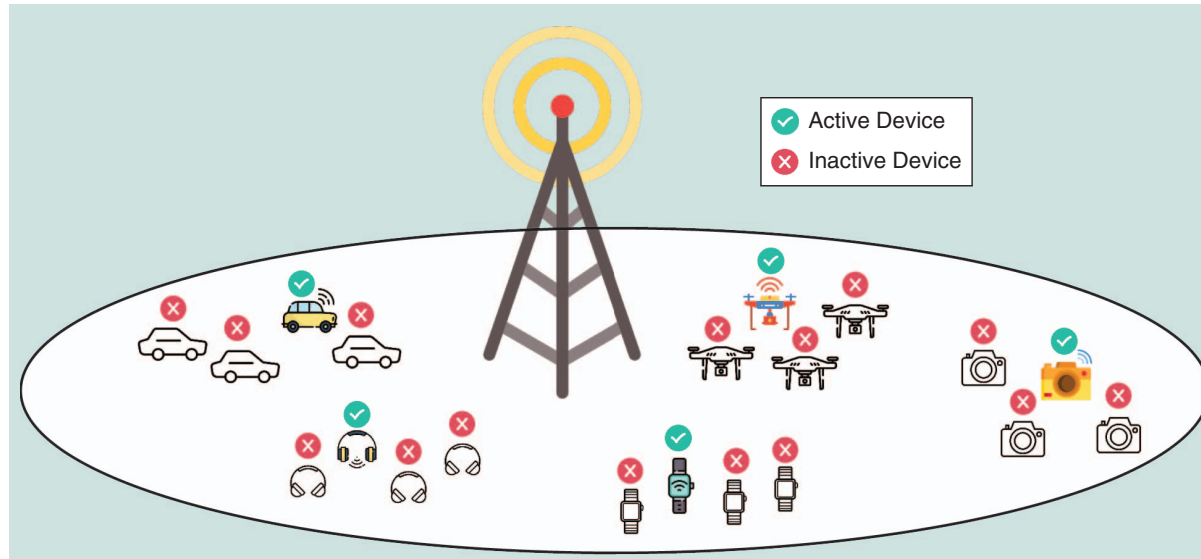
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- Typically operating conventional (2 – 7 GHz) frequency bands (TDD reciprocity, UL/DL duality, pilot contamination/decontamination, linear precoding/detection).
- **Scenarios:** campus networks, ultra-dense deployments, super-high spectral efficiency ... Imagine a sport stadium with 10,000 users, on a 20-60 MHz bandwidth, served by 20 RUs with 10 antennas each, achieving  $\sim 50$  bit/s/Hz per  $10 \times 10$  m<sup>2</sup>.



- Each UE is served by a user-centric cluster of RUs; each RU participates in multiple user-centric clusters.
- The UE-RU association is described by a bipartite graph.
- RUs are connected with DUs via a flexible fronthaul network, and implement the user-centric cluster processors (PHY layer) as SDVNF.
- A CU implements higher level centralized functions.





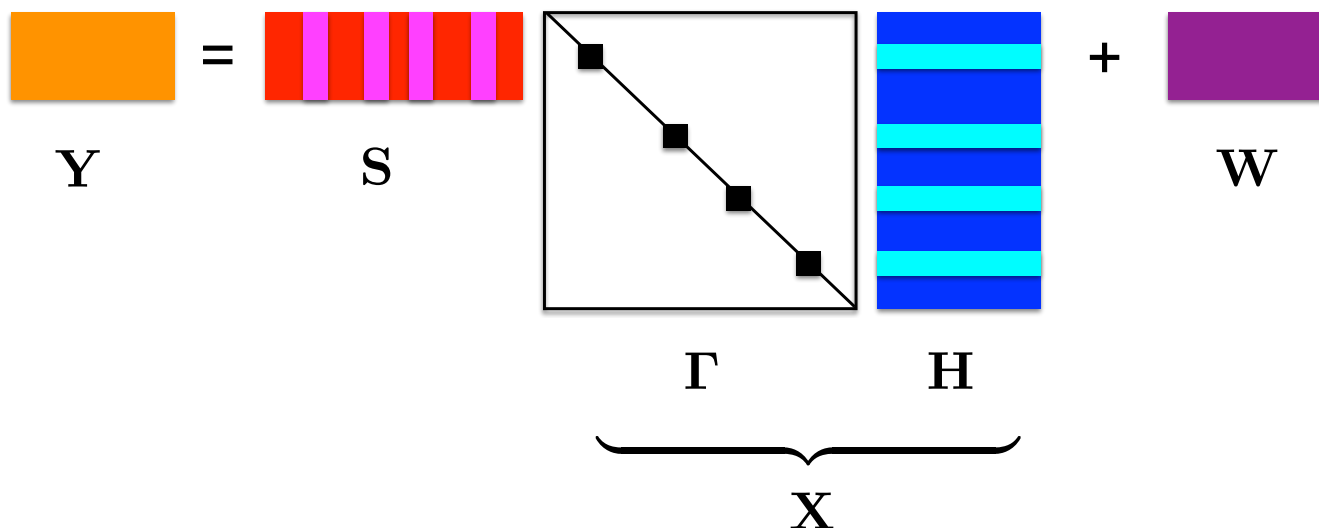
- **Activity Detection (AD):** each UE has a unique access code. Goal: determine who is active.
- **Unsourced RA (uRA):** when active, UEs transmit a randomly chosen codeword of the **same codebook**. Goal: determine the set of active messages.
- Similar but some fundamental differences ...

- The received baseband signal over the  $L$  channel uses and the  $M$  antennas:

$$\mathbf{Y} = \mathbf{S} \mathbf{A} \mathbf{G}^{1/2} \mathbf{H} + \mathbf{W}$$

where  $\mathbf{W}$  is Gaussian i.i.d. noise.

- $\mathbf{\Gamma} = \mathbf{A} \mathbf{G} = \text{diag}(\gamma)$ , where  $\gamma_k = a_k g_k$  for user  $k$  with LSFC  $g_k$ .
- $\mathbf{X} = \mathbf{\Gamma} \mathbf{H}$  contains Bernoulli-Gaussian rows. Each  $k$ -th row has i.i.d.  $\mathcal{CN}(0, g_k)$  the elements, given  $a_k = 1$ .

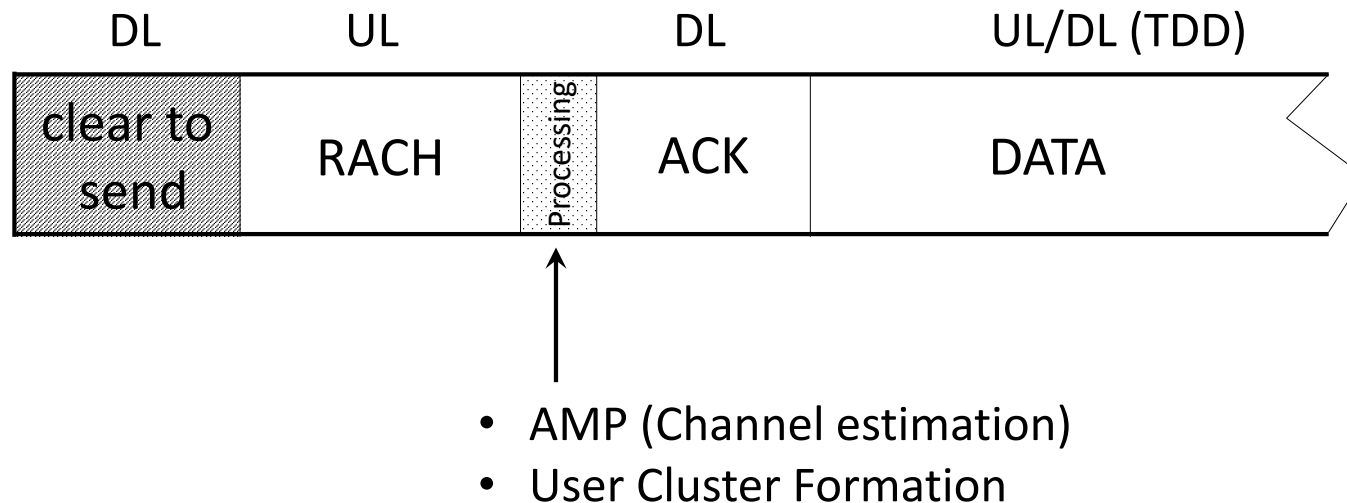


- **Compressed Sensing (CS) regime:** in order to obtain a “stable” estimate the (sparse) rows of  $\mathbf{\Gamma}^{1/2}\mathbf{H}$  we need  $L \geq K_a \log(K_{\text{tot}}/K_a)$  (more measurements than unknowns).
- **Identifiability regime (quadratic):** two  $K_a$ -sparse vectors  $\gamma, \gamma' \in \mathbb{R}_+^{K_{\text{tot}}}$  can be distinguished based on  $\mathbf{Y}$  if  $\mathbf{A}(\mathbf{\Gamma} - \mathbf{\Gamma}')\mathbf{A}^H \neq \mathbf{0}$ . This yields  $K_a \leq L^2$  (quadratic in the signature dimension).
- **Achievability of the quadratic regime:** AMP fails (as well as any CS algorithm) but a relaxed ML algorithm, practically implemented by componentwise rank-1 update minimization, achieves the quadratic regimes [Fengler, Haghighatshoar, Jung, and GC, TIT 2021].
- **Note:** Beyond the linear CS regime, it is “impossible” to obtain “good” channel estimates (activity can be still detected for large  $M$ , but the estimated channels has large MSE). Therefore, the quadratic regime is intrinsically “non-coherent”.

# Key Difference Between AD and uRA

- In AD, provided that the users' LSFCs are known, we can treat  $\mathbf{X} = \mathbf{\Gamma}\mathbf{H}$  as row-wise Bernoulli-Gaussian: Bayesian estimation formulation is “relatively simple”, in particular the Posterior Mean Estimate (PME) denoising function in AMP is tractable.
- In uRA,  $K_{\text{tot}}$  is irrelevant (it could be arbitrarily large): **what counts is the number of codewords  $N$  (number of columns of  $\mathbf{S}$ ).**
- Any active user can pick any codeword: **a fixed correspondence between the columns of  $\mathbf{S}$  and the LSFCs is not possible.**
- As a consequence,  $\mathbf{X} = \mathbf{\Gamma}\mathbf{H}$  is not row-wise Bernoulli-Gaussian: Bayesian estimation formulation is “much more complicated” (and **heavily relies on assumptions on the LSFC distribution for randomly placed users**, .. risk of model mismatch, etc ...).

# uRA for Cell-Free User-Centric Networks



- Interestingly, this arrangement is conceptually very similar to the **2-step RACH** currently studied in 3GPP.



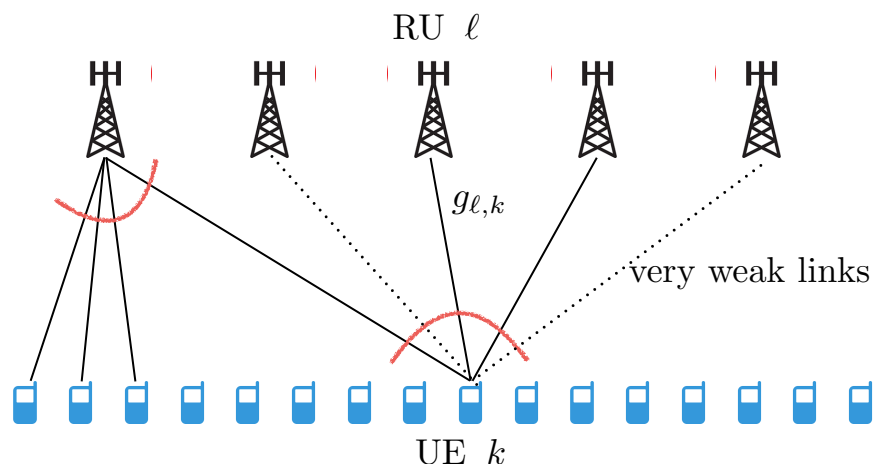
- In uRA for cell-free systems the statistics of the aggregate channel vector over all RUs is more complicated. Conditioning on the position from which codeword  $k$  may be transmitted, we have

$$\mathbf{x}_k = a_k [\mathbf{h}_{k,1}, \mathbf{h}_{k,2}, \dots, \mathbf{h}_{k,B}]$$

from which

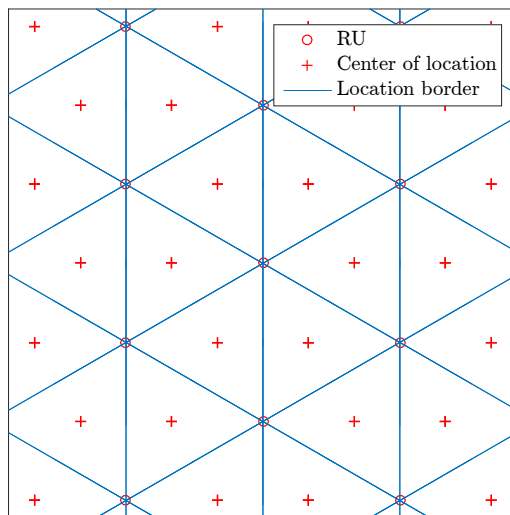
$$\mathbf{x}_k \sim (1 - \lambda)\delta(\mathbf{x}_k) + \lambda\mathbf{g}(\mathbf{x}_k|\mathbf{0}, \Sigma_k)$$

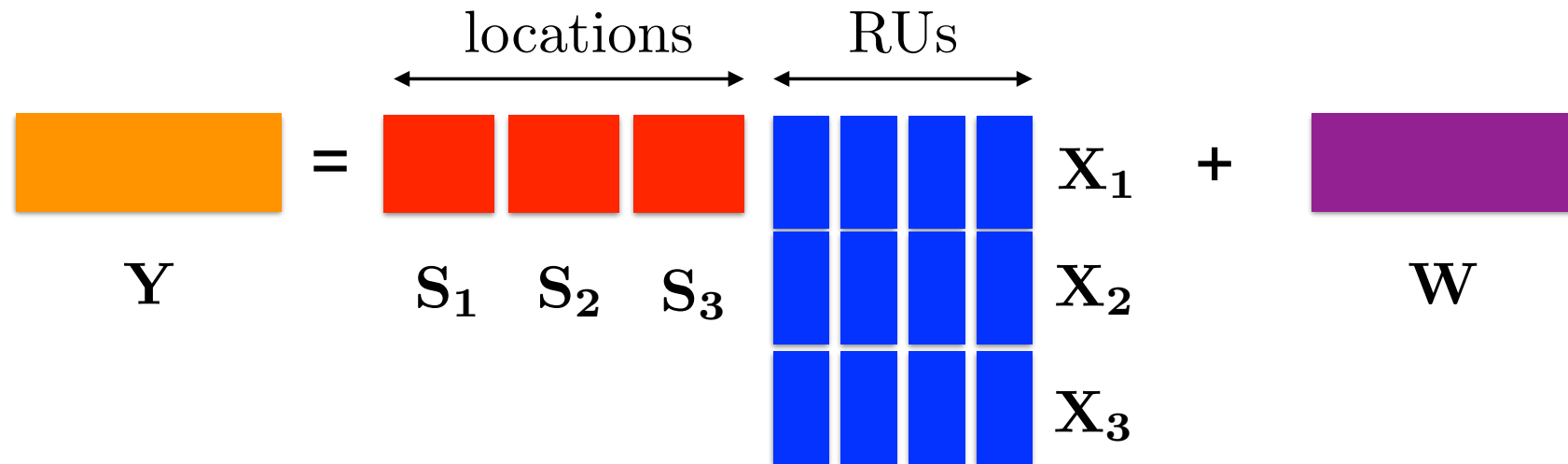
where  $\Sigma_k = \text{diag}(g_{k,b} : b \in [B]) \otimes \mathbf{I}_M$ .



- However, message  $k$  may be transmitted by any user in any random position. Hence,  $\{g_{k,b} : b \in [B]\}$  are a set of  $B$  jointly distributed LSFCs that depend on the (random) transmitter position.
- Removing the conditioning involves a very complicated  $B$ -dimensional integral (or, equivalently, integrating over the random transmitter position).
- In 3G-4G-5G the RACH codebook is cell-dependent.
- In a cell-free user-centric network, **we propose location-dependent access codebooks.**

- All users in “location”  $u \in \mathcal{U}$  make use of codebook  $\mathbf{S}_u$ .
- Assuming perfectly co-located users, the channel statistics of all users  $k \in \mathcal{L}_u$  are defined by **nominal LSFCs**  $\{\tilde{g}_{u,b} : b \in [B]\}$ .
- This establishes a fixed relation between codewords and LSFCs.





- The aggregate signal received during the RACH slot by all the RUs is given by

$$\mathbf{Y} = \sum_{u=1}^U \mathbf{S}_u \mathbf{X}_u + \mathbf{W}$$

- With  $F = BM$ ,  $\mathbf{W} \sim_{\text{i.i.d.}} \mathcal{CN}(\mathbf{0}, \sigma_w^2)$ ,  $\mathbf{X}_u \sim_{\text{i.i.d.}} \mathbf{x}_u$  for an *arbitrary* and independent (for each  $u$ ) random vector  $\mathbf{x}_u \in \mathbb{C}^F$  with fulfilling the “finite-moments” condition  $\mathbb{E}[\|\mathbf{x}_u\|^p] < \infty, \forall p \in \mathbb{N}_+$ .
- Moreover,  $\mathbf{S}_u \sim_{\text{i.i.d.}} \mathcal{CN}(\mathbf{0}, 1/L)$  has dimension  $L \times N_u$ , and we assume  $N_u/L = \alpha_u$  as  $L \rightarrow \infty$  (system scaling parameter).

For the system at hand, we consider the following AMP algorithm:

- Initialize:  $\mathbf{X}_u^{(1)} = \mathbf{0}$  for  $u \in [U]$  and  $\mathbf{Z}^{(0)} = \mathbf{0}$ .
- For iteration steps  $t = 1, 2, \dots, T$ , repeat:

$$\mathbf{V}_u^{(t)} = \mathbf{S}_u \mathbf{X}_u^{(t)} - \frac{1}{\alpha_u} \mathbf{Z}^{(t-1)} \mathbf{Q}_u^{(t)} \quad (1a)$$

$$\mathbf{Z}^{(t)} = \mathbf{Y} - \sum_{u=1}^U \mathbf{V}_u^{(t)} \quad (1b)$$

$$\mathbf{R}_u^{(t)} = \mathbf{S}_u^H \mathbf{Z}^{(t)} + \mathbf{X}_u^{(t)} \quad (1c)$$

$$\mathbf{X}_u^{(t+1)} = \eta_{u,t}(\mathbf{R}_u^{(t)}) \quad (1d)$$

- where  $\eta_{u,t}(\cdot) : \mathbb{C}^F \rightarrow \mathbb{C}^F$  is an appropriately defined deterministic and  $(u, t)$ -dependent “denoiser” function.
- $\eta_{u,t}(\cdot)$  applied to an  $N_u \times F$  matrix  $\mathbf{R}_u$  denotes the  $N \times F$  matrix with its  $n$ th row given by  $\eta_{u,t}(\mathbf{r}_n)$ , i.e.,

$$\eta_{u,t}(\mathbf{R}) = [\eta_{u,t}(\mathbf{r}_1)^\top, \eta_{u,t}(\mathbf{r}_2)^\top, \dots, \eta_{u,t}(\mathbf{r}_{N_u})^\top]^\top.$$

- where we define

$$\mathbf{Q}_u^{(t+1)} \doteq \mathbb{E}[\eta'_{u,t}(\mathbf{x}_u + \boldsymbol{\phi}^{(t)})],$$

with  $\mathbf{Q}_u^{(1)} = \mathbf{0}$  and  $\{\boldsymbol{\phi}^{(t)}\}_{t \in [T]}$  is a Gaussian process (defined in the following) independent of the random vector  $\mathbf{x}_u$ .

- For a differentiable vector-valued function  $\eta(\mathbf{r}) : \mathbb{C}^F \rightarrow \mathbb{C}^F$  we denote by  $\eta'(\mathbf{r})$  its  $F \times F$  Jacobian matrix with the entries

$$[\eta'(\mathbf{r})]_{ij} = \frac{\partial[\eta(\mathbf{r})]_j}{\partial r_i} \quad \forall i, j \in [F].$$

(here, for a complex number  $r = x + iy$ , the complex (Wirtinger) derivative is defined  $\frac{\partial}{\partial r} = \frac{1}{2}(\frac{\partial}{\partial x} - i\frac{\partial}{\partial y})$ . For  $\mathbf{R} \in \mathbb{C}^{N \times F}$ ).



**Definition 1. (State Evolution)** Let  $\{\phi^{(t)} \in \mathbb{C}^{1 \times F}\}_{t \in [T]}$  be a zero-mean (discrete-time) Gaussian process with its two-time covariances  $\mathbf{C}^{(t,s)} \triangleq \mathbb{E}[(\phi^{(t)})^H \phi^{(s)}]$  for all  $t, s \in [T]$  constructed recursively according to

$$\mathbf{C}^{(t,s)} = \sigma^2 \mathbf{I} + \sum_{u=1}^U \alpha_u \mathbb{E}[(\mathbf{x}_u^{(t)} - \mathbf{x}_u)^H (\mathbf{x}_u^{(s)} - \mathbf{x}_u)] , \quad (2)$$

where we define the random vectors for  $t \in [T]$  and  $u \in [U]$

$$\mathbf{x}_u^{(t+1)} := \eta_{u,t} (\mathbf{x}_u + \phi^{(t)}), \quad (3)$$

independent of  $\mathbf{x}_u^{(1)}$ .



**Theorem 1.** *Let the matrices in  $\{\{\mathbf{S}_u, \mathbf{X}_u\}, \mathbf{W}\}$  be defined as before. Then, as  $L \rightarrow \infty$ , for all  $t \in [T]$  and  $u \in [U]$  there exists a constant  $C_p$  for all  $p \in \mathbb{N}_+$  such that*

$$\mathbb{E} \left[ \left\| \mathbf{R}_u^{(t)} - (\mathbf{X}_u + \Phi_u^{(t)}) \right\|_F^p \right]^{\frac{1}{p}} \leq C_p \quad (4)$$

*where  $\Phi_u^{(t)} \sim_{i.i.d.} \phi^{(t)}$  with the Gaussian process  $\phi^{(t)}$  as in Definition 1 and  $\{\Phi_u^{(t)}\}_{u \in [U]}$  are mutually independent.*  $\square$

**Corollary 1.** *Under the premises of Th. 1, for any small constant  $c > 0$*

$$\frac{1}{L^c} \left\| \mathbf{R}_u^{(t)} - (\mathbf{X}_u + \Phi_u^{(t)}) \right\|_F \rightarrow 0$$

*where convergence is both a.s. and in the  $p$ -th mean.*  $\square$

- Decoupling principle:

$$\mathbf{r}_{u,n}^{(t)} \sim \mathbf{x}_{u,n} + \phi_{u,n}^{(t)}$$

where  $\phi_{u,n}^{(t)}$  is the  $n$ -th row of  $\Phi_u^{(t)}$  in Th. 1.

- By the Lipschitz-continuity of  $\eta_{u,t}$  Th. 1 implies that there exists  $C_p$  such that

$$\mathbb{E} \left[ \left\| \mathbf{X}_u^{(t+1)} - \eta_{u,t}(\mathbf{X}_u + \Phi_u^{(t)}) \right\|_F^p \right]^{\frac{1}{p}} \leq C_p$$

- Convergence of the empirical squared error:

$$\frac{1}{N_u} (\mathbf{X}_u - \mathbf{X}_u^{(t)})^H (\mathbf{X}_u - \mathbf{X}_u^{(t)}) \xrightarrow{a.s.} \mathbb{E} \left[ (\mathbf{x}_u - \mathbf{x}_u^{(t)})^H (\mathbf{x}_u - \mathbf{x}_u^{(t)}) \right].$$

- The decoupling principle and the MSE suggest to choose  $\eta_{u,t}(\cdot)$  in order to minimize  $\mathbb{E} \left[ (\mathbf{x}_u - \mathbf{x}_u^{(t)})^H (\mathbf{x}_u - \mathbf{x}_u^{(t)}) \right]$ .
- This yields the Posterior Mean Estimator (PME) for  $\mathbf{x}_u$  from the observation  $\mathbf{r}_u^{(t)} = \mathbf{x}_u + \boldsymbol{\phi}^{(t)}$ , i.e.,

$$\eta_{u,t}(\mathbf{r}) = \mathbb{E}[\mathbf{x}_u | \mathbf{r}_u^{(t)}]$$

that can be easily calculated in closed form given the nominal LFSCs  $\{\tilde{g}_{u,b}\}$  and the Bernoulli-Gaussian prior distribution of  $\mathbf{x}_u$ .

- Interestingly, in this case we obtain also the Jacobian matrix  $\eta'_{u,t}(\mathbf{r})$  in closed form (see paper).

- Let  $\mathbf{C} := \mathbf{C}^{(T,T)}$  and  $\mathbf{R}_u := \mathbf{R}_u^{(T)} \sim \mathbf{X}_u + \Phi_u^{(T)}$ . The decoupled channel model suggests the binary hypothesis test for the detection (active/inactive) of message  $(u, n)$  with the two hypotheses:

$$\mathbf{r}_{n,u} \sim \begin{cases} \mathcal{CN}(\mathbf{0}, \mathbf{C}) & a_{n,u} = 0 \text{ (Hypothesis } \mathcal{H}_0) \\ \mathcal{CN}(\mathbf{0}, \Sigma_u + \mathbf{C}) & a_{n,u} = 1 \text{ (Hypothesis } \mathcal{H}_1) \end{cases}$$

- Although we use the prior activity probability  $\lambda$  for the PME denoiser, we prefer to use a **Neyman-Pearson** test for message activity detection since MD and FA probabilities have a different impact on the system performance.

- The LLR test takes on the form

$$\Delta_{n,u} \underset{\mathcal{H}_0}{\overset{\mathcal{H}_1}{\gtrless}} \nu_u$$

where  $\nu_u$  is a suitable threshold and where  $\Delta_{n,u}$  is a **Hermitian Quadratic form of Gaussian Circularly Symmetric Random Variables** (HQF-GRV) under both  $\mathcal{H}_0$  and  $\mathcal{H}_1$ .

- As a consequence, the MD and FA probabilities can be computed **in closed form!!!** (or approximated with any desired degree of accuracy using the method of Laplace Inversion with Gauss-Chebyshev Quadrature Rules (see oldie [\[J. Ventura-Traveset, GC, E. Biglieri, and G. Taricco TCOM 1997\]](#)).

- Based on the active message channel estimates, for each UE (detected active message) we allocate a user-centric cluster corresponding by the  $Q$  RUs  $b \in [B]$  with largest  $\tilde{g}_{u,b}$ .
- We use MRT to transmit a beamformed coded ACK to the users (and possibly allocate resource for further data communication).
- Using the asymptotic analysis, we obtain the semi-closed form ergodic rate expression:

$$R_{u,n}^{\text{UatF}} = \log \left( 1 + \frac{|\sum_{b \in \mathcal{C}_u} \mathcal{M}_{u,b}|^2}{\sigma_w^2/\rho_{\text{DL}} + \sum_{b \in \mathcal{C}_u} \mathcal{V}_{u,b} + L \sum_{u' \in [U]} \sum_{b \in \mathcal{C}_{u'}} \lambda_{u'} \alpha_{u'} \tilde{g}_{u,b} \mathcal{Z}_{u',b}} \right),$$

where, for all  $(u, b) \in [U] \times [B]$ , we define

$$\begin{aligned} \mathcal{M}_{u,b} &\triangleq \mathbb{E} \left[ \mathbf{h}_{u,b} \eta_{u,T} (\mathbf{h}_{u,b} + \mathbf{z}_b \mathbf{C}_b^{\frac{1}{2}})^H | \mathbf{h}_u, \mathbf{z} \in \mathcal{D}_u \right] \\ \mathcal{V}_{u,b} &\triangleq \text{Var} \left( \mathbf{h}_{u,b} \eta_{u,T} (\mathbf{h}_{u,b} + \mathbf{z}_b \mathbf{C}_b^{\frac{1}{2}})^H | \mathbf{h}_u, \mathbf{z} \in \mathcal{D}_u \right), \end{aligned}$$

and the Tx power normalization coefficient

$$\rho_{\text{DL}} = \frac{1}{L} \frac{\sum_{u=1}^U \lambda_u \alpha_u}{\sum_{b=1}^B \sum_{u \in \mathcal{S}_b} \lambda_u \alpha_u \mathcal{Z}_{u,b}}$$

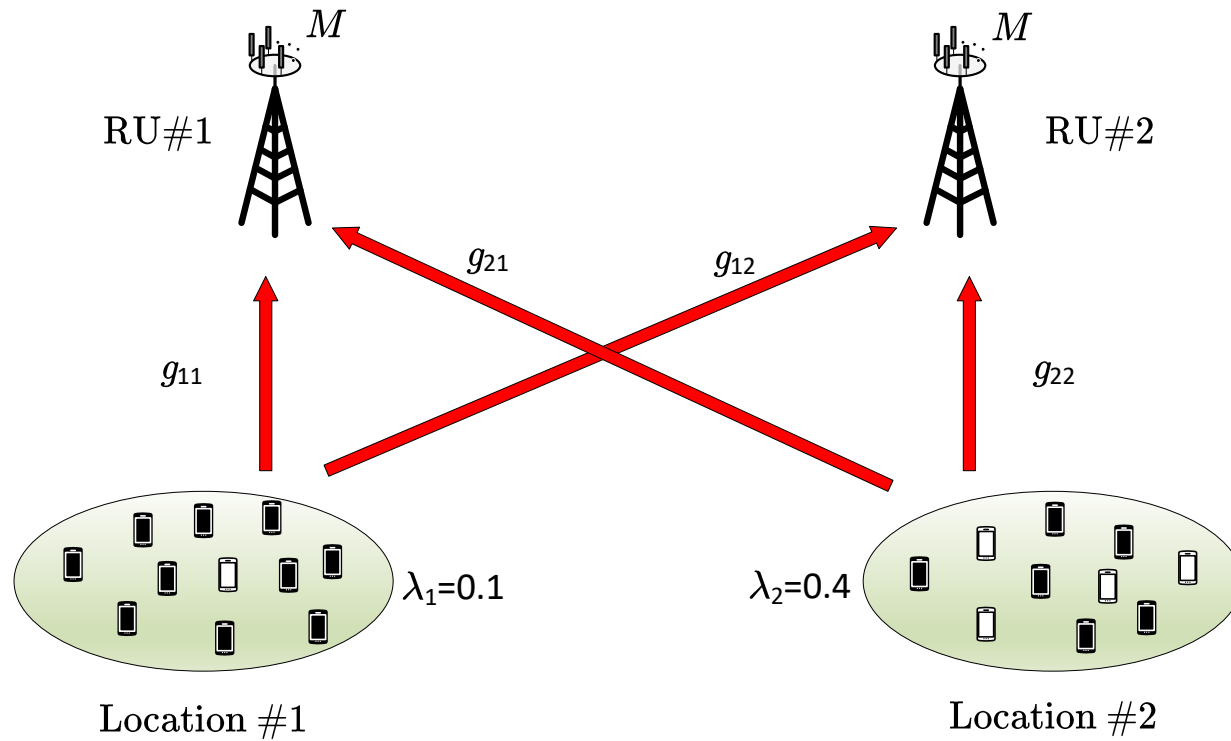
with

$$\begin{aligned} \mathcal{Z}_{u,b} \triangleq & (1 - P_u^{\text{md}}) \mathbb{E} \left[ \|\eta_{u,T}(\mathbf{h}_{u,b} + \mathbf{z}_b \mathbf{C}_b^{\frac{1}{2}})\|^2 \mid \mathbf{z}, \mathbf{h}_u \in \mathcal{D}_u \right] \\ & + (\lambda_u^{-1} - 1) P_u^{\text{fa}} \mathbb{E} \left[ \|\eta_{u,T}(\mathbf{z}_b \mathbf{C}_b^{\frac{1}{2}})\|^2 \mid \mathbf{z} \in \mathcal{F}_u \right]. \end{aligned}$$

where  $\mathbf{h}_u \sim \mathcal{CN}(\mathbf{0}, \Sigma_u)$ ,  $\mathbf{z} \sim_{\text{i.i.d.}} \mathcal{CN}(0, 1)$  are mutually independent,  $\mathbf{h}_{u,b}$  and  $\mathbf{z}_b$  denote the  $b$ -th segment of size  $1 \times M$  of  $\mathbf{u}_u$  and  $\mathbf{z}$ , respectively, and the events  $\mathcal{D}_u$  and  $\mathcal{F}_u$  are defined before.

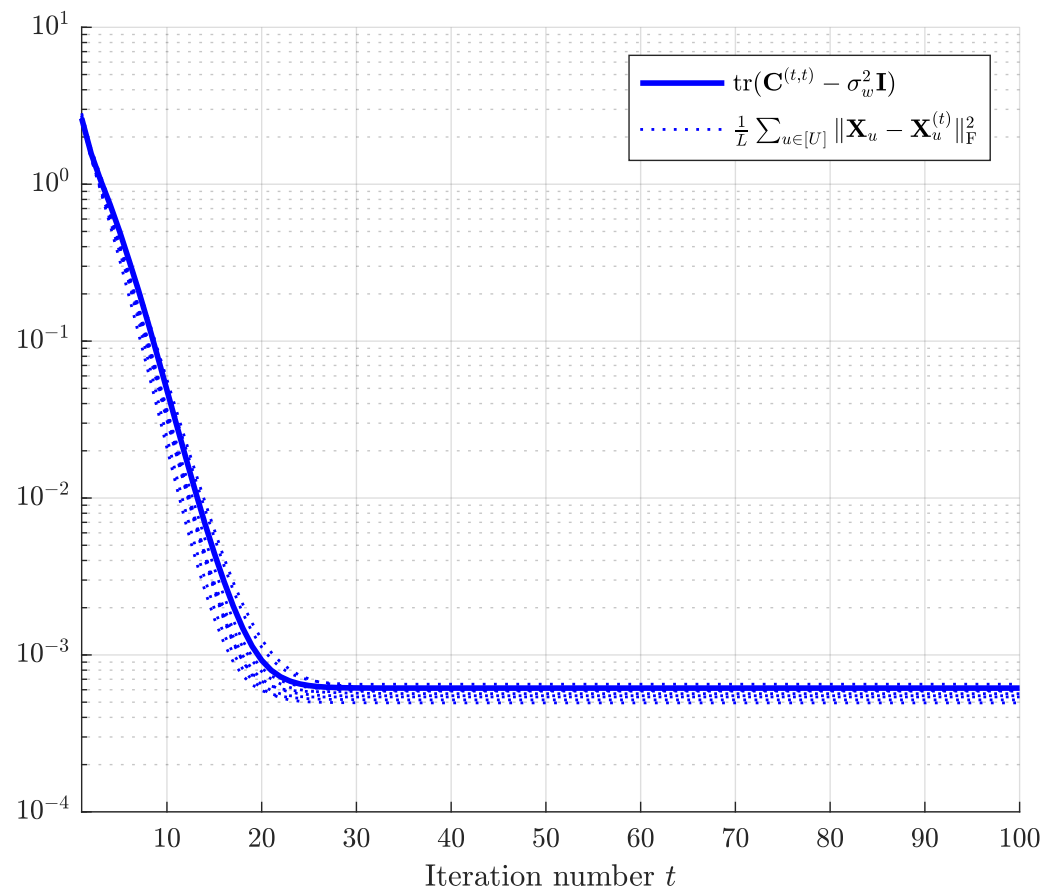


# “Wyner model” with $U = B = 2$



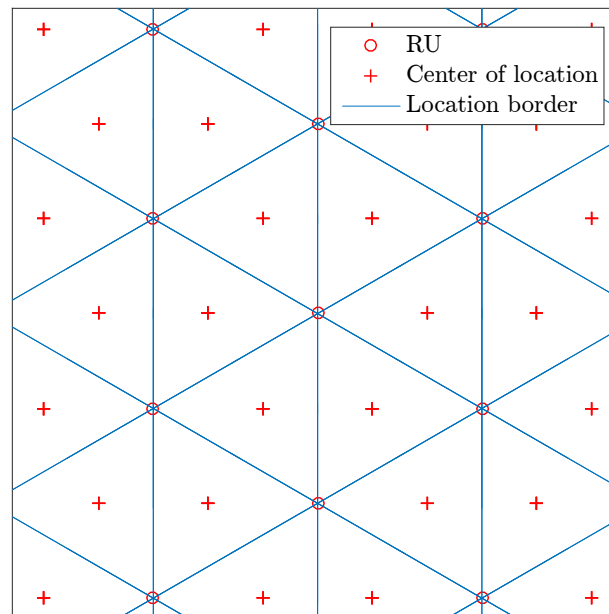
# Toy Setup with $U = B = 2$

- $N_1 = N_2 = 2048$ ,  $L = 1024$ , SNR= 10 dB,  $\lambda_1 = 0.1$ ,  $\lambda_2 = 0.2$ ,  $M = 2$ .



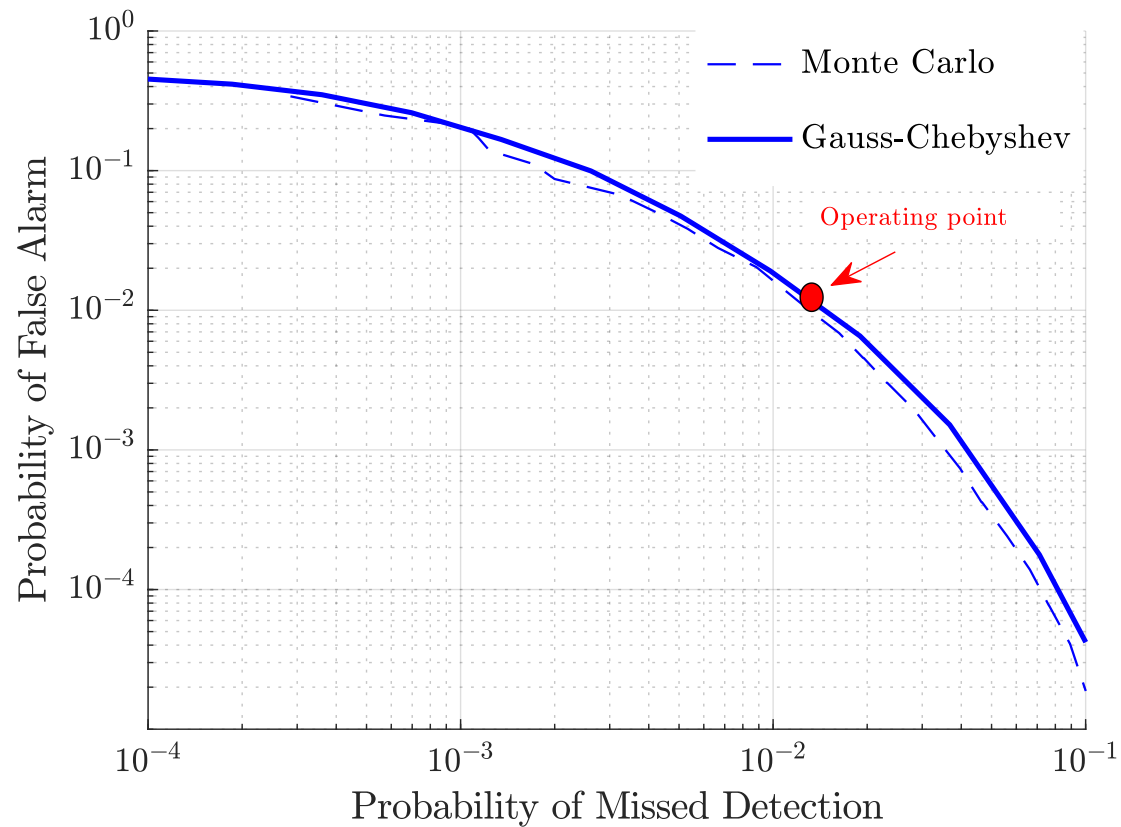
## More Realistic Setup $U = 16, B = 12$

- $N_u = 2048, L = 1024$ , realistic SNR and distance dependent pathloss model,  $\lambda_u \in \{0.003, 0.002\}$ , repeated in a periodic pattern.



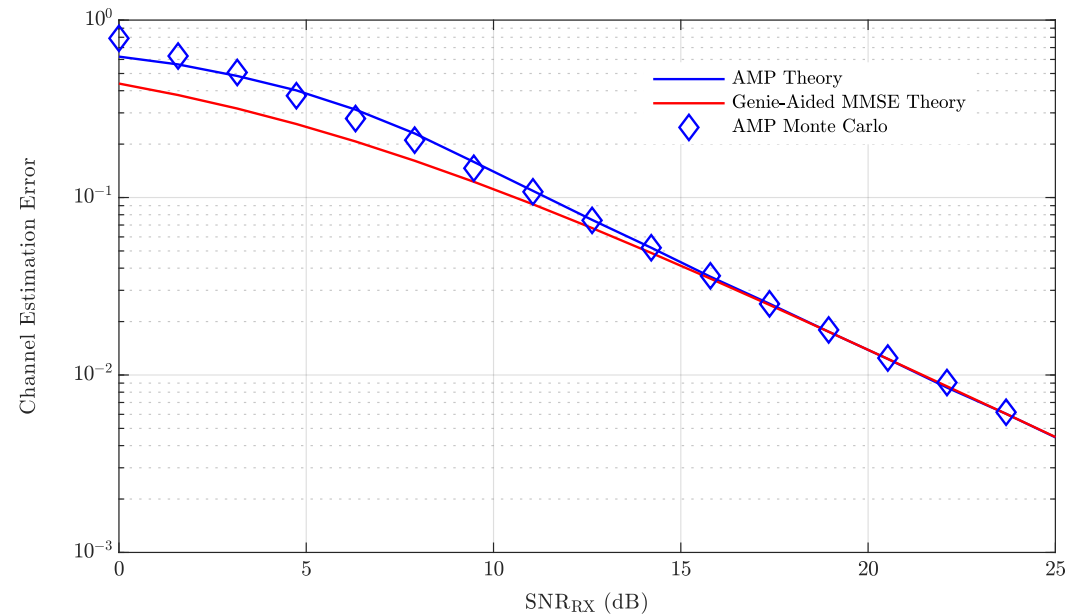
## More Realistic Setup $U = 16, B = 12$

- We choose to work at the point where  $P_{fa} = P_{md}$ .



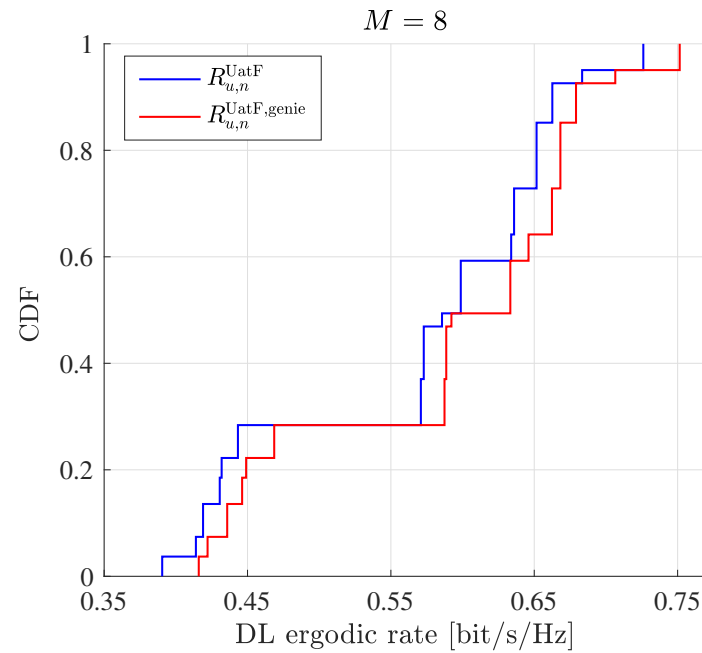
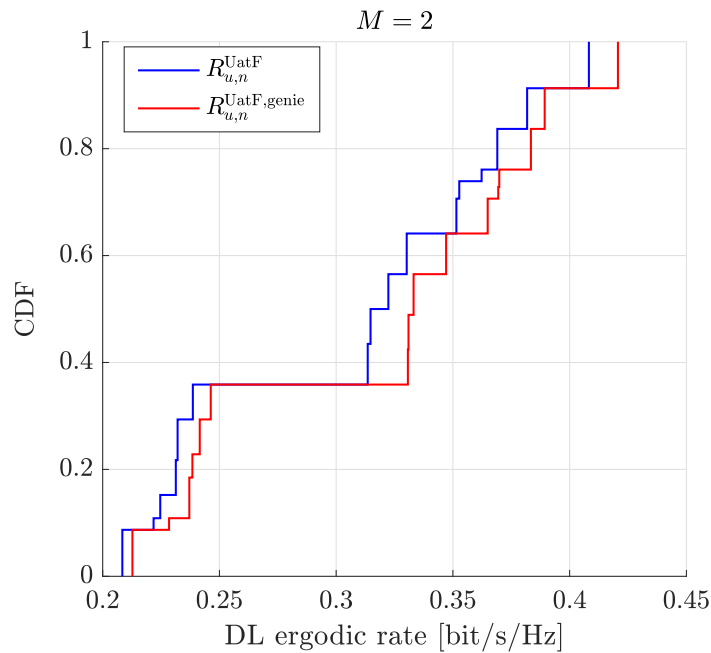
## More Realistic Setup $U = 16, B = 12$

- In these conditions, the channel estimation for the messages in  $\mathcal{A}_d$  is excellent:



## More Realistic Setup $U = 16, B = 12$

- Ergodic rate CDF (over the user population) for the MRT downlink transmission.



- **Key Ideas:** 1) location-based access codebooks; 2) a novel “multisource” AMP.
- The multisource AMP generalizes conventional AMP and MMV-AMP and can be rigorously analyzed.
- Not shown (see long paper): the Replica-Analysis yields results that coincide with the SE.
- The asymptotic output statistics of the AMP allows (almost) closed-form evaluation of very large systems.
- **Work in progress:** a) assess mismatch for non-colocated users (done!); b) Joint message detection and RSS-based position estimation (done!); c) Thorough comparison between uRA-based “seamless connectivity” and conventional pilot-allocation/cluster formation (in progress); d) Extension to the multipath/freq.selective case (in progress).

# Thank You