## Decoder-in-the-Loop: Genetic Optimization-based Code Design



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OWHTC 2019, DLR, Oberpfaffenhofen

28.02.2019



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## Outline



## Introduction

- 2 Genetic Algorithm-based Polar Code Construction
- 3 Results for different decoders and channels
- 4 Decoding Complexity Reduction
- Genetic Algorithm-based LDPC Code Design

## 6 Summary



2 Genetic Algorithm-based Polar Code Construction

8 Results for different decoders and channels

4 Decoding Complexity Reduction

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- Polar codes were introduced by Arıkan.
- Asymptotically achieve capacity under SC decoding.
- Low encoding and SC decoding complexity  $\mathcal{O}(N \log N)$ .
- Based on the concept of channel polarization.
  - Uncoded information bits are transmitted over the reliable (noiseless) bit channels.
  - Frozen (known) bits are transmitted over the unreliable (noisy) bit channels.

http://webdemo.inue.uni-stuttgart.de/webdemos/08\_research/polar/

28 02 2019



## **Polar Decoding**



- SC decoder: achieves capacity for infinite length codes.
- Belief propagation (BP) decoder: better BER performance than SC for finite length codes.
- Successive cancellation list (SCL) decoder: approaches the ML decoder performance.
- SCL decoding of the modified polar code (outer high rate CRC code concatenated with inner polar code): outperforms the ML decoder of pure polar codes.



- Finding the best *k* bit positions for information transmission.
  - The remaining N k bit positions are frozen.
- State-of-the-art design methods assume SC decoding.
  - Thus, not necessarily optimal under BP and SCL decoding.
  - Decoder-tailored code design will enhance the performance!

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## Frozen/non-frozen set "Frozen Channel Chart"

Bhattacharyya-based design  $\mathbf{A} = [000101111]$  (i.e.,  $R_c = 0.5$ )



Random design A = [10101010] (i.e.,  $R_c = 0.5$ )



• For the  $\mathcal{P}(8,4)$ -code, the information set  $\mathbb{A} = \{4,6,7,8\}$ 

• can be represented as  $\mathbf{A} = [00010111]$ 

- Code rate  $R_c = \frac{\sum A}{N}$
- Bit-channels are sorted with decreasing Bhattacharyya values. Colored: frozen "0"; white: non-frozen "1"

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#### Abstract view

- Parameters for optimization
- Initial population, e.g.:
  - Bhattacharyya-based design SNR<sub>GenAlg</sub>  $N R_c$
  - **RM-Polar** codes









(a) Mutation or swapping (b) Crossover

Code rate maintained (i.e., stayes fixed)

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#### **BP-tailored Polar Codes**



 GenAlg-based construction of a 𝒫(2048,1024)-code under BP (N<sub>it,max</sub> = 200) decoding over the AWGN channel and no CRC is used.

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Population index i

- Evolution of the BER at  $SNR_{GenAlg}$   $(E_b/N_0) = 2 dB$ .
- each code candidate was simulated to count at least 1000 bit errors



#### **SCL-tailored Polar Codes**



- BER performance of the GenAlg-based 𝒫(2048,1024)-code under SCL decoding over the AWGN channel.
- Note that the CRC-aided polar code (-  $\star$  ): N = 2048, k = 1024, r = 16,  $R_c = 0.5$  and, thus, the polar code is a  $\mathscr{P}(2048, 1040)$ -code.

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#### **Decoder-tailored Polar Codes**

Construction @ design SNR	Decoder to reach BER 10 <sup>-4</sup>			
Construction @ design SNA	SC	$BP\left(N_{it,max}=200\right)$	SCL $(L = 32)$	
Bhattacharyya @ 3.6dB	2.7 dB	2.45 dB	1.8 dB	
Tal and Vardy @ 2dB	2.65 dB	2.45 dB	2 dB	
GenAlg BP-tailored @ 2dB	> 9 dB	2 dB	> 7  dB	
GenAlg SCL-tailored @ 2dB	> 6  dB	2.55 dB	1.65 dB	

• Illustration of polar design and decoder architecture mismatch by evaluating the minimum  $E_b/N_0$  required to achieve a target BER of  $10^{-4}$  for a  $\mathscr{P}(2048, 1024)$ -code over AWGN channel 
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## **Frozen Channel Chart**

Frozen bit position pattern of a 𝒫(2048,1024)-code with different polar code construction algorithms. The 2048 bit positions are plotted over a 16 × 128 matrix. Note that the bit-channels are sorted with decreasing Bhattacharyya parameter value. Colored: frozen; White: non-frozen.





Construction @ design SNR	$d_{min}$	$A_8$	$A_{16}$
Tal and Vardy @ 2dB	16	0	11648
GenAlg BP-tailored @ 2dB	8	8	773
GenAlg SCL-tailored @ 2dB	16	0	1

- Using the algorithm described in [2]
- GenAlg reduces number of low-weight codewords!

[2] B. Li, H. Shen, and D. Tse, "An Adaptive Successive Cancellation List Decoder for Polar Codes with Cyclic Redundancy Check," IEEE Commun. Lett., Dec. 2012.

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#### **BP-tailored Polar Codes**



 BLER performance of the GenAlg-based *P*(1024,512)-code under BP decoding over the Rayleigh fading channel and no CRC is used.

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### SCL-tailored Polar Codes



- BLER performance of the GenAlg-based *P*(1024,512)-code under SCL decoding over the Rayleigh fading channel.

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 BER performance of the GenAlg-based 𝒫(2048,1024)-code under BP decoding with reduced N<sub>it,max</sub> over the AWGN channel and no CRC is used.

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 BER performance of the GenAlg-based 𝒫(2048,1024)-code under SCL decoding with reduced list size L over the AWGN channel and no CRC is used.

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- A. Elkelesh, M. Ebada, S. Cammerer, and S. ten Brink, "Decoder-tailored Polar Code Design Using the Genetic Algorithm," ArXiv e-prints, Jan. 2019. A poster today!
- A. Elkelesh, M. Ebada, S. Cammerer, and S. ten Brink, "Genetic Algorithm-based Polar Code Construction for the AWGN Channel," in IEEE Inter. ITG Conf. on Syst., Commun. and Coding (SCC), Feb. 2019.
- https://github.com/AhmedElkelesh/ Genetic-Algorithm-based-Polar-Code-Construction



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## Genetic Algorithm-based LDPC Code Design

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- We design the whole parity-check matrix (i.e., H-matrix)
  - No degree profile optimization (e.g., EXIT charts)
  - No PEG algorithm used





#### No special graph structure

[1] G. Liva et. al, "Code Design for Short Blocks: A Survey," ArXiv e-prints, Oct. 2016.

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#### No special graph structure

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#### No special graph structure

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• RA graph structure

1.5

Similar to LDPC codes from DVB-S.2 standard

2

[1] G. Liva et. al, "Code Design for Short Blocks: A Survey," ArXiv e-prints, Oct. 2016.

3

 $E_b/N_0$  [dB]

3.5

4

4.5

2.5

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- A. Elkelesh, M. Ebada, S. Cammerer, L. Schmalen, and S. ten Brink, "Decoder-in-the-Loop: Genetic Optimization-based LDPC Code Design," submitted/under review, Feb. 2019.
- https://github.com/AhmedElkelesh/ Link-will-be-Available-After-Review



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- New polar code construction algorithm
  - the resulting codes are decoder-tailored and channel-tailored
  - BP-tailored Polar Codes
  - SCL-tailored Polar Codes
  - outperforms the state-of-the-art construction algorithms
- Codes can be designed with the aim of reducing the decoding complexity
- Can be used to design LDPC codes
  - designing the H-matrix
  - no EXIT curves matching
  - no PEG used



# Thank you for your attention!

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## Backup Slide (Reference polar codes)

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- [R2] B. Li, H. Shen, and D. Tse, "A RM-Polar Codes," ArXiv e-prints, July 2014.
- [R3] "Technical Specification Group Radio Access Network," 3GPP, 2018, TS 38.212 V.15.1.1. [Online]. Available: http://www.3gpp.org/ftp/Specs/archive/38 series/38.212/
- [R4] P. Trifonov, "Efficient Design and Decoding of Polar Codes," IEEE Trans. Commun., vol. 60, no. 11, pp. 3221–3227, Nov. 2012.
- [R5] P. Trifonov, "Design of Polar Codes for Rayleigh Fading Channel," in Inter. Symp. Wireless Commun. Syst. (ISWCS), Aug. 2015, pp. 331–335.
- [R6] G. He, J. C. Belfiore, I. Land, G. Yang, X. Liu, Y. Chen, R. Li, J. Wang, Y. Ge, R. Zhang, and W. Tong, "β-expansion: A Theoretical Framework for Fast and Recursive Construction of Polar Codes," in IEEE Global Commun. Conf. (GLOBECOM), Dec. 2017, pp. 1–6.

