

## Coded Modulation

### Introduction

- Combination of **high-order constellations** and **coding** for **bandwidth limited** communication.
- Need for **binary labeling** of constellation points to be able to use binary codes.
- Bit-Interleaved coded modulation (BICM)** is widely used in standards (DVB-S2, W-LAN, Wimax).
  - It employs a bit-metric decoder (BMD) at the receiver.
  - Resulting information theoretic system model consists of a set of parallel channels.

### System Model

- Single-Input, Single Output AWGN channel with ASK input

$$Y = X + Z = \Delta x_B + Z, \quad Z \sim \mathcal{N}(0, 1)$$

- $2^m$  ASK input constellation, labeled by a reflected Gray code

$$x_B = \{x \in 2^m\text{-ASK} : \text{label}(x) = \mathbf{B} = (B_1, B_2, \dots, B_m)\}$$

- $\Delta \in \mathbb{R}^+$ : constellation scaling, parameterizes the SNR

### Bit-Metric Decoding

- The demapper calculates  $L$ -values

$$L_i = \log \frac{p_{Y|B_i}(Y|0)}{p_{Y|B_i}(Y|1)} + \log \frac{P_{B_i}(0)}{P_{B_i}(1)}$$

channel                      a priori

for sign  $B_1$  and amplitude label  $B_2 B_3 \dots B_m$ .

- Achievable rate [1]:

$$R_{\text{BMD}} = \sum_{i=1}^m I(B_i; Y) - \left( \sum_{i=1}^m \mathbb{H}(B_i) - \mathbb{H}(\mathbf{B}) \right)$$

### Design Challenge

- The  $m$  binary input channels  $p_{L_i|B_i}$  are of **different reliability**. The employed channel code has to take this into account.

- Need for structured ensembles to inherit the different bit-channels: multi-edge type (MET) or Protograph-based codes.
- Usual toolchain assumes binary-input symmetric-output (BISO) channels. Does not hold for  $p_{L_i|B_i}$ .

## References

- G. Böcherer, "Probabilistic signal shaping for bit-metric decoding," in *Proc. IEEE Int. Symp. Inf. Theory (ISIT)*, pp. 431-435.
- G. Böcherer, P. Schulte, and F. Steiner, "Bandwidth efficient and rate-matched low-density parity-check coded modulation." [Online]. Available: <http://arxiv.org/abs/1502.02733>
- P. Schulte and G. Böcherer, "Constant composition distribution matching." [Online]. Available: <http://arxiv.org/abs/1503.05133>
- F. Steiner, G. Böcherer, and G. Liva, "Protograph-based LDPC code design for shaped bit-metric decoding." [Online]. Available: <http://arxiv.org/abs/1504.03628>

## Probabilistic Amplitude Shaping – PAS [2]

### Optimal Signaling

- Capacity  $\frac{1}{2} \log_2(1 + \text{SNR})$  is achieved by Gaussian input.
- Optimal constellation constrained capacity can be found as the solution of

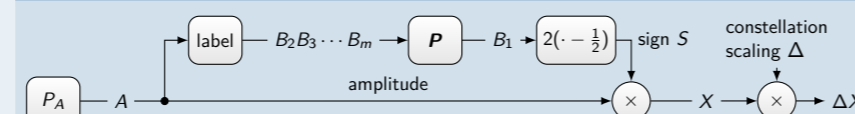
$$\max_{\Delta, P_B: E[|\Delta x_B|^2] \leq \text{SNR}} I(\Delta x_B; Y).$$

- Optimal distribution  $P_B = P_X$  is **symmetric** and therefore exhibits an **Amplitude-Sign Factorization**:

$$P_X(x) = P_A(|x|)P_S(\text{sign}(x)).$$

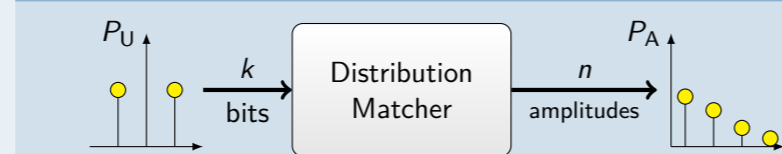
- Sign is uniformly distributed, i.e.,  $P_S(-1) = P_S(1) = \frac{1}{2}$ .

### Combining Linear Codes with Probabilistic Shaping: PAS



- ASK amplitude  $A \sim P_A$ .
- Systematic binary rate  $(m-1)/m$  generator matrix  $\mathbf{G} = [\mathbf{I}|\mathbf{P}]$ .
- Encode binary amplitude labels by parity matrix  $\mathbf{P}$  to get uniformly distributed check bits  $B_1$ .
- Use the check bit  $B_1$  as sign  $S$ , i.e.,  $X = A \cdot S$ .

### Practical Shaping

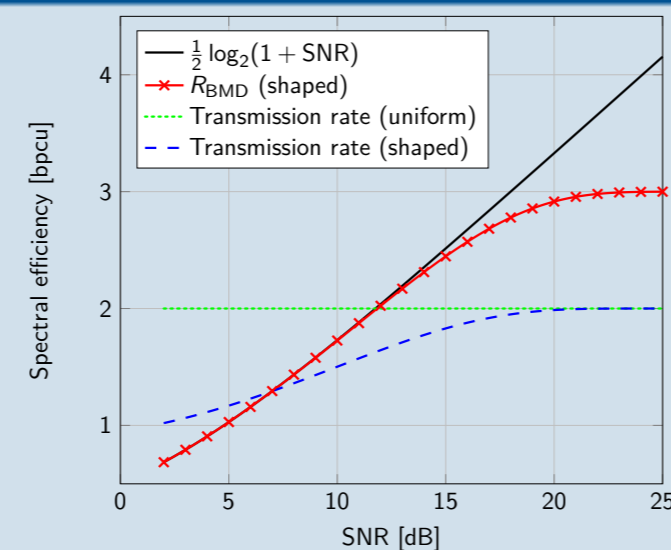


- Employ a block-to-block matcher [3].

### PAS Principles

- Control rate  $\mathbb{H}(A)$  via  $P_A$
- Control power/channel uncertainty via  $\Delta$
- Choose  $P_A, \Delta$  jointly  $\Rightarrow$  SNR-dependent rate  $\Rightarrow$  **Rate-adaptive**.

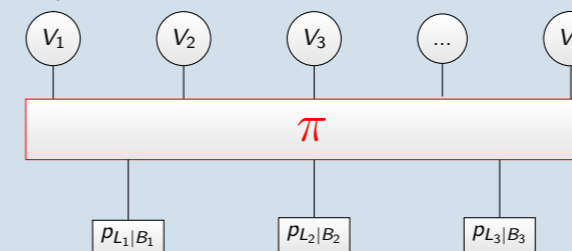
### Rate curves, 8-ASK



## Protograph-Based LDPC Code Design [4]

### Existing approaches

- Use existing LDPC code and optimize the interleaver, i.e., assign the different coded bits belonging to the  $m$  channels to the different variable node degrees (e.g., Li, Ryan, 2005. Häger et al 2014.)



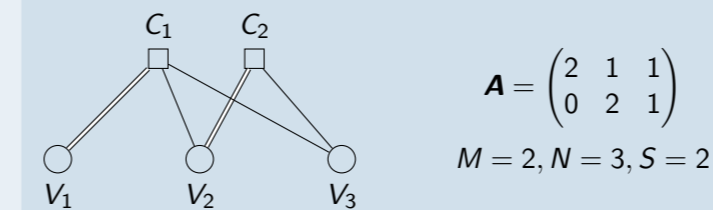
- "Wholistic approach": tackle problem of interleaver and code design at the same time. (Zhang, Kschischang, 2013. Uses multidimensional extension of EXIT analysis and channel adapters.)

### Our contribution

- Wholistic approach based on protographs.
- Threshold analysis via P-EXIT and surrogate channels.
- New matching criteria based on rate backoff.

### Getting structure: Protographs

- Introduced for building more "structured" ensembles via the basematrix  $\mathbf{A} \in \{0, \dots, S\}^{M \times N}$ .
- Based on small tanner graphs which are then "copy-and-permuted" by a factor  $Q$ .



### P-EXIT Analysis

- We employ an extension of EXIT-charts to protographs: P-EXIT. For given  $\mathbf{A} \in \{0, \dots, S\}^{M \times N}$  and  $l \in [1 : M], k \in [1 : N]$  define the recursion:

$$I_{V_k \rightarrow C_l}^{E, \ell} = f_{k, l}^V(I_{C_l \rightarrow V_k}^{E, \ell-1}, I_{\text{ch}})$$

$$I_{C_l \rightarrow V_k}^{E, \ell} = f_{k, l}^C(I_{V_k \rightarrow C_l}^{E, \ell-1})$$

$$I_{V_k \rightarrow C_l}^{E, \ell} = (I_{V_1 \rightarrow C_l}^{E, \ell}, \dots, I_{V_N \rightarrow C_l}^{E, \ell})$$

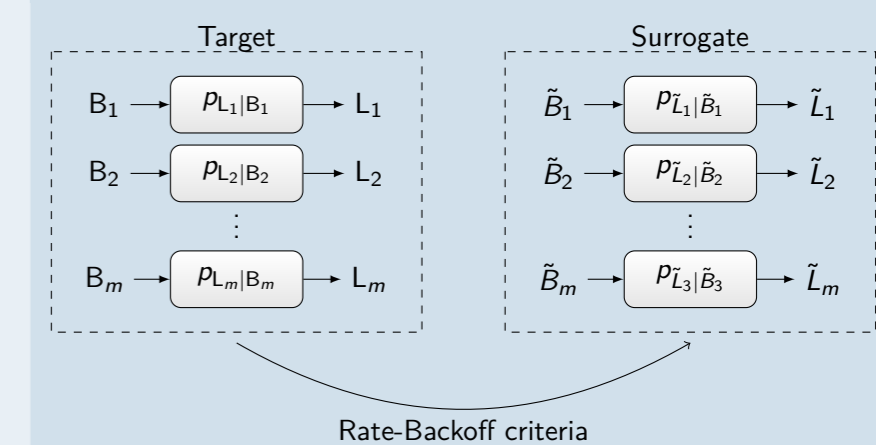
- $I_k^{\text{APP}, l}$ : mutual information between a posteriori loglikelihood ratio and coded bits of  $k$ -th channel at iteration  $l$
- Convergence region

$$C_l = \{I^{\text{ch}} \in [0, 1]^N : I_k^{\text{APP}, l} \rightarrow 1, \forall k, l \rightarrow \infty\}.$$

- Alternatively, identify convergence region by general channel parameter  $C_\xi$ .

## Protograph-LDPC Code Design

### Circumventing BISO necessity: Surrogate channels



### Design Paradigm: Rate-Backoff as universality criteria

- Transmission rate  $R$ .
- Asymptotically achievable rate  $R^*$  of considered code ensemble on original channel.
- Same rate-backoff  $R^* - R$  on different channels, results in similar code performance.

- For BMD:  $R^* - R = (1 - c) \cdot m - \sum_{i=1}^m \mathbb{H}(B_i|L_i)$   
 $\Rightarrow$  Match in  $\mathcal{U} = \mathcal{U}(\text{SNR}) = \{\mathbb{H}(B_i|L_i)\}_{i=1}^m$ .

### Use biAWGN as surrogate channel

$$\tilde{L}_i = x_{B_i} + \tilde{Z}_i, \quad \text{where } x_{B_i} \in \{\pm \sigma_{\text{ch}_i}^2/2\}, \tilde{Z}_i \sim \mathcal{N}(0, \sigma_{\text{ch}_i}^2).$$

Solve  $\mathbb{H}(\tilde{L}_i|\tilde{B}_i) \stackrel{!}{=} \mathbb{H}(B_i|L_i)$  to obtain  $\sigma_{\text{ch}_i}^2$ .

- To allow for  $D$  different variable node degrees per bit-channel, it must hold  $N = m \cdot D$ . Define  $T(k) = \lceil k/D \rceil, k \in [1 : N]$ .
- Define  $\sigma_{\text{ch}}^{\mathcal{U}(\text{SNR})}$  as

$$\sigma_{\text{ch}}^{\mathcal{U}(\text{SNR})} = (\sigma_{\text{ch}_{T(1)}}^2, \sigma_{\text{ch}_{T(2)}}^2, \dots, \sigma_{\text{ch}_{T(M)}}^2).$$

- Decoding threshold of a given ensemble then given as

$$\min \text{SNR} \quad \text{s.t.} \quad \sigma_{\text{ch}}^{\mathcal{U}(\text{SNR})} \in C_{\sigma_{\text{ch}}}.$$

- Find ensemble with lowest decoding threshold by means of differential evolution.

### Simulation Results, 64-ASK Shaped, Blocklength 64800, 100 Iter.

