#### **MCM 2015**

# Applications and Some New Result on Compute and Forward

Giuseppe Caire

Technische Universität Berlin





July 30-31, 2015, Munich, Germany



#### Technische Universität

#### **Outline**



- Families of Nested Lattice Codes.
- Compute and Forward and with Unequal Powers and Rates
- Application to the MIMO MAC and MIMO compound MAC.
- Application to DAS: MAC-Relay (uplink) and BC-Relay (downlink)
- Application to Network-Coded CIC
- Application to Cascade of Alternating HD Relays
- Compute and Forward with Successive Decoding





# **Acknowledgements**



#### Joint work with:

- Song-Nam Hong, Vasilis Ntranos (USC)
- Bobak Nazer (Boston University)
- Vivek Cadambe (Pennsylvania State University)





#### **Lattices**



• A Lattice is a  $\mathbb{Z}$ -module embedded in  $\mathbb{R}^n$ :

$$\Lambda = \{ \underline{\lambda} = \underline{\mathbf{z}}\mathbf{M} : \underline{\mathbf{z}} \in \mathbb{Z}^n \}$$

where  $\mathbf{M} \in \mathbb{R}^{n \times n}$  is a full-rank generator matrix.

- $\Lambda$  is an additive group.
- For  $\underline{\mathbf{r}} \in \mathbb{R}^n$ , we define the lattice quantization function:

$$Q_{\Lambda}(\underline{\mathbf{r}}) = \operatorname{argmin}_{\underline{\lambda} \in \Lambda} ||\underline{\mathbf{r}} - \underline{\lambda}||^2$$

• The Voronoi region (fundamental cell) of  $\Lambda$  is:

$$\mathcal{V}_{\Lambda} = \{\underline{\mathbf{r}} \in \mathbb{R}^n : Q_{\Lambda}(\underline{\mathbf{r}}) = \underline{\mathbf{0}}\}$$





#### **Lattices**



Modulo-Λ reduction

$$[\underline{\mathbf{r}}] \mod \Lambda = \underline{\mathbf{r}} - Q_{\Lambda}(\underline{\mathbf{r}})$$

Per-component second moment

$$\sigma_{\Lambda}^2 = \frac{1}{n \text{Vol}(\mathcal{V})} \int_{\mathcal{V}} \|\underline{\mathbf{r}}\|^2 d\underline{\mathbf{r}}.$$





#### **Lattices and linear codes**



- Consider a  $k_F \times n$  matrix **G** over  $\mathbb{Z}_p$ , where p is a prime.
- For  $\ell=1,\ldots,L$ , and  $k_C \leq k_{C,\ell} < k_{F,\ell} \leq k_F$ , let  $\mathbf{G}_{F,\ell}$  and  $\mathbf{G}_{C,\ell}$  be the submatrices formed by the first  $k_{F,\ell}$  and  $k_{C,\ell}$  rows of  $\mathbf{G}$ , respectively.
- Let  $C_{F,\ell}$  and  $C_{C,\ell}$  denote the row spaces of  $G_{F,\ell}$  and  $G_{C,\ell}$ , respectively.
- Define the mappings  $\phi: \mathbb{Z}_p \to \mathbb{R}$  and  $\bar{\phi}: \gamma p^{-1}\mathbb{Z} \to \mathbb{Z}_p$  such that

$$\phi(w) = \gamma p^{-1}w, \quad \bar{\phi}(\kappa) = \lceil \gamma^{-1}p\kappa \rceil \mod p$$

Following a scaled version of Construction A, we create the lattices

$$\Lambda_{C,\ell} = \{\underline{\lambda} \in \gamma p^{-1} \mathbb{Z}^n : \bar{\phi}(\underline{\lambda}) \in \mathcal{C}_{C,\ell}\}$$
  
$$\Lambda_{F,\ell} = \{\underline{\lambda} \in \gamma p^{-1} \mathbb{Z}^n : \bar{\phi}(\underline{\lambda}) \in \mathcal{C}_{F,\ell}\}$$





#### **Lattices and linear codes**



• By construction, for all  $\ell = 1, \dots, L$ , we have

$$\Lambda_C \subseteq \Lambda_{C,\ell} \subset \Lambda_{F,\ell} \subseteq \Lambda_F$$

The ℓ-th nested lattice code of the family is given by

$$\mathcal{L}_{\ell} = \Lambda_{F,\ell} \cap \mathcal{V}_{\Lambda_{C,\ell}}$$

and has rate (bit per symbol)

$$R_{\ell} = \frac{k_{F,\ell} - k_{C,\ell}}{n} \log p$$





## **Coding performance**



- Choose power levels  $P_{\ell}: \ell=1,\ldots,L$  and let  $P_{\mathsf{max}} = \max_{\ell} P_{\ell}$  and  $V_n$  be the volume of an n-dimensional ball of radius 1.
- Choose noise tolerances  $\sigma^2_{\text{eff},\ell}: \ell=1,\ldots,L$ .
- Set p to be the largest prime between  $\frac{1}{2}n^{3/2}$  and  $n^{3/2}$ , which is guaranteed to exist for n>3 by Bertrand's Postulate, and let

$$\begin{split} \gamma &= 2\sqrt{nP_{\text{max}}} \\ k_{C,\ell} &= \frac{n}{2\log p} \left(\log \left(\frac{P_{\text{max}}}{P_{\ell} - \mu}\right) + \log \left(\frac{4}{V_n^{2/n}}\right) + \delta_C\right) \\ k_{F,\ell} &= \frac{n}{2\log p} \left(\log \left(\frac{\gamma^2}{2\pi e \sigma_{\text{eff},\ell}^2}\right) - \delta_F\right) \end{split}$$

where  $\mu, \delta_C, \delta_F > 0$ .





## **Coding performance**



#### Theorem 1:

Choose  $P_{\ell} > 0$  and effective noise tolerances  $0 < \sigma_{\mathrm{eff},\ell}^2 < P_{\ell} : \ell = 1, \ldots, L$ . For any  $\epsilon > 0$  and n large enough, there are constants  $\delta_C, \delta_F > 0$  such that for the choices of  $k_{C,\ell}, k_{F,\ell}$  there exists a matrix  $\mathbf{G} \in \mathbb{Z}_p^{k_F \times n}$ , such that, for all  $\ell = 1, \ldots, L$ ,

- (a) the submatrices  $G_{C,\ell}$ ,  $G_{F,\ell}$  are full rank.
- (b) the coarse lattices  $\Lambda_{C,\ell}$  have second moments close to the power constraint

$$P_{\ell} - \epsilon < \sigma^2(\Lambda_{C,\ell}) \le P_{\ell}$$
.







(c) the fine lattices  $\Lambda_{F,\ell}$  tolerate the prescribed level of effective noise. Specifically, consider any linear mixture of Gaussian and Voronoi-shaped noise of the form  $\underline{\mathbf{z}}_{\text{eff}} = \beta_0 \underline{\mathbf{z}}_0 + \sum_{\ell=1}^L \beta_\ell \underline{\mathbf{z}}_\ell$  where  $\beta_0, \beta_1, \dots, \beta_L \in \mathbb{R}$ ,  $\underline{\mathbf{z}}_0 \sim \mathcal{N}(\mathbf{0}, \mathbf{I}), \ \underline{\mathbf{z}}_\ell \sim \text{Unif}(\mathcal{V}_{C,\ell})$ , and the noise components  $\underline{\mathbf{z}}_0, \dots, \underline{\mathbf{z}}_L$  are independent of each other and  $\underline{\boldsymbol{\lambda}}$ . Then, for any fine lattice point  $\underline{\boldsymbol{\lambda}} \in \Lambda_{F,\ell}$  and any coarse lattice  $\Lambda_{C,m} \subset \Lambda_{F,\ell}$ ,

$$\mathbb{P}\left(\left[Q_{\Lambda_{F,\ell}}(\underline{\lambda} + \underline{\mathbf{z}}_{\text{eff}})\right] \mod \Lambda_{C,m} \neq [\underline{\lambda}] \mod \Lambda_{C,m}\right) < \epsilon$$

if 
$$\beta_0^2 + \sum_{\ell=1}^L \beta_\ell^2 P_\ell \le \sigma_{\mathrm{eff},\ell}^2$$
.

(d) the nested lattice codebooks  $\mathcal{L}_{\ell} = \Lambda_{F,\ell} \cap \mathcal{V}_{C,\ell}$  have appropriate rates

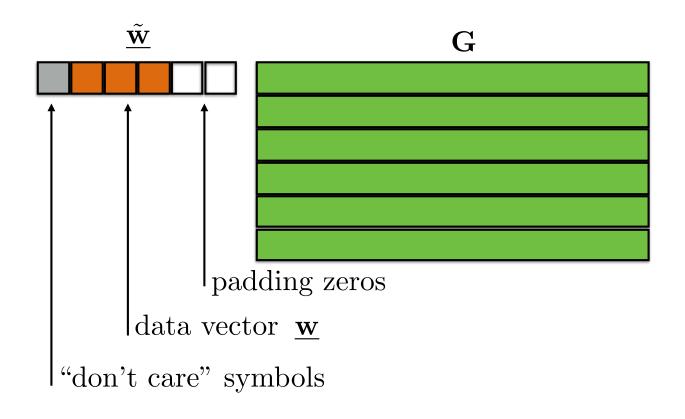
$$\frac{1}{n}\log\left|\mathcal{L}_{\ell}\right| = \frac{k_{F,\ell} - k_{C,\ell}}{n}\log p > \frac{1}{2}\log\left(\frac{P_{\ell}}{\sigma_{\text{eff},\ell}^2}\right) - \epsilon.$$





# "Signal levels" interpretation









## **Nazer and Gastpar Compute and Forward (CoF)**



L-user Gaussian MAC:

$$\underline{\mathbf{y}} = \sum_{\ell=1}^{L} h_{\ell} \underline{\mathbf{x}}_{\ell} + \underline{\mathbf{z}} = \mathbf{h}^{\mathsf{T}} \underline{\mathbf{X}} + \underline{\mathbf{z}}$$

- Each user  $\ell$  makes use of the same nested lattice code  $\mathcal{L}=\Lambda_F\cap\mathcal{V}_{\Lambda_C}$  and transmits with dithering.
- Goal: use lattice decoding to decode an integer linear combination

$$\underline{\mathbf{s}} = \sum_{\ell=1}^{L} a_{\ell} \underline{\mathbf{t}}_{\ell} = \mathbf{a}^{\mathsf{T}} \underline{\mathbf{T}}$$







Lattice linearity yields that

$$\underline{\mathbf{u}} = \bigoplus_{\ell=1}^{L} q_{\ell} \underline{\mathbf{w}}_{\ell}$$

with 
$$\mathbf{q} = [\mathbf{a}] \mod p$$
.

- Computation rate: maximum rate  $\frac{k_F k_C}{n} \log p$  (in bit/read dimension) for which the desired linear combination can be decoded with vanishing probability of error.
- The receiver computes

$$\underline{\mathbf{y}}' = \left[\alpha \underline{\mathbf{y}} - \mathbf{a}^{\mathsf{T}} \underline{\mathbf{D}}\right] \mod \Lambda$$
$$= \left[\mathbf{a}^{\mathsf{T}} \underline{\mathbf{T}} + (\alpha \mathbf{h} - \mathbf{a})^{\mathsf{T}} \underline{\mathbf{X}} + \alpha \underline{\mathbf{z}}\right] \mod \Lambda$$







Applying lattice decoding, we get the achievable computation rate

$$R_{\text{comp}}(P, \sigma_{\text{eff}}^2) = \frac{1}{2} \log^+ \left(\frac{P}{\sigma_{\text{eff}}^2}\right)$$

Effective noise

with

$$\underline{\mathbf{z}}_{\text{eff}} = (\alpha \mathbf{h} - \mathbf{a})^{\mathsf{T}} \underline{\mathbf{X}} + \alpha \underline{\mathbf{z}}$$

$$\sigma_{\text{eff}}^2 = \|\alpha \mathbf{h} - \mathbf{a}\|^2 P + |\alpha|^2$$







• Minimizing with respect to  $\alpha$ , we find

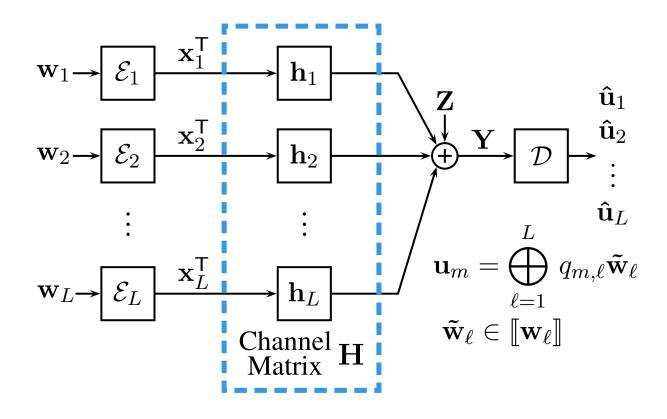
$$\sigma_{\text{eff}}^2 = \mathbf{a}^{\mathsf{H}} \left( P^{-1} \mathbf{I} + \mathbf{h} \mathbf{h}^{\mathsf{H}} \right)^{-1} \mathbf{a}$$

which can be minimized by using Lattice reduction (LLL, Minkowsky, Phost "sphere" decoding) with respect to  $\mathbf{a} \in \mathbb{Z}^K[j]$ .



## **MIMO** receiver









#### **MIMO** receiver



The channel model becomes

$$\underline{\mathbf{Y}} = \mathbf{H}\underline{\mathbf{X}} + \underline{\mathbf{Z}}$$

• Apply the equalization vector  $\mathbf{b} \in \mathbb{R}^{N_{\mathsf{r}}}$ , and obtain

$$\mathbf{b}^{\mathsf{H}}\underline{\mathbf{Y}} = \sum_{\ell=1}^{L} a_{\ell}\underline{\mathbf{t}}_{\ell} + \sum_{\ell=1}^{L} (\mathbf{b}^{\mathsf{H}}\mathbf{h}_{\ell} - a_{\ell})\underline{\mathbf{x}}_{\ell} + \mathbf{b}^{\mathsf{H}}\underline{\mathbf{Z}}$$
effective noise

The achievable computation rate is

$$R_{\text{comp}}(\mathbf{H}, \mathbf{a}) = \frac{1}{2} \log^+ \left( \frac{P}{\mathbf{a}^{\mathsf{H}} (P^{-1}\mathbf{I} + \mathbf{H}^{\mathsf{H}}\mathbf{H})^{-1}\mathbf{a}} \right)$$





#### Multiple equations and unequal power and rates



- We can generalize the above construction by considering a family of nested lattice codes with different powers  $P_{\ell}$  and different rates  $R_{\ell}$  as described above.
- In this case, we aim at recovering an integer combination of the message cosets (arbitrary "don't care symbols", and zero padding symbols).

$$\underline{\mathbf{u}}_m = \bigoplus_{\ell=1}^L q_{m,\ell} \underline{\tilde{\mathbf{w}}}_{\ell}$$

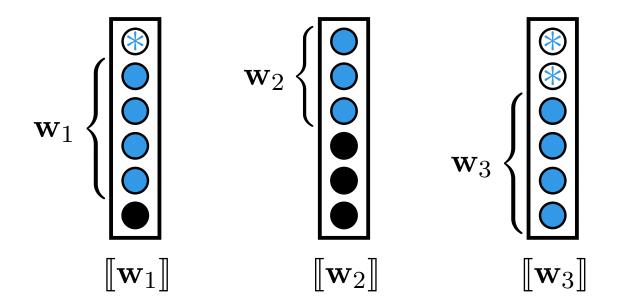
where  $q_{m,\ell} \in \mathbb{Z}_p$  and  $\underline{\tilde{\mathbf{w}}}_{\ell}$  is an element of a certain coset  $[[\underline{\mathbf{w}}_{\ell}]]$  with respect to the message  $\underline{\mathbf{w}}_{\ell}$ .

• Furthermore, we can obtain up to L such linear combinations, according to an integer-valued matrix  $\mathbf{A} \in \mathbb{Z}^{L \times L}$ .



# **Message cosets**









#### **Computation rate region**



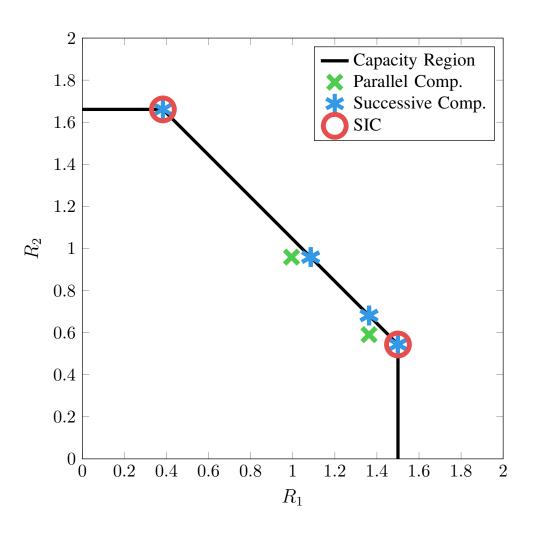
- $\mathcal{R}_{\text{comp}}(\mathbf{H}, \mathbf{A})$  is the closure of the subset of  $\mathbb{R}_+^L$  of all rate points  $(R_1, \dots, R_L)$  for which there exist codes with vanishing probability of error of all L linear combinations.
- To this regard, we have:
  - 1. Achievable  $\mathcal{R}_{comp}(\mathbf{H}, \mathbf{A})$  with parallel computation.
  - 2. Achievable  $\mathcal{R}_{comp}(\mathbf{H}, \mathbf{A})$  with successive computation.
  - 3. Multiple-access sum capacity within L bits: the sum of the L largest parallel computation rates is larger or equal to the MAC sum capacity minus L bits.
  - 4. Exact multiple-access sum capacity: for any unimodular  $\bf A$  the sum of the L successive computation rates is equal to the MAC sum capacity.





# **Application to the MAC**



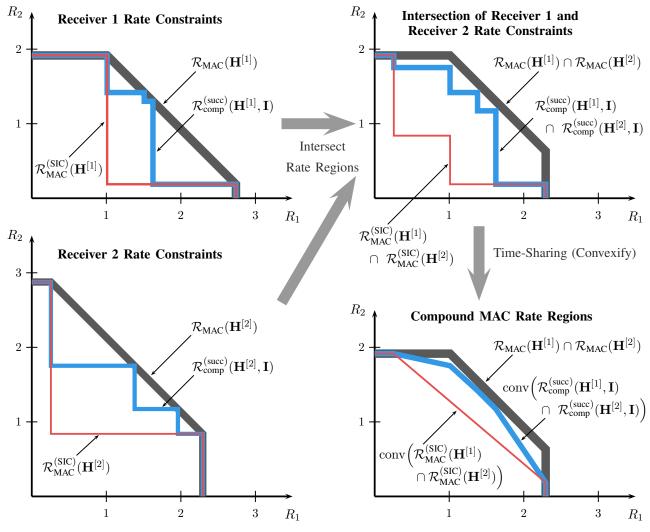






## **Application to the compound MAC**



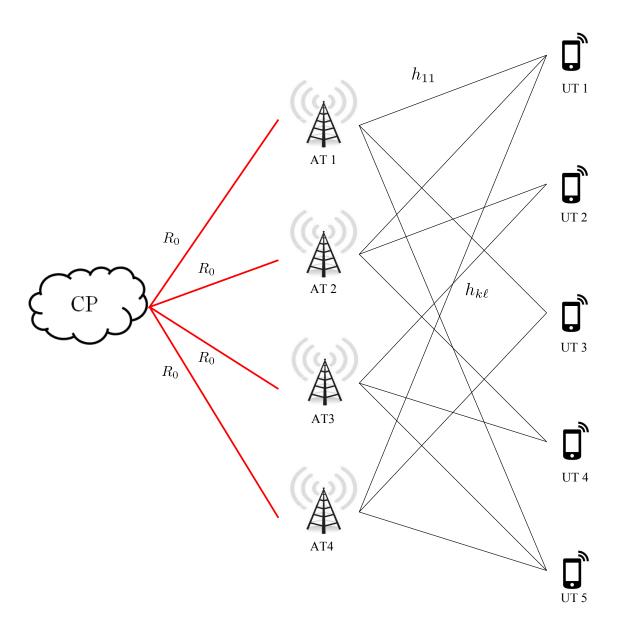






# **Application of DAS**



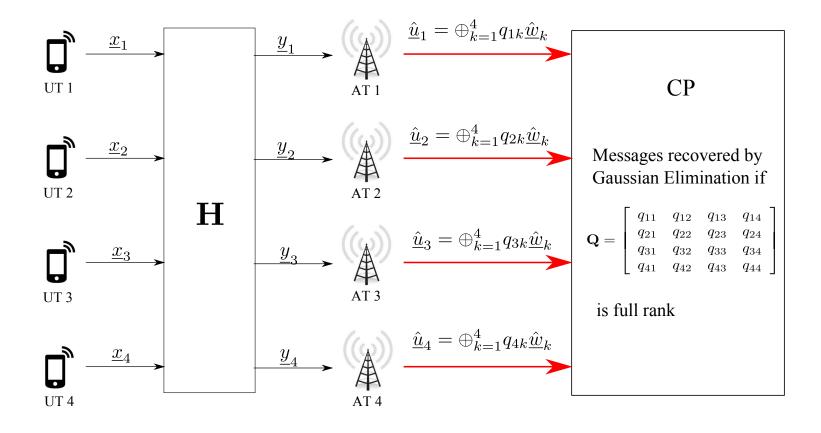






## **DAS Uplink (MAC-Relay channel)**









## **DAS Downlink (BC-Relay channel)**



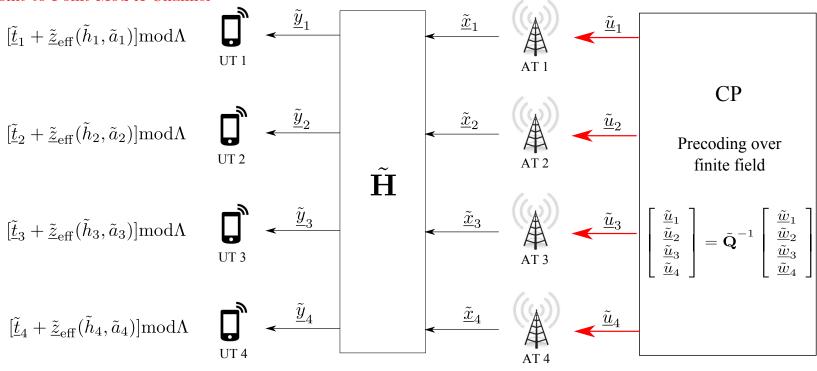
- We propose a new scheme called Reverse Compute and Forward (RCoF).
- Exchange the role of ATs and UTs.
- Have each UT decode a finite-field linear combination of the messages.
- Precode the messages in the finite-field domain, without power penalty at the transmitter (we do ZF in the finite-field domain).
- Natural competitors: compressed DPC, compressed ZF (precoding over  $\mathbb C$  and then quantization).



# **Reverse Compute and Forward**



#### Point-to-Point Mod- $\Lambda$ Channel







# RCoF over CoF: no need for a common lattice code



- ullet The CP forms the messages  $\underline{ ilde{\mathbf{w}}}_\ell \in \mathbb{Z}_p^{k_F}$  by appending  $k_F k_{F,\ell}$  zeros to each  $\ell$ -th information message of  $k_{F,\ell}$  symbols, so that all messages have the same length.
- The CP produces the precoded messages

$$\begin{bmatrix} \underline{\tilde{\mu}}_1 \\ \vdots \\ \underline{\tilde{\mu}}_L \end{bmatrix} = \tilde{\mathbf{Q}}^{-1} \begin{bmatrix} \underline{\tilde{\mathbf{w}}}_1 \\ \vdots \\ \underline{\tilde{\mathbf{w}}}_L \end{bmatrix}.$$

• The CP forwards the precoded message  $\underline{\tilde{\mu}}_{\ell}$  to AT  $\ell$  for all  $\ell=1,\ldots,L$ , via the digital backhaul link.







- AT  $\ell$  locally produces the lattice codeword  $\underline{\boldsymbol{\nu}}_{\ell} = f(\underline{\tilde{\boldsymbol{\mu}}}_{\ell}) \in \mathcal{L}_F$  (the densest lattice code), and transmits the corresponding channel input  $\underline{\tilde{\mathbf{x}}}_{\ell}$  by dithering and mod  $\Lambda_C$ .
- Because of lattice/field linearity we can write

$$\left[ egin{array}{c} \underline{ ilde{
u}}_1 \ \underline{ ilde{
u}}_L \end{array} 
ight] = \mathbf{B} \left[ egin{array}{c} \underline{ ilde{\mathbf{t}}}_1 \ \underline{ ilde{\mathbf{t}}}_L \end{array} 
ight] \mod \Lambda_C$$

for some integer matrix **B**.



#### Technische Universität

#### **Numerical experiments**



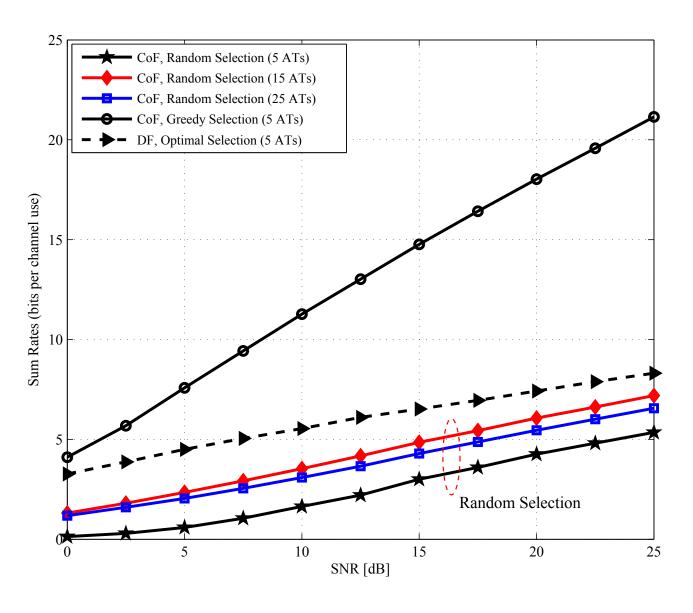
- Bernoulli-Gaussian channel coefficients (Rayleigh fading with blocking probability  $\rho=0.5$ ).
- $R_0 = 6$  bit/channel use (e.g., for a wireless channel with 20 MHz bandwidth this yields 120 Mb/s).
- Uplink with AT selection: for L>K we select a subset of K ATs in order to maximize the computation rate.
- Downlink with UT selection: for K > L we serve a subset of L UTs in order to maximize the sum rate.





# DAS uplink with $K=5,\,L=25$



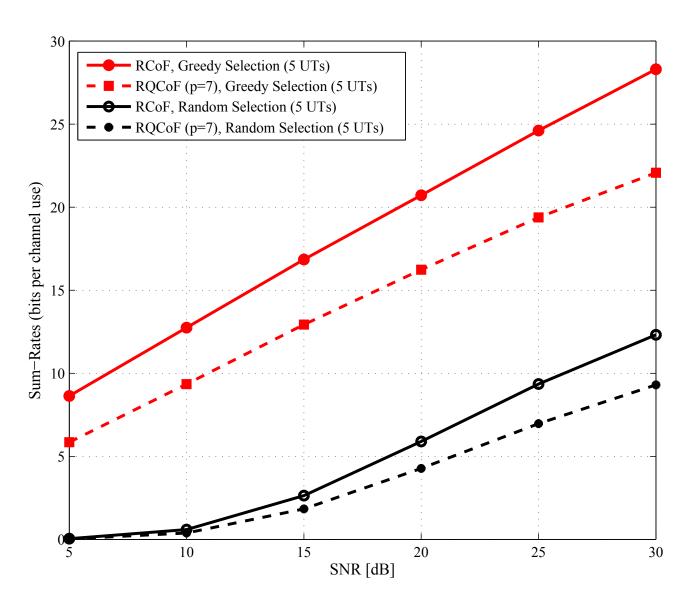






# DAS downlink with $K=25,\,L=5$



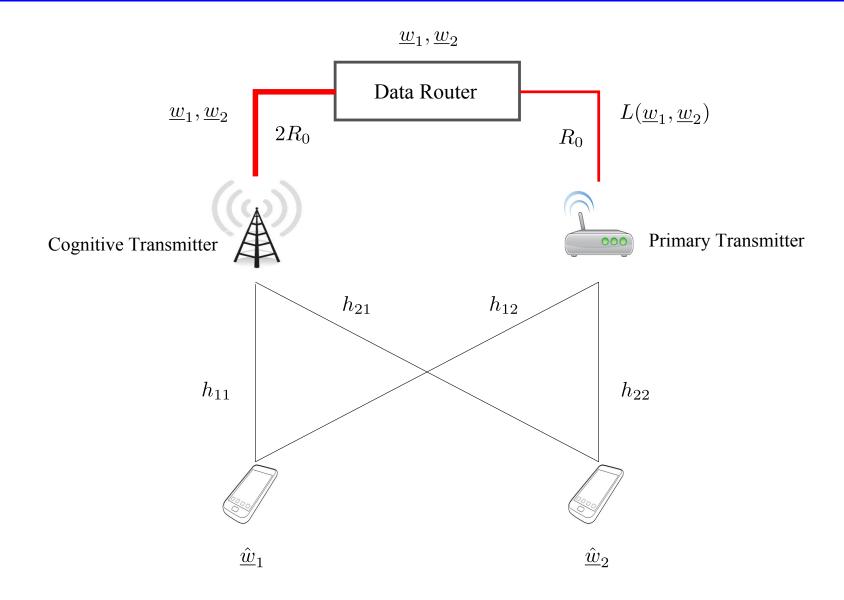






# **Network-Coded Cognitive IC**



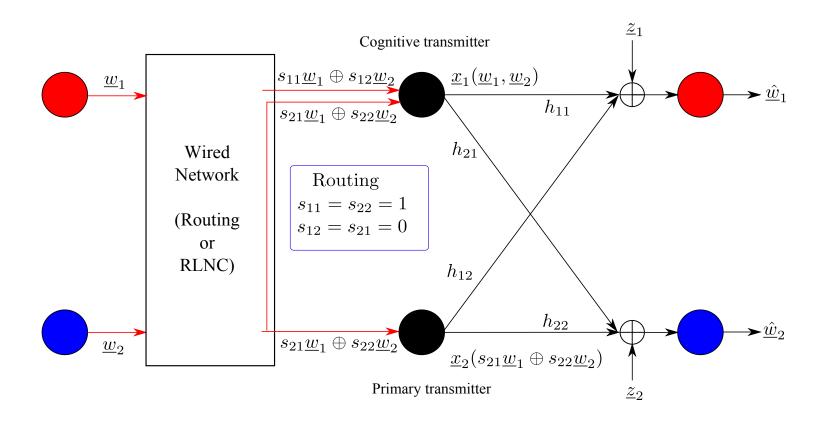






# **Network-Coded Cognitive IC (model)**









## An achievable rate region



• Transmitter 2 produces the lattice codeword  $\underline{\mathbf{v}}_2 = f(\underline{\mathbf{w}}_1 \oplus \underline{\mathbf{w}}_2)$  and produces the channel input

$$\underline{\mathbf{x}}_2' = \beta \underline{\mathbf{x}}_2 = \beta \left( [\underline{\mathbf{v}}_2 + \underline{\mathbf{d}}_2] \mod \Lambda \right)$$

- Transmitter 1 produces the precoded message  $m\underline{\mathbf{w}}_1$  where  $m=(q_1)^{-1}(-q_2)$ , where  $\mathbf{b}=[b_1,b_2]\in\mathbb{Z}[j]^2$  denotes the integer vector used at receiver 2 for the CoF mapping, and  $q_k=[b_k]_q$ .
- Transmitter 1 uses DPC for the known interference signal  $h_{12}\mathbf{x}_2'$  and forms:

$$\underline{\mathbf{x}}_1 = [\underline{\mathbf{v}}_1 - \alpha_1(h_{12}/h_{11})\underline{\mathbf{x}}_2' + \underline{\mathbf{d}}_1] \mod \Lambda,$$

where  $\underline{\mathbf{v}}_1 = f(m\underline{\mathbf{w}}_1)$ .







 Because of linearity, the precoding and the encoding over the finite-field commute. Therefore, we can write

$$\underline{\mathbf{v}}_1 = g(m)\underline{\mathbf{t}}_1 \mod \Lambda$$
 $\underline{\mathbf{v}}_2 = \underline{\mathbf{t}}_1 + \underline{\mathbf{t}}_2 \mod \Lambda$ 

where 
$$\underline{\mathbf{t}}_1 = f(\underline{\mathbf{w}}_1)$$
 and  $\underline{\mathbf{t}}_2 = f(\underline{\mathbf{w}}_2)$ .

From standard DPC, Receiver 1 is successful if

$$R_1 \le \log(1 + |h_{11}|^2 \text{SNR}).$$







• Letting  $\tilde{\mathbf{h}}(\beta)=[h_{21},\beta\tilde{h}_{22}]$  with  $\tilde{h}_{22}=h_{22}-\alpha_{1,\text{MMSE}}h_{12}h_{21}/h_{11}$ , Receiver 2 applies CoF with integer coefficients  $\mathbf{b}$  and scaling factor  $\alpha_2=b_1/h_{21}$ , yielding

$$\begin{split} & \underline{\hat{\mathbf{y}}}_2 &= [\alpha_2 \underline{\mathbf{y}}_2 - b_1 \underline{\mathbf{d}}_1 - b_2 \underline{\mathbf{d}}_2] \mod \Lambda \\ &= \left[ \mathbf{b}^\mathsf{T} \begin{bmatrix} \underline{\mathbf{v}}_1 \\ \underline{\mathbf{v}}_2 \end{bmatrix} + (b_1 \beta \tilde{h}_{22} / h_{21} - b_2) \underline{\mathbf{u}}_2 + (b_1 / h_{21}) \underline{\mathbf{z}}_2 \right] \mod \Lambda \\ &= \left[ \left( \mathbf{b}^\mathsf{T} \begin{bmatrix} g(m) & 0 \\ 1 & 1 \end{bmatrix} \mod p \mathbb{Z}[j] \right) \begin{bmatrix} \underline{\mathbf{t}}_1 \\ \underline{\mathbf{t}}_2 \end{bmatrix} + \underline{\mathbf{z}}_{\mathsf{eff}} (\tilde{\mathbf{h}}(\beta), \mathbf{b}) \right] \mod \Lambda \\ &\stackrel{(a)}{=} [([b_2] \mod p \mathbb{Z}[j]) \underline{\mathbf{t}}_2 + \underline{\mathbf{z}}_{\mathsf{eff}} (\tilde{\mathbf{h}}(\beta), \mathbf{b})] \mod \Lambda \end{split}$$

where  $\underline{\mathbf{u}}_2$  is uniformly distributed on  $\mathcal{V}_{\Lambda}$  and is independent of  $\underline{\mathbf{v}}_1$ ,  $\underline{\mathbf{v}}_2$ , and  $\underline{\mathbf{z}}_2$  by the independence and uniformity of dithering and by the Crypto Lemma,  $\underline{\boldsymbol{\lambda}} = Q_{\Lambda}(\underline{\mathbf{v}}_1 - \alpha_{1,\mathsf{MMSE}}\beta(h_{12}/h_{11})\underline{\mathbf{x}}_2 + \underline{\mathbf{d}}_1)$ , where (a) follows from the fact that, by construction,  $b_1g(m) + b_2 \mod p\mathbb{Z}[j] = 0$ .







The effective noise is given by

$$\underline{\mathbf{z}}_{\text{eff}}(\tilde{\mathbf{h}}(\beta), \mathbf{b}) = (b_1 \beta \tilde{h}_{22} / h_{21} - b_2) \underline{\mathbf{u}}_2 + (b_1 / h_{21}) \underline{\mathbf{z}}_2$$

• Receiver 2 decodes  $\underline{\mathbf{t}}_2$  by applying lattice decoding to  $\underline{\hat{\mathbf{y}}}_2$ . This yields the achievable rate

$$R_2 \le R_{\text{comp}}(\mathsf{SNR}, \sigma_{\mathsf{eff}}^2(\beta))$$

for any  $\mathbf{b} \in \mathbb{Z}^2[j]$  with  $b_1, b_2 \neq 0 \mod p\mathbb{Z}[j]$  and any  $\beta \in \mathbb{R}_+$  satisfying the input power constraint, where

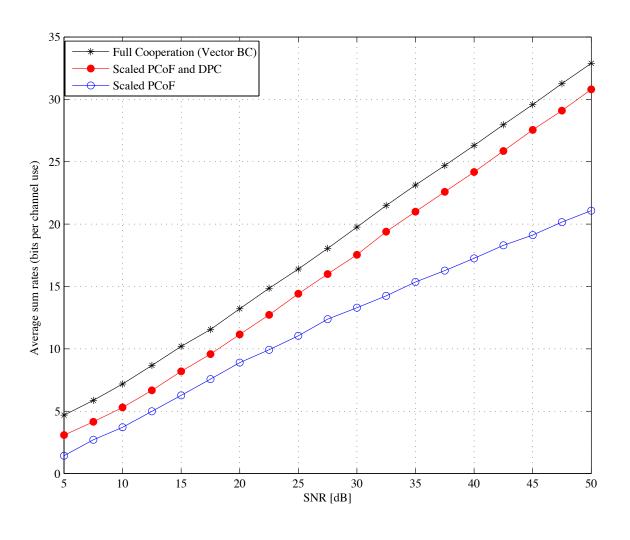
$$\sigma_{ ext{eff}}^2(eta) = \left|b_1 rac{eta ilde{h}_{22}}{h_{21}} - b_2
ight|^2 ext{SNR} + \left|rac{b_1}{h_{21}}
ight|^2.$$





# **Example: independent Rayleigh fading**

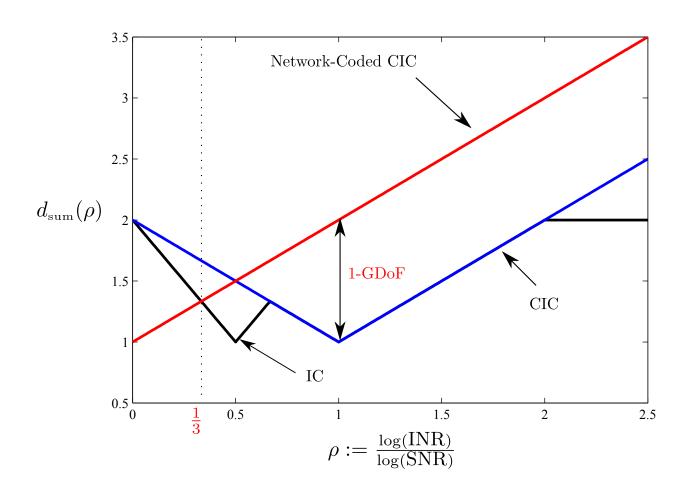






# **Symmetric GDoFs**





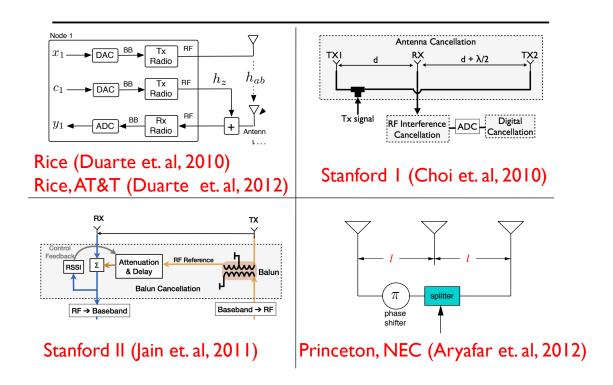




### **Full-Duplex** is getting real



- Dinesh Bharadia, Emily Mcmilin and Sachin Katti, "Full Duplex Radios" ACM SIGCOMM 2013
- M. Duarte and A. Sabharwal, "Full-Duplex Wireless Communications Using Off-The-Shelf Radios: Feasibility and First Results" Asilomar 2010





#### Technische Universität

### Why not distributed?



- Many of the current full-duplex schemes "hide" two or even 3 RF chains in the same box.
- Distance, phase cancellation, or echo-cancellation in the RF domain are used to avoid saturation of the Rx front-end while Tx is active.
- We can obtain the same "full duplex" effect by using separated half-duplex relays.
- T. J. Oechtering and A. Sezgin, "A new cooperative transmission scheme using the space-time delay code," ITG Workshop on Smart Antenna 2004.
- B. Rankov and A. Wittneben, "Spectral Efficient Signaling for Half-Duplex Relay Channels," Asilomar 2005.
- S. S. C. Rezaei, S. O. Gharan, and A. K. Khandani, "Cooperative Strategies for the Half-Duplex Gaussian Parallel Relay Channel: Simultaneous Relaying versus Successive Relaying," Allerton 2008.





# Virtual full-duplex relaying



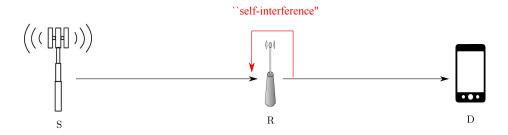


Fig. 1. Two-hop source-relay-destination network with full-duplex relay.

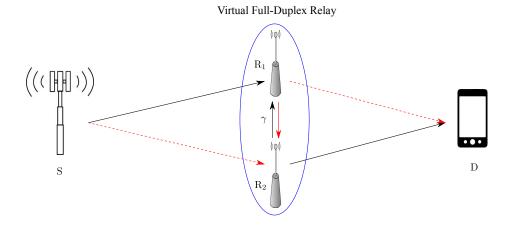


Fig. 2. Virtual full-duplex relay implemented by two half-duplex relays where  $\gamma$  denotes the inter-relay interference.





#### **CoF** with forward substitution



	time slot 1	time slot 2	time slot 3	time slot 4
$\overline{X_{S}}$	$\underline{\mathbf{x}}_{\mathrm{S}}(\underline{\mathbf{w}}_{1})$	$\underline{\mathbf{x}}_{\mathrm{S}}(\underline{\mathbf{w}}_{2})$	$\underline{\mathbf{x}}_{\mathrm{S}}(\underline{\mathbf{w}}_{3})$	$\overline{\mathbf{x}_{\mathrm{S}}(\mathbf{w}_{4})}$
$egin{array}{c} Y_{R_1} \ X_{R_1} \end{array}$		$\underline{\mathbf{u}}_2 = q_1 \underline{\mathbf{w}}_2 \oplus q_2 \underline{\mathbf{u}}_1$		$\underline{\mathbf{u}}_4 = q_1 \underline{\mathbf{w}}_4 \oplus q_2 \underline{\mathbf{u}}_3$
$X_{R_1}$			$\underline{\mathbf{x}}_{\mathrm{R}}(\mathbf{u}_2)$	
$Y_{R_2}$	$\underline{\mathbf{u}}_1 = \underline{\mathbf{w}}_1$		$\underline{\mathbf{u}}_3 = q_1 \underline{\mathbf{w}}_3 \oplus q_2 \underline{\mathbf{u}}_2$	
$X_{R_2}$		$\mathbf{\underline{x}}_{\mathrm{R}}(\mathbf{\underline{u}}_{1})$		$\mathbf{\underline{x}}_{\mathrm{R}}(\mathbf{\underline{u}}_{3})$
$Y_{ m D}$		$\underline{\hat{\mathbf{w}}}_1 = \underline{\mathbf{u}}_1$	$\underline{\hat{\mathbf{w}}}_2 = q_1^{-1}\underline{\mathbf{u}}_2 \ominus q_1^{-1}q_2\underline{\mathbf{u}}_1$	$\underline{\hat{\mathbf{w}}}_3 = q_1^{-1}\underline{\mathbf{u}}_3 \ominus q_1^{-1}q_2\underline{\mathbf{u}}_2$

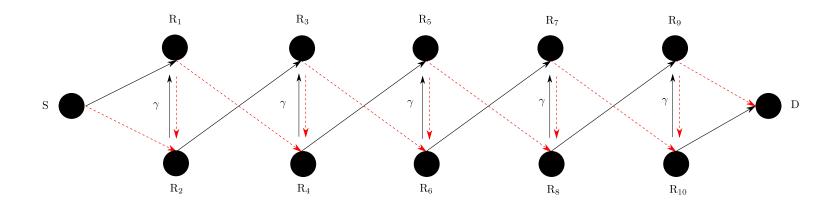
- Each receiving relay decodes an integer combination of the source codeword and of the transmitting relay codeword.
- The integer combinations are forwarded to the destination, which can decode them by forward substitution (no large decoding latency as in backward decoding).





### **Extension to multi-hop relaying**





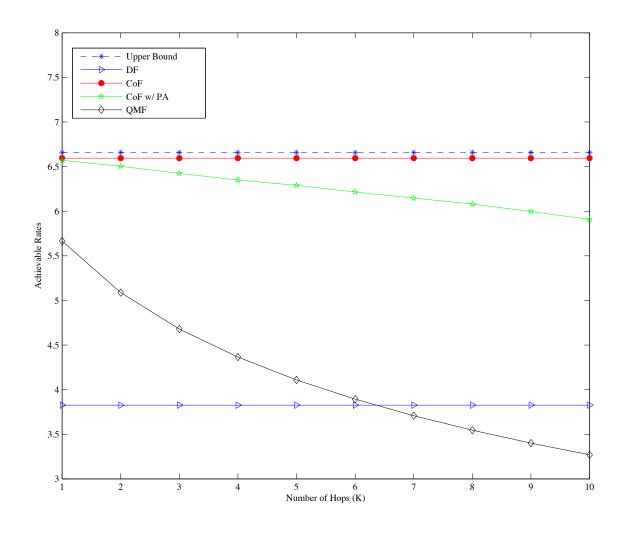
- A possible motivation: wireless backhaul in mm-wave small cell networks.
- Line-of-sight point to point links to connect small cell base stations deployed without the need of putting down cable/fiber.





# Results for SNR = 20 dB and $\gamma^2 \sim \text{Unif}(0.9, 1.1)$



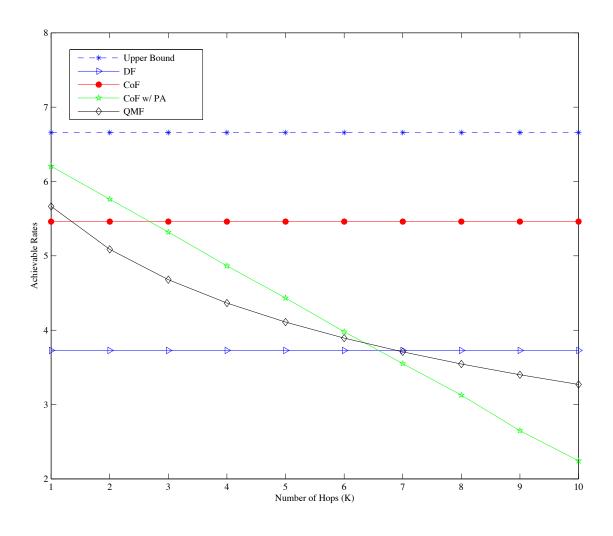






# Results for SNR = 20 dB and $\gamma^2 \sim \text{Unif}(0.5, 1.0)$



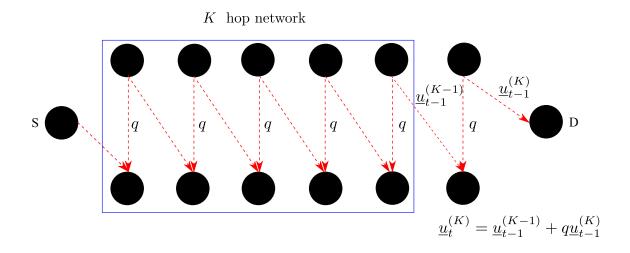






#### Remarks: K-hops CoF with forward substitution





- The goal is to obtain at the destination a lower-triangular full rank system of (noiseless) equations over the message finite field.
- Since the destination begins to receive a signal after K time slots, we have

$$\underline{\mathbf{u}}_t^{(K)} = 0 \text{ for } t \leq K.$$







- We also have that  $\underline{\mathbf{u}}_{K+1}^{(K)} = \underline{\mathbf{w}}_1$  since the first signal is not interfered.
- In case of K=1, we can easily compute the following relation:

$$\underline{\mathbf{u}}_{t+1}^{(1)} = \sum_{\ell=1}^{t} q^{t-\ell} \underline{\mathbf{w}}_{\ell}$$

• At time slot t+1, the above equation has only one unknown  $\underline{\mathbf{w}}_t$  since the destination has been already decoded  $\{\underline{\mathbf{w}}_\ell:\ell=1,\ldots,t-1\}$  during the previous time slots. Thus, it can recover the desired message  $\underline{\mathbf{w}}_t$  such as

$$\underline{\mathbf{w}}_t = \underline{\mathbf{u}}_{t+1}^{(1)} - \sum_{\ell=1}^{t-1} q^{t-\ell} \underline{\mathbf{w}}_{\ell} = \underline{\mathbf{u}}_{t+1}^{(1)} - q \underline{\mathbf{u}}_t^{(1)}.$$







• For the case  $K \ge 2$ , we can derive the following relation:

$$\underline{\mathbf{u}}_{t+1}^{(K)} - q\underline{\mathbf{u}}_{t}^{(K)} = \underline{\mathbf{u}}_{t}^{(K-1)}$$

• For example, when K=3, we have:

$$A = \underline{\mathbf{u}}_{t+3}^{(3)} - q\underline{\mathbf{u}}_{t+2}^{(3)} = \underline{\mathbf{u}}_{t+2}^{(2)}$$

$$B = (\underline{\mathbf{u}}_{t+2}^{(3)} - q\underline{\mathbf{u}}_{t+1}^{(3)}) = \underline{\mathbf{u}}_{t+1}^{(2)}.$$

such that

$$A - qB = \underline{\mathbf{u}}_{t+3}^{(3)} - 2q\underline{\mathbf{u}}_{t+2}^{(3)} + q^2\underline{\mathbf{u}}_{t+1}^{(3)} = \underline{\mathbf{u}}_{t+1}^{(1)} = \sum_{\ell=1}^{t} q^{t-\ell}\underline{\mathbf{w}}_{\ell}.$$







• At time slot t+3, the destination can decode  $\underline{\mathbf{w}}_t$  using previously decoded messages  $\{\underline{\mathbf{w}}_\ell:\ell=1,\ldots,t-1\}$  and observations  $\{\underline{\mathbf{u}}_\ell:\ell=1,\ldots,t+3\}$  so that

$$\underline{\mathbf{w}}_t = \underline{\mathbf{u}}_{t+3}^{(3)} - 2q\underline{\mathbf{u}}_{t+2}^{(3)} + q^2\underline{\mathbf{u}}_{t+1}^{(3)} - \sum_{\ell=1}^{t-1} q^{t-\ell}\underline{\mathbf{w}}_{\ell}.$$

from which (after some algebra) we obtain the sliding-window decoding:

$$\underline{\mathbf{w}}_t = \underline{\mathbf{u}}_{t+3}^{(3)} - 3q\underline{\mathbf{u}}_{t+2}^{(3)} + 3q^2\underline{\mathbf{u}}_{t+1}^{(3)} - q^3\underline{\mathbf{u}}_t^{(3)}.$$







By induction, we can prove:

#### Lemma:

For the (K+1)-hop network with CoF, the following relation holds:

$$\sum_{\ell=1}^{K} (-q)^{\ell-1} \begin{pmatrix} K-1 \\ \ell-1 \end{pmatrix} \underline{\mathbf{u}}_{t-\ell+K+1}^{(K)} = \sum_{\ell=1}^{t} q^{t-\ell} \underline{\mathbf{w}}_{\ell}.$$

Hence, the destination can decode the desired message  $\underline{\mathbf{w}}_t$  at time slot t+K by ways of

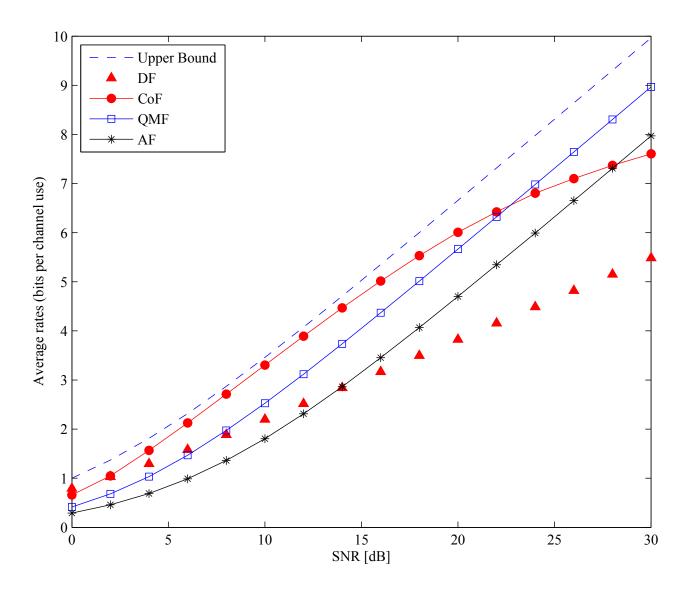
$$\underline{\mathbf{w}}_t = \sum_{\ell=1}^{K+1} (-q)^{\ell-1} {K \choose \ell-1} \underline{\mathbf{u}}_{t-\ell+K+1}^{(K)}.$$





# **Results**







#### Technische Universität

#### **Conclusions**



- CoF, RCoF, QCoF, QRCoF, PCoF with channel integer alignment (CIA) ... too many acronyms!.
- General idea: quench the noise at the intermediate nodes, generate a deterministic linear finite field channel over which we can precode without power penalty.
- Non-integer penalty: sometimes it can be eliminated by "channel integer alignment".
- Minimum common rate (same lattice code) can be alleviated (e.g., by RCoF, unequal power, unequal rate ...).
- For each of these schemes, a low-complexity implementation based on scalar quantization and q-ary linear coding is possible (at the cost of the shaping gain for large q).





# Thank You

