

# Identification, Tag codes and Error-correction codes (or "A different way of using Error-correction codes")

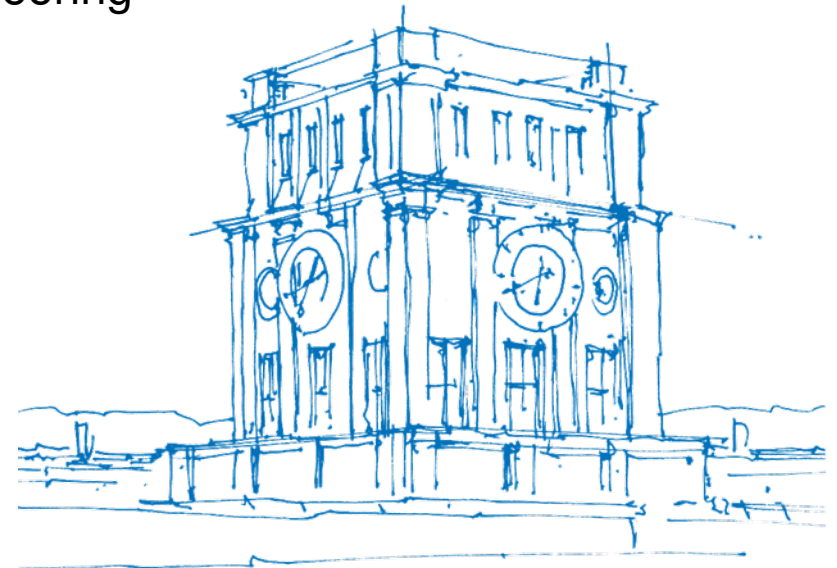
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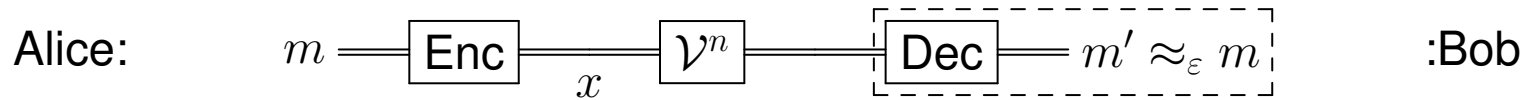
13th March 2019



*TUM Uhrenturm*

# Transmission vs Identification

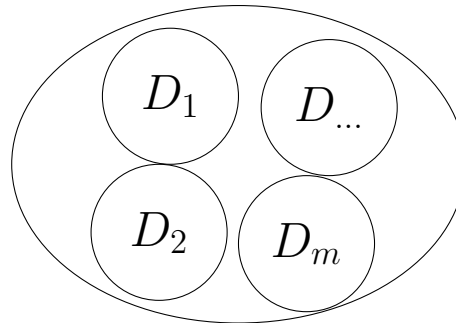
**Transmission** (Shannon coding) over  $\mathcal{V}^n$ :



$(n, M, \varepsilon)$  code  $\{x_m, D_m\}_{m \in [M]}$ :

$$\frac{1}{M} \sum \mathcal{V}^n(D_m | x_m) \geq 1 - \varepsilon$$

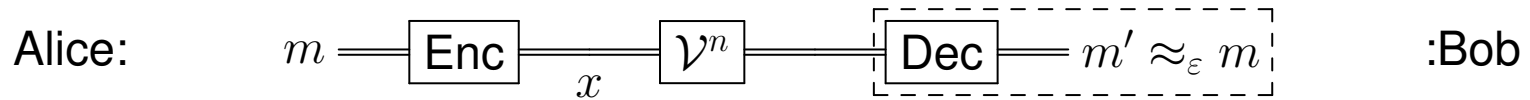
The decoding sets are disjoint:



The transmission capacity is the optimal  $C = \lim_{\varepsilon \rightarrow 0} \lim_{n \rightarrow \infty} \log M/n$ .

# Transmission vs Identification

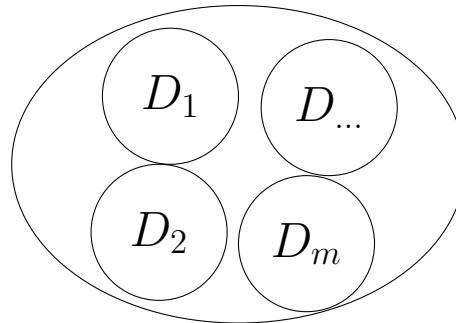
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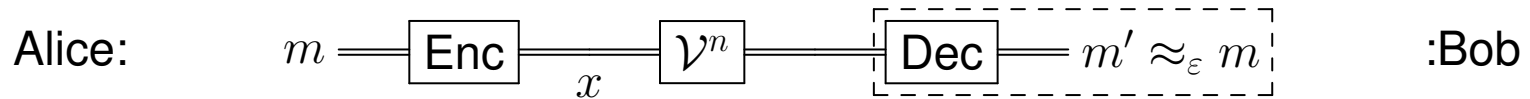
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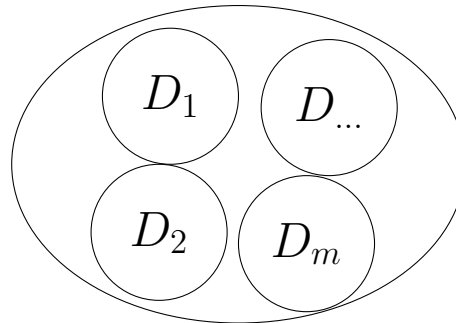
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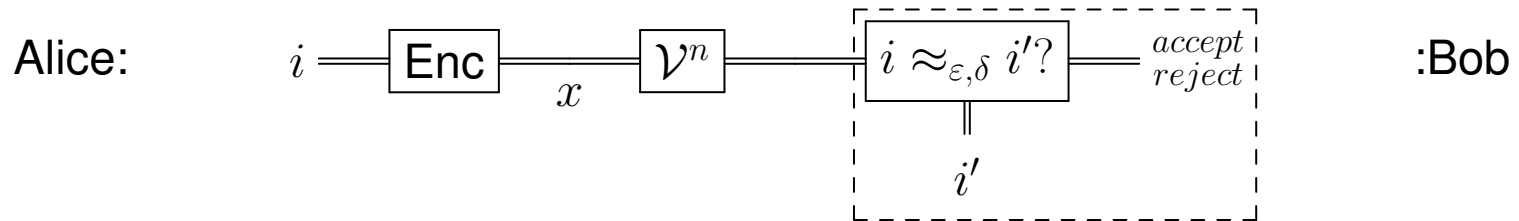
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The transmission capacity is the optimal  $C = \lim_{\varepsilon \rightarrow 0} \lim_{n \rightarrow \infty} \log M/n$ .

# Transmission vs Identification

**Identification** (Ahlswede-Dueck coding?) over  $\mathcal{V}^n$ :

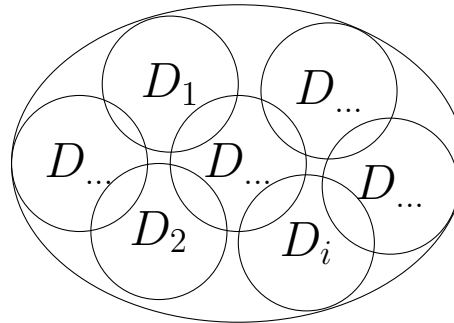


$(n, I, \epsilon)$  code  $\{\mathbf{X}_i, D_i\}_{i \in [I]}$ :

$$\min \mathcal{V}^n(D_i | \mathbf{X}_i) \geq 1 - \epsilon$$

$$\max \mathcal{V}^n(D_i | \mathbf{X}_j) \leq \epsilon$$

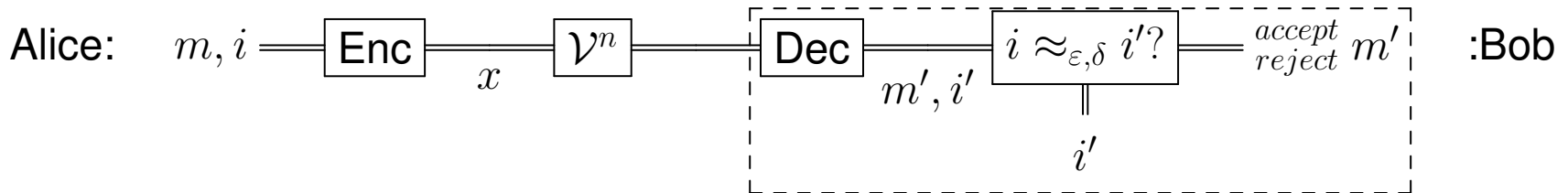
The "decoding"/testing sets can overlap:



The identification capacity is the optimal  $C_{ID} = \lim_{\epsilon \rightarrow 0} \lim_{n \rightarrow \infty} \log \log I/n$ .  $\mathbf{C}_{ID} = \mathbf{C}!$ .  
 Asymptotically small pairwise overlap, but exponentially many overlaps.  
 Pairwise overlap is more stringent than pairwise distinguishability.

# Transmission AND Identification

**Transmission-Identification** (Han-Verdù coding?) over  $\mathcal{V}^n$ :



$(n, M, I, \epsilon)$  code  $\{x_{m,i}, D_{m,i}\}_{i \in [I]}$ :  $D_{m,i} \perp D_{m',i}$  and

$$\min_i \frac{1}{M} \sum_m \mathcal{V}^n(D_{m,i} | x_{m,i}) \geq 1 - \epsilon \qquad \max \mathcal{V}^n(D_i | X_j) \leq \epsilon$$

$$\left( \Rightarrow \min_i \mathcal{V}^n(D_i | X_i) \geq 1 - \epsilon \right)$$

The transmission-identification capacity is the optimal

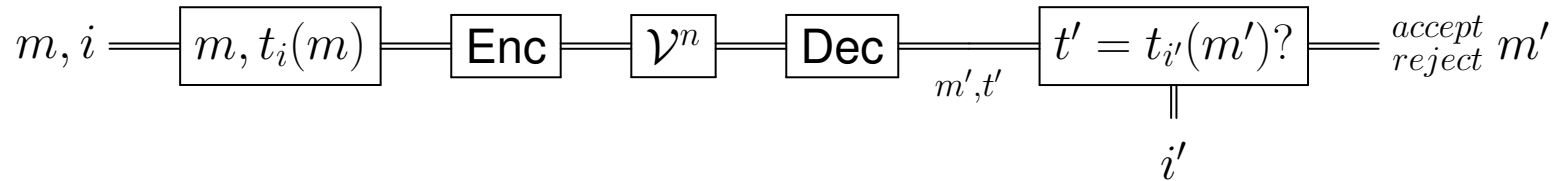
$$\lim_{\epsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{1}{n} (\log M, \log \log I).$$

$(C, C)$  is achievable!.

# Tag codes

Separation coding: converting a good transmission code into a good identification code is enough.

Given a capacity achieving transmission code, an transmission-identification capacity is achieved choosing tag (labelling) functions  $t_i : [M] \rightarrow [q]$ :



A  $[I, M, q, \Omega/M]$  tag code is a collection of  $I$  functions from  $[M]$  to  $[q]$  with pairwise overlap at most  $\Omega$ .

Need tag codes  $[I, M, q, \varepsilon]$  with:

1. size  $I$  exponential in  $M$
2. bits of output  $\log q$  sublinear in bits of input  $\log M$
3. asymptotically zero  $\varepsilon$

# Tag codes = Error-correction codes

$(M = \text{blocklength}, I = \text{size}, d)_q$  error-correction codes (without decoding) are tag codes

$$I \text{ codewords } \left\{ \begin{array}{l} \overbrace{\vec{c}_1 = c_{11} \dots c_{1M}}^{M \text{ symbols of size } s} \\ \vec{c}_2 = \dots \\ \vec{c}_I = c_{I1} \dots c_{IM} \end{array} \right. \quad d = M - \Omega$$

For identification we need

$$\frac{\log \log I}{\log M} \rightarrow 1 \quad \frac{\log q}{\log M} \rightarrow 0 \quad \frac{d}{M} \rightarrow 1.$$

From the Gilbert-Varshamov bound

$$I > \frac{q^M}{\sum_{k=0}^{d-1} \binom{M}{k} (q-1)^k} > \frac{q^M}{2^M q^d} = \frac{q^\Omega}{2^M}$$

Choosing  $\Omega = M - d = \lfloor \frac{2}{\log q} M \rfloor$  then

$$I > 2^M \quad \varepsilon \leq 2 / \log q$$



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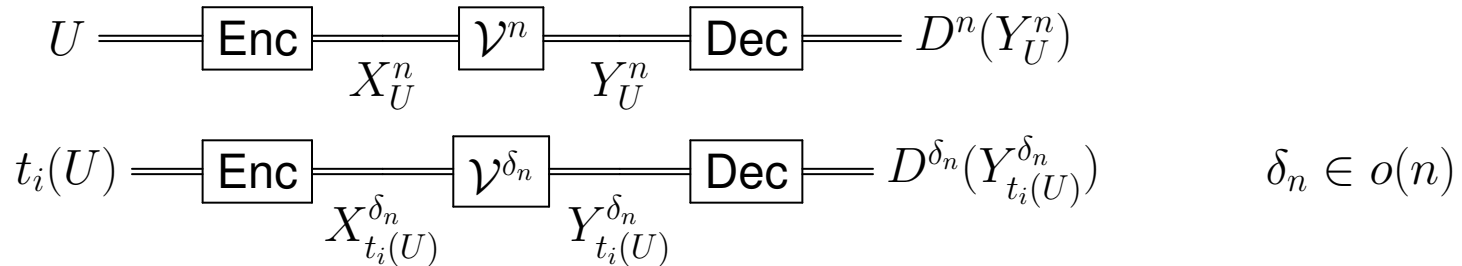
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$$\frac{\log \log I}{\log M} \rightarrow 1 \quad \frac{\log q}{\log M} \rightarrow 0 \quad \frac{d}{M} \rightarrow 1.$$

There always exist

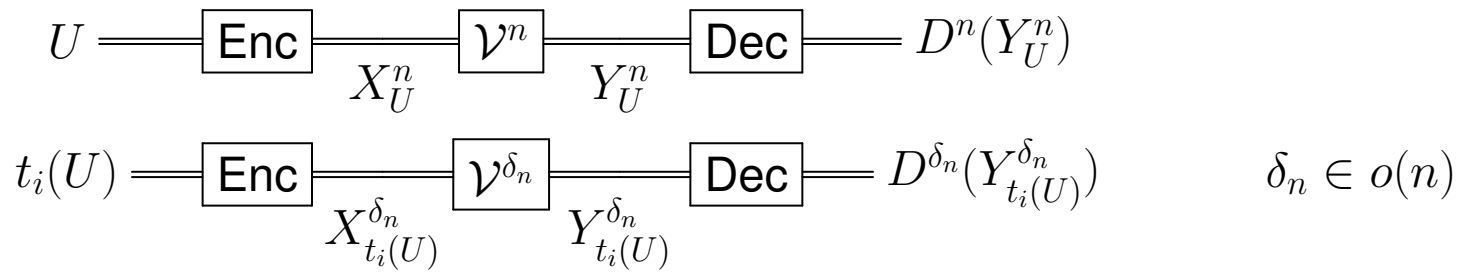
- $(M, 2^M, M(1 - 2/\log q))_q$  error-correction codes, or equivalently
- $[2^M, M, q, 2/\log q]$  tag codes.

# Achievability: proof sketch (for identification)



$$\begin{aligned}
 \mathcal{V}^{n+\delta_n}(D_j^{n+\delta_n} | X_i^{n+\delta_n}) &= Pr \left[ t_j \circ D^n(Y_U^n) \neq D^{\delta_n}(Y_{t_i(U)}^{\delta_n}) \right] \\
 &\leq Pr[D^n(Y_U^n) \neq U] + Pr \left[ t_j \circ D^n(Y_U^n) \neq D(Y_{t_i(U)}^{\delta_n}) \mid D^n(Y_U^n) = U \right] \\
 &\leq Pr[D^n(Y_U^n) \neq U] + Pr \left[ t_j(U) \neq D^{\delta_n}(Y_{t_i(U)}^{\delta_n}) \right] \\
 &\leq Pr[D^n(Y_U^n) \neq U] + Pr \left[ D^{\delta_n}(Y_{t_i(U)}^{\delta_n}) \neq t_i(U) \right] + Pr[t_j(U) \neq t_i(U)] \\
 &\leq \varepsilon_n + \varepsilon_{\delta_n} + \frac{2}{\log q_{\delta_n}} \\
 &\leq \varepsilon_n + \varepsilon_{\delta_n} + \frac{2}{\delta_n(C - \varepsilon_{\delta_n})}
 \end{aligned}$$

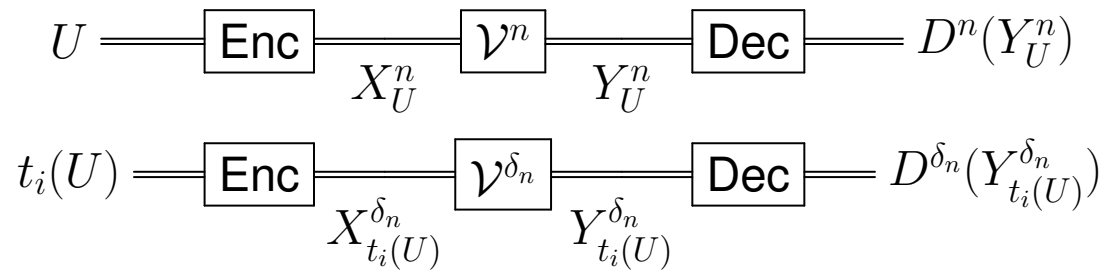
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 &\leq Pr[D^n(Y_U^n) \neq U] + Pr \left[ D^{\delta_n}(Y_{t_i(U)}^{\delta_n}) \neq t_i(U) \right] + Pr[t_j(U) \neq t_i(U)] \\
 &\leq \varepsilon_n + \varepsilon_{\delta_n} + \frac{2}{\delta_n(C - \varepsilon_{\delta_n})}
 \end{aligned}$$

$$\begin{aligned}
 \mathcal{V}^{n+\delta_n}(D_i^{n+\delta_n} | X_i^{n+\delta_n}) &\geq 1 - \varepsilon_n - \varepsilon_{\delta_n} \\
 \frac{1}{n + \delta_n} \log \log I &= \frac{n}{n + \delta_n} \frac{1}{n} \log M \geq \frac{n}{n + \delta_n} (R - \varepsilon_n) \rightarrow R
 \end{aligned}$$

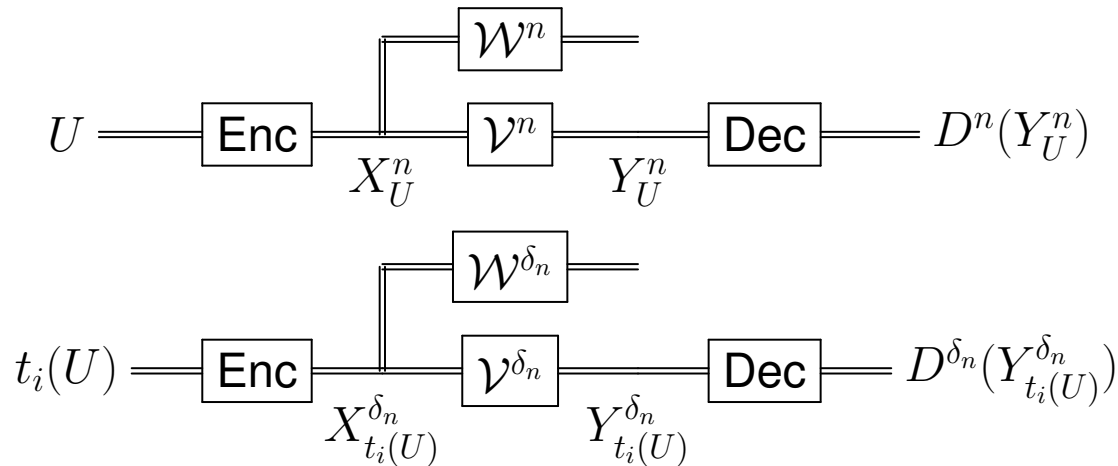
# Randomness and (Transmission-)Identification



- The achievable rate depends only on the size of the common randomness.
- If non-transmission sources of common randomness are present, the identification rate increases (unlike for transmission)
- However, identification capacity is zero if transmission capacity is zero.

Existing conjecture: Identification and common-randomness capacities are always equal when transmission capacity is non-zero.

# Secrecy of Identification



- if secrecy of  $U$  is not needed (e.g.,  $U$  is randomness, not a message) we can ignore the wiretap channel  $\mathcal{W}^n$ .
- to ensure the secrecy of the identity only non-zero secret capacity is needed against  $\mathcal{W}^{\delta_n}$ .

Secret identification capacity is still common-randomness capacity, even if secret key/transmission capacity are significantly lower (but non-zero).

# Motivation

- (transmission-identification) Communication to a specific receiver among many receivers listening to the same channel with feedback
- (transmission-identification) watermarking
- (identification) Potential for very low-latency were only few outcomes among many are relevant (automotive, ...)

Could be easy to provide in already implemented codes...

# Closing remarks

## Previous work:

- Analog results for compound and arbitrarily-varying channels, with and without wiretap, PUFs, etc.
- Analog results for quantum outputs with no advantage from incompatible “decodings”/tests.
- All proofs relied on a random tag code argument.
- Partial results for quantum channels (missing converses, )

## Future Work:

- Exploit the connection to channel resolvability to prove that common-randomness cost is an upper bound.
- Prove equivalence of distillable common-randomness and common-randomness cost to prove the common-randomness=identification conjecture.